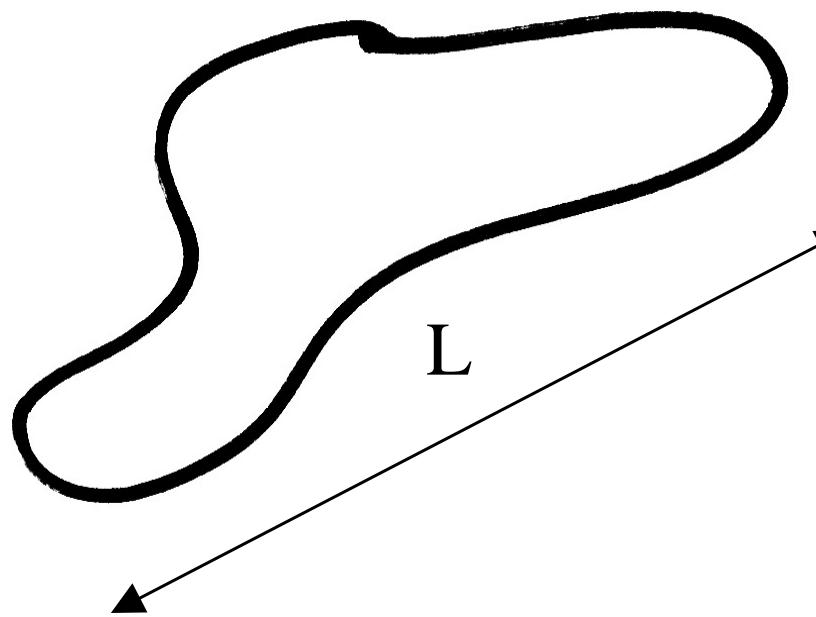


Advanced MEMS and Microsystems

Dr. Danick Briand, Prof. Guillermo Villanueva, Prof. Niels Quack, Dr. Sebastian Gautsch

Bonus lesson – Scaling laws intro

MEMS intro: Scaling laws



$$L^* = \frac{L'}{L}$$

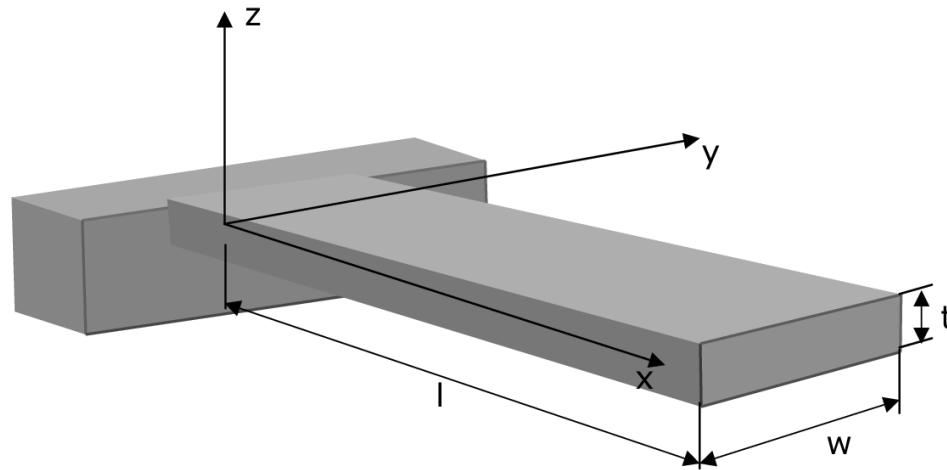
MEMS intro: Scaling laws

$$m^* = \ell^{*3}$$

$$f_0 = \frac{1}{2\pi} \sqrt{\frac{k}{m}}$$

$$k = \frac{3EI}{L^3}$$

$$I = \frac{bh^3}{12}$$

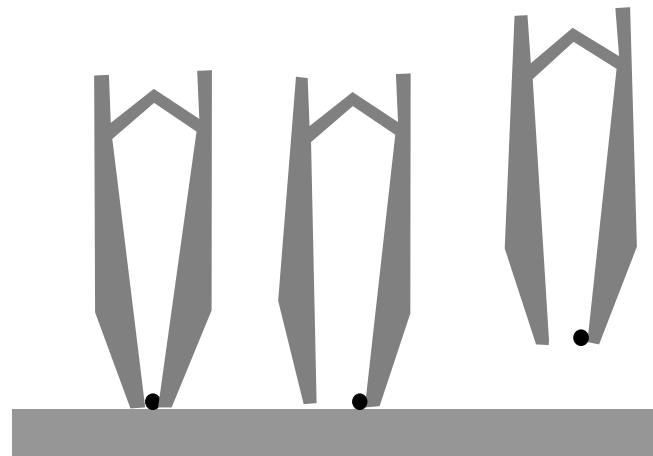


$$f_0^* = \sqrt{\frac{k^*}{m^*}} = \frac{1}{\ell^*}$$

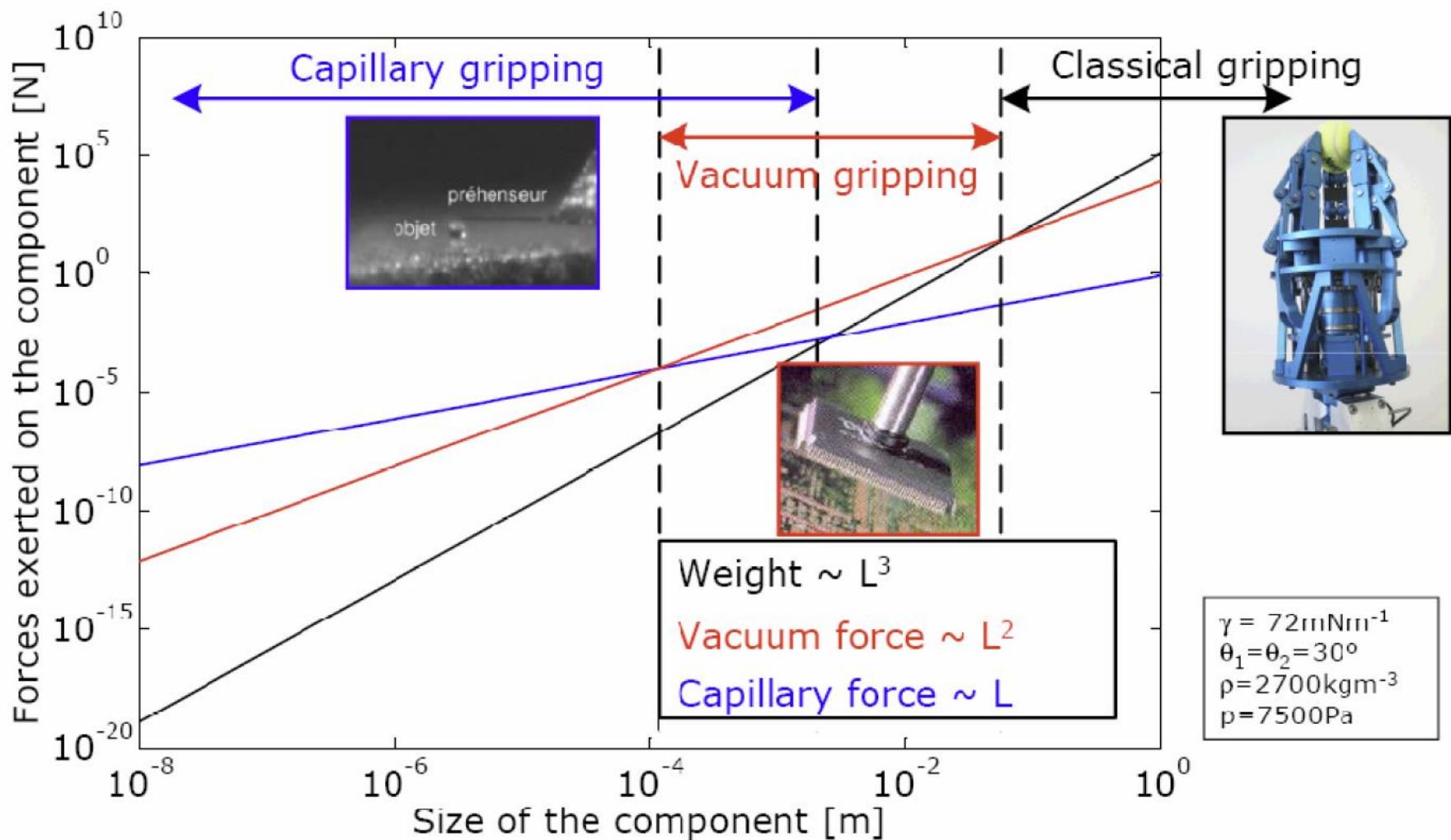
$$k^* = \frac{\ell^{*4}}{\ell^{*3}} = \ell^*$$

MEMS intro: Scaling laws

- Dominant physical phenomena are different from those of the macro world
- Adhesive forces (van der Waals force, electrostatic forces, surface tension) are more dominant than gravity in microworld



MEMS intro: Scaling laws



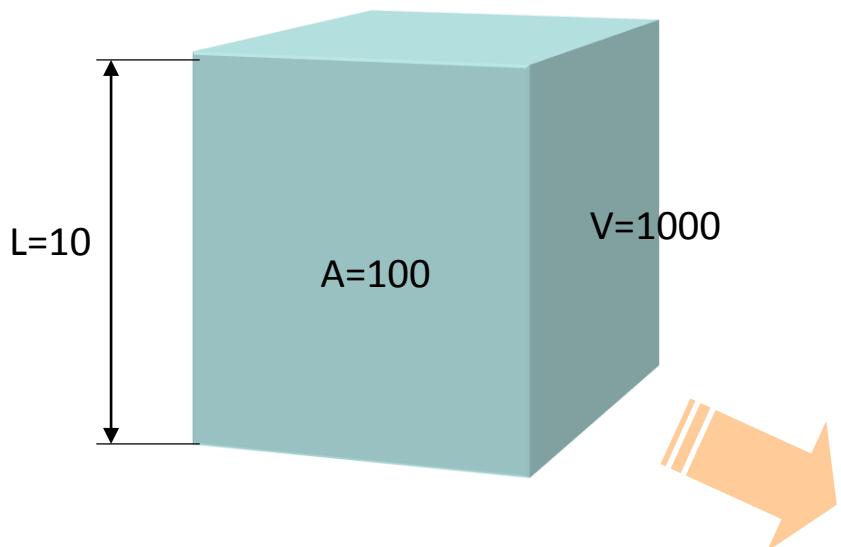
Sources de g. à d: ³¹Haliyo03, vangastel04, Université de Laval

Surface to volume ratio:

Calculate the surface to volume ratio of two cubes whose sides are 1mm and 10mm, respectively.

Diffusion:

calculate the time that a drop of milk takes to diffuse across a cup of coffee (diffusion in one dimension, no stirring, with $D = 10^{-9} \text{ m}^2/\text{s}$)

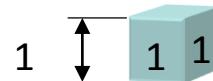


Scaling of dimensions

- length l
- area l^2
- volume l^3

Diffusion (Fick's 2nd law):

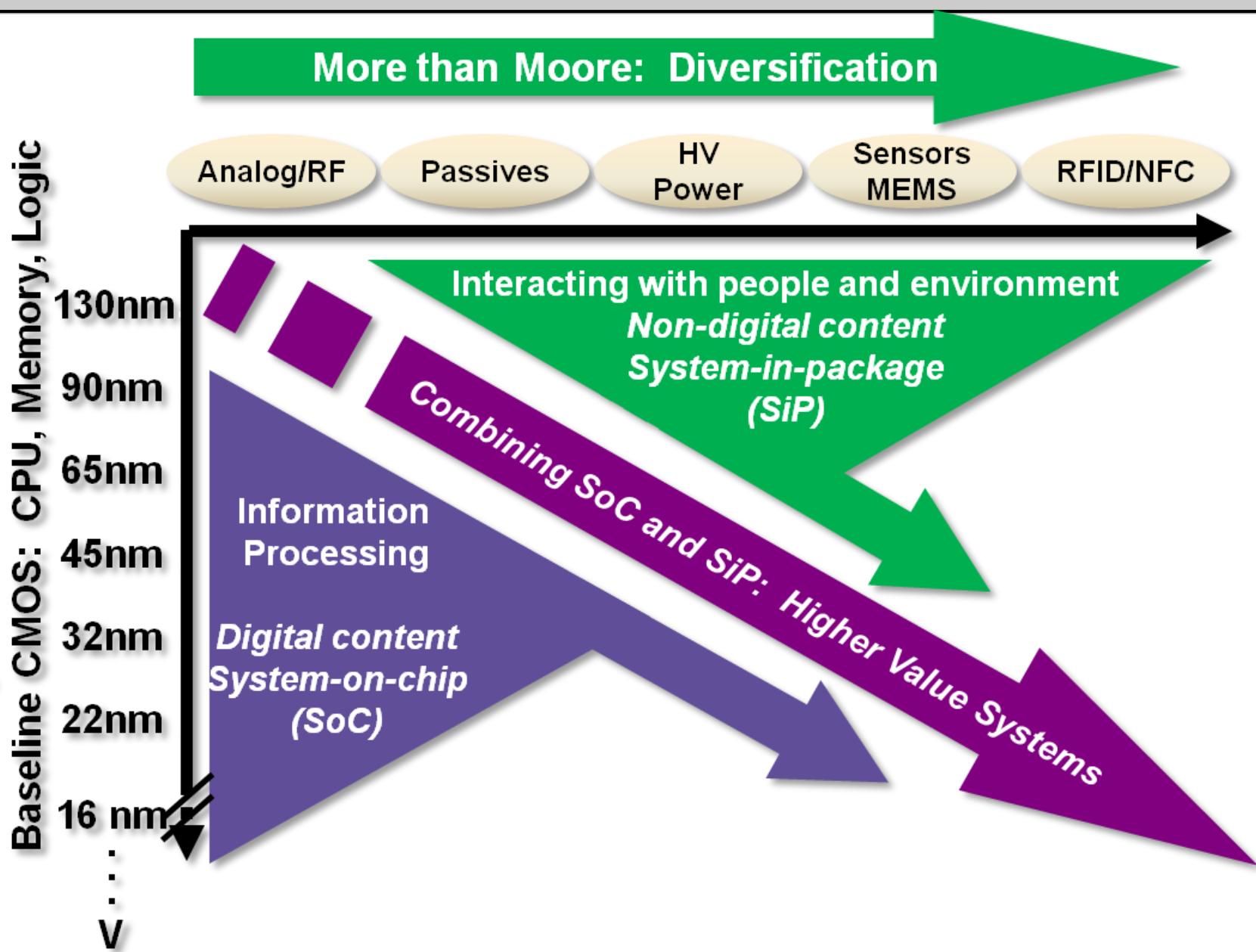
$$- x = \sqrt{2 D t}$$

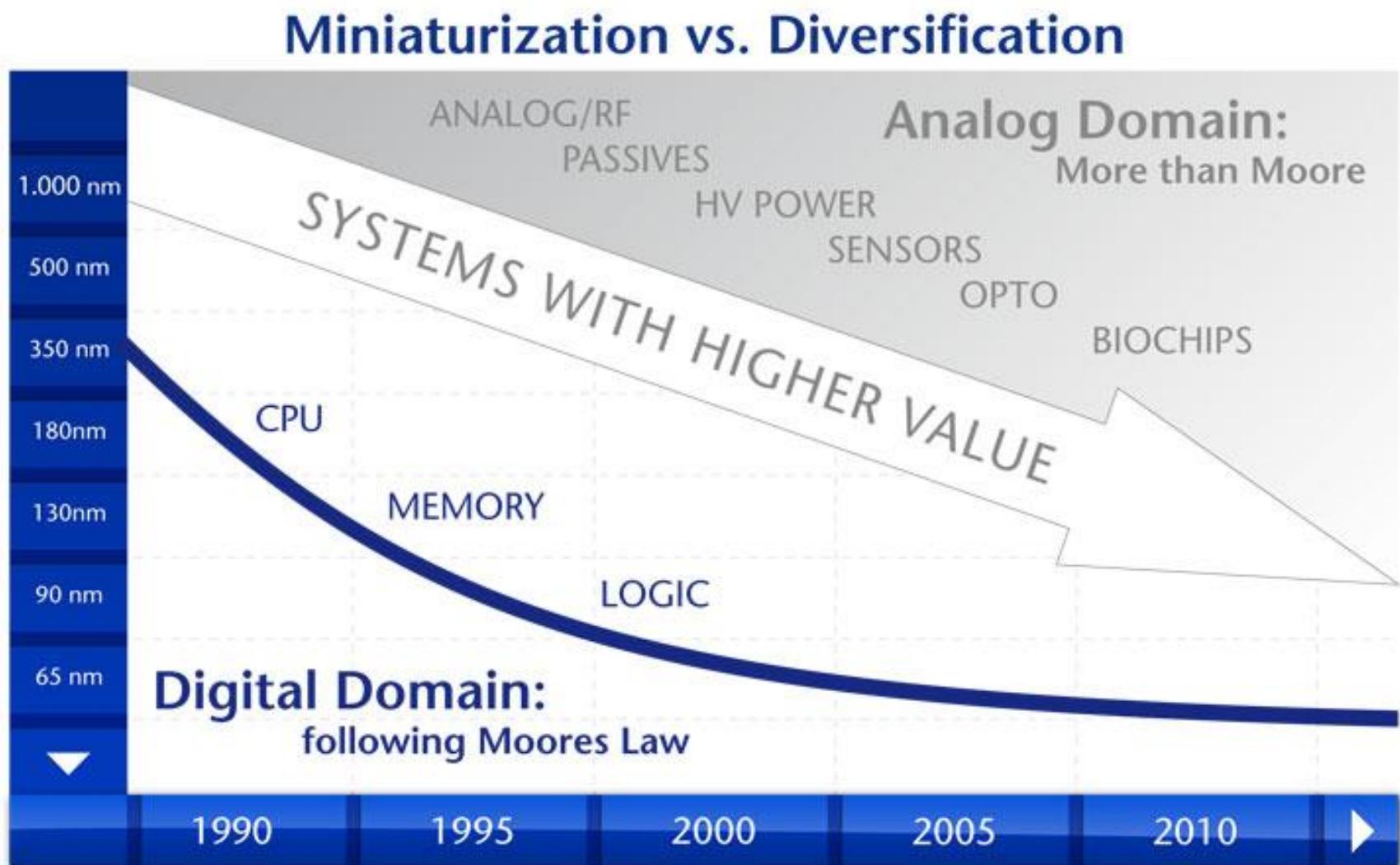


MEMS intro: Scaling laws

<i>Dimension (mm)</i>	<i>Volume</i>	<i>Surface-volume ratio</i>	<i>Diffusion time (s)</i>	<i>Number of molecules conc. of 1mM</i>
1	1 mL	1	500	6×10^{11}
0.46	100 nL	2	107	6×10^{10}
0.22	10 nL	4	23	6×10^9
0.1	1 nL	10	5	6×10^8
0.05	100 pL	22	1	6×10^7
0.02	10 pL	46	0.2	6×10^6
0.01	1 pL	100	0.05	6×10^5
0.001	1 fL	1000	0.0005	602

MEMS intro: Moore's law





Bonus lesson – Microfabrication

Lecture content

1. Introduction

- Cleanroom facilities
- Silicon bulk material

2. Lithography

- E-Beam
- Pattern transfer

3. Bulk Micromachining

- Isotropic wet etching
- Anisotropic wet etching (KOH),
- Isotropic Dry etching (RIE)
- Anisotropic Dry etching (DRIE)

4. Surface Micromachining

- Thin film depositions techniques, PVD, CVD, electroplating, ...
- Poly-Si, sacrificial layer techniques

5. Other techniques

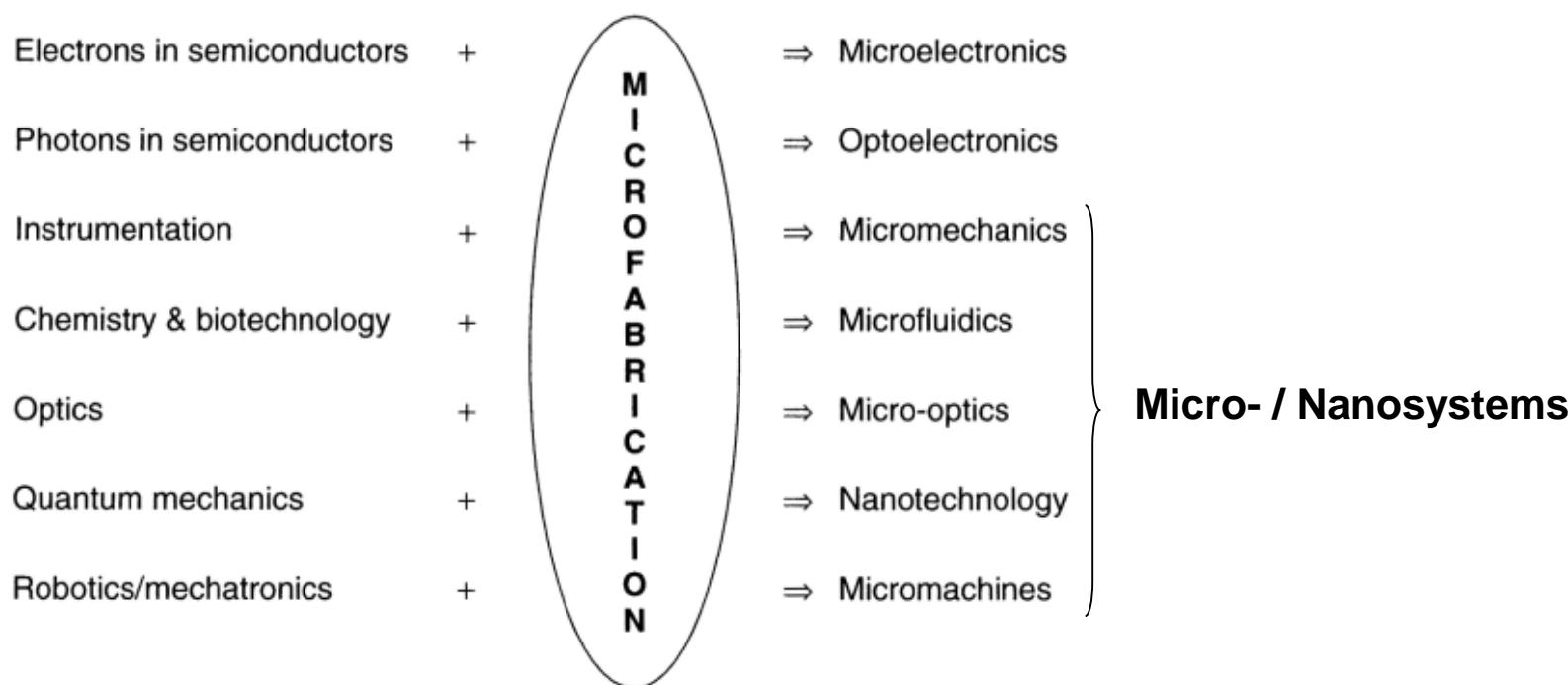
For More details:

From G.T.A. Kovacs, Micromachined Transducers Sourcebook, 1998 M. Madou, Fundamentals of Microfabrication, 1997

Youtube Video lecture series: <http://www.youtube.com/watch?v=JJEILA8k6Qg&list=PLWbWYEQLbAEaN1VdH9KF1G3TPQE06AbWd>

Microfabrication - Introduction

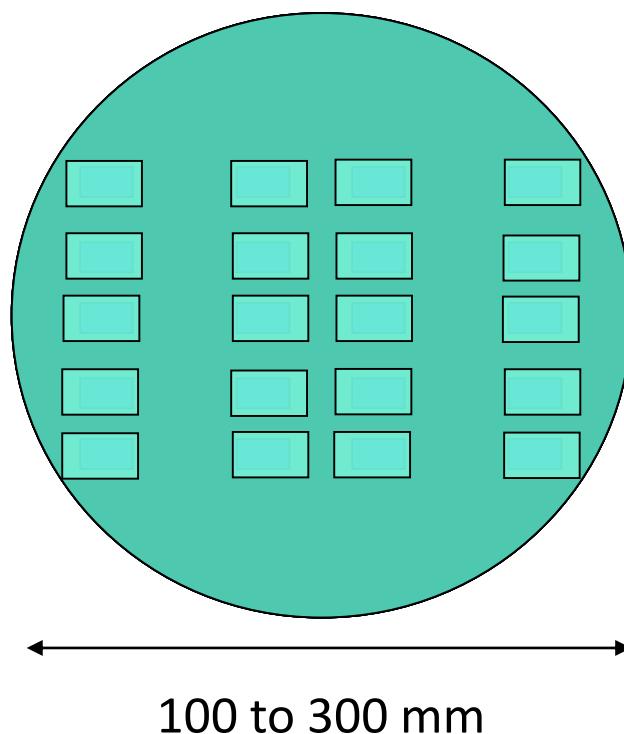
A manufacturing technology for making microscopic devices (ICs, MEMS) and benefits of the properties linked to the small dimensions



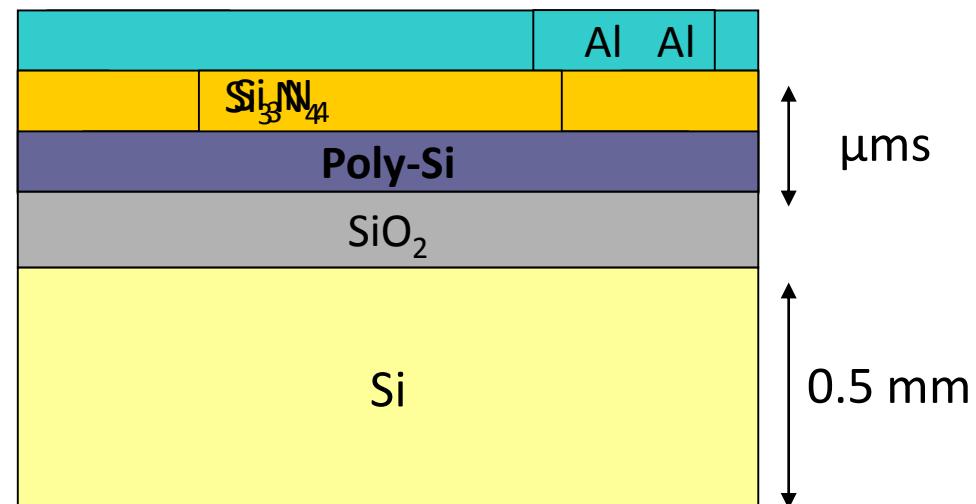
From S. Franssila, Introduction to Microfabrication, John Wiley & Sons Ltd (UK)

Microfabrication - Introduction

- Based on successive steps of thin film deposition and patterning
 - more than 20 steps in the ICs industry, Films thickness: 10 nm - few μ ms

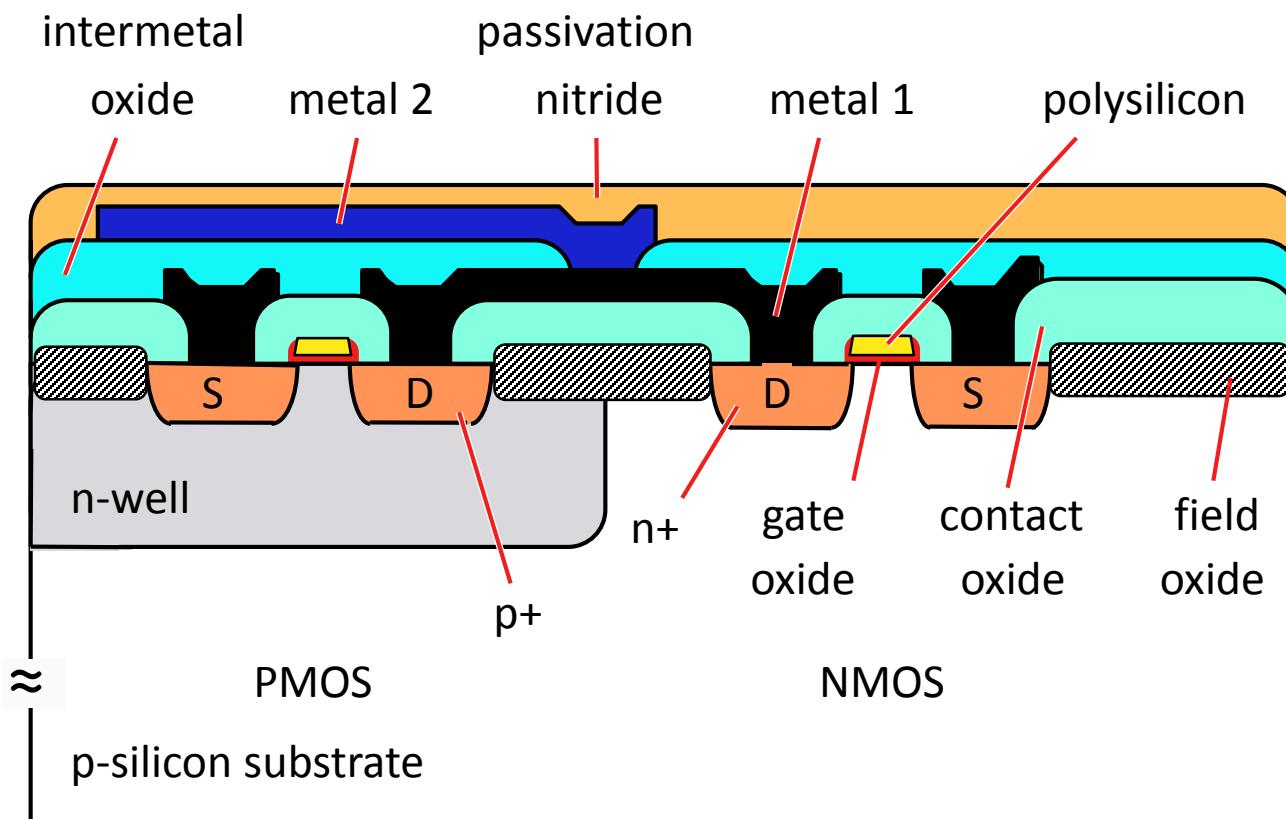


Example: Poly-silicon resistors



100 to 300 mm

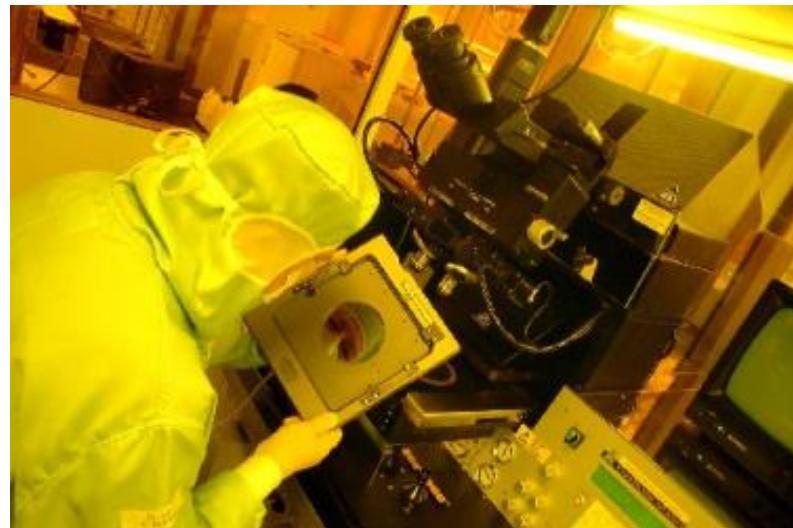
Microfabrication – CMOS Process



Materials:

- silicon substrate
- doped silicon
- polysilicon
- silicon oxide layers
- silicon nitride layers
- aluminum metal

Introduction - Cleanroom facilities



Introduction - Cleanroom facilities

- **Dustfree Cleanrooms, Class:**

- # of particles, in a cubic foot of air, > 0.5mm in size
- typical hospital operating room: class 1000
- outside air: class 500'000
- circa 1980's cleanrooms are class 100
- most modern cleanrooms are class 1

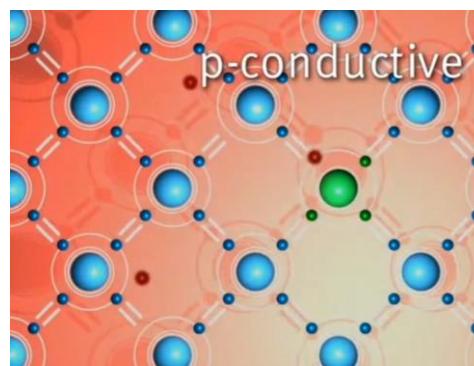
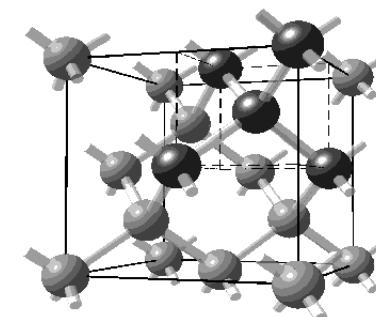
- **Additional Requirements:**

- temp. and humidity control
- gas supply
- waste management
- safety equipment
- clothing



Silicon bulk Material: Forms of Silicon

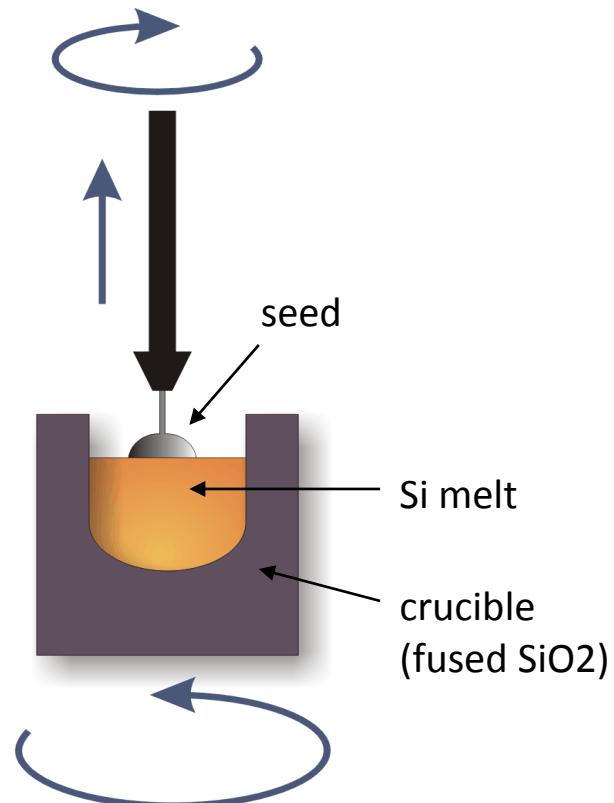
- naturally occurs in the form of silica (Quartz, sand, flint) and silicate rocks (SiO_2 combined with Al, Mn, Ca, Na, K, Fe,...)
- 2nd to oxygen in abundance (80 % of the Earth crust)
- is essential to the manufacture of glass
- early use in electronics: vacuum tubes (Silica), capacitors (Mica), resonators and filters (Quartz)



Silicon bulk Material: Crystal growth

The Czochralski (CZ) method

- > 80% of world production
- Si single crystal is grown from a crystal seed by pulling it from a molten and ultra-pure silicon melt (EGS)
- pull rate affects incorporation of impurities and influences the defect generation
- growth rate influences dopant distribution and the defect structure on a microscopic scale

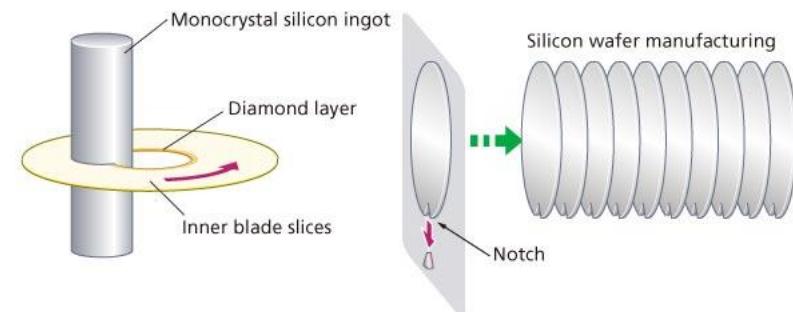
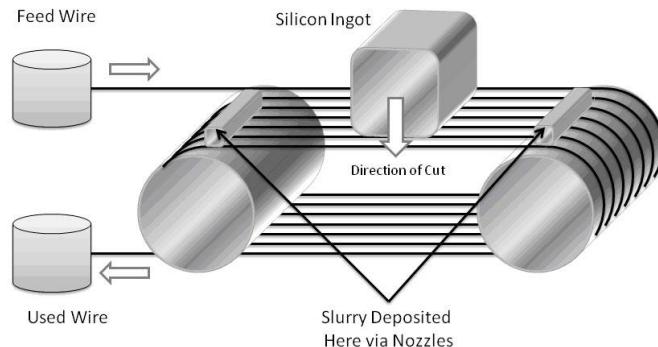


Czochralski growth: <http://www.youtube.com/watch?v=xftnhfa-Dmo>

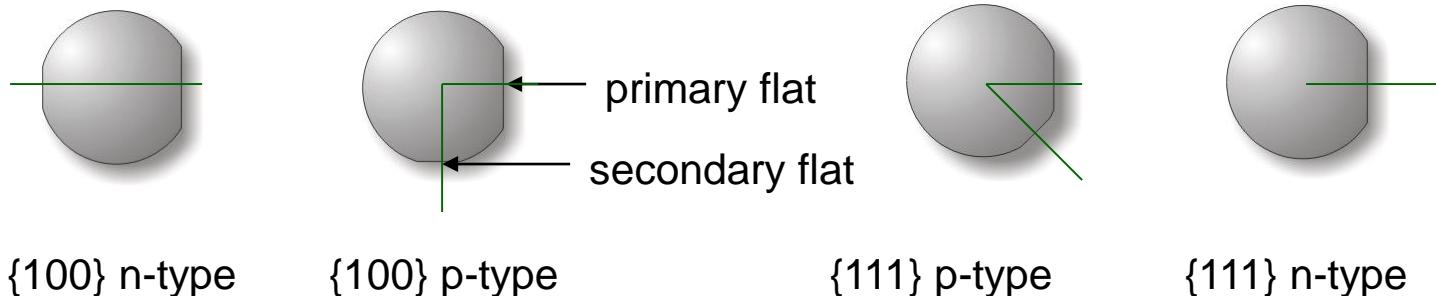
Czochralski growth and impurity doping: <http://www.youtube.com/watch?v=jh2z-g7GJxE>

Silicon bulk Material: Cutting and Flat grinding

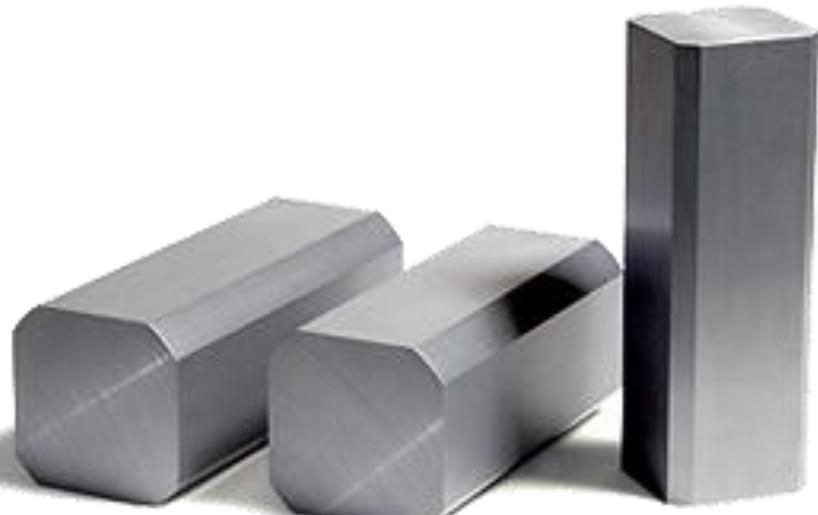
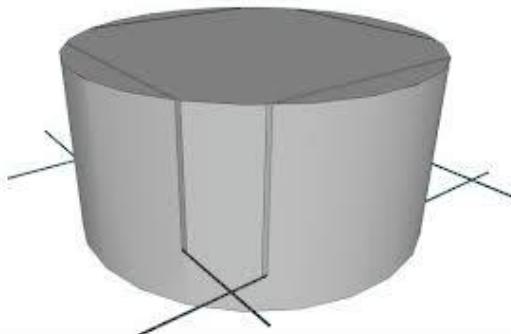
- Cutting the Silicon ingot into wafers



- Primary (orientation) and secondary (doping) flat grinding,
- crystal orientation is defined by x-ray technique, precision is +/- 1 um



Silicon bulk Material: Pseudo square Silicon wafers for solar industry

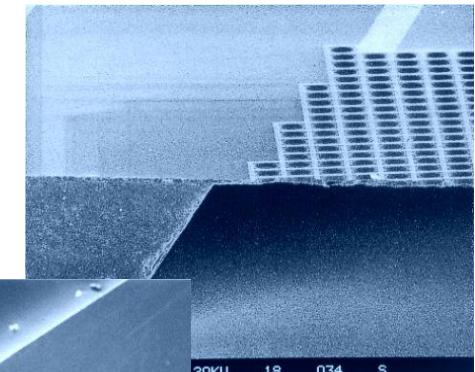


<http://www.youtube.com/watch?v=-QPYw8EN4VM>

Other Materials used for MEMS

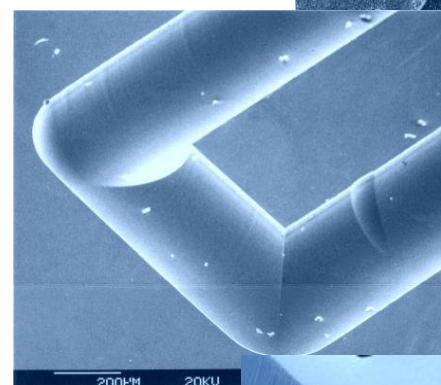
- **Silicon**

- well established processes
(bulk and surface micromachining)
- mechanical, semiconductor properties



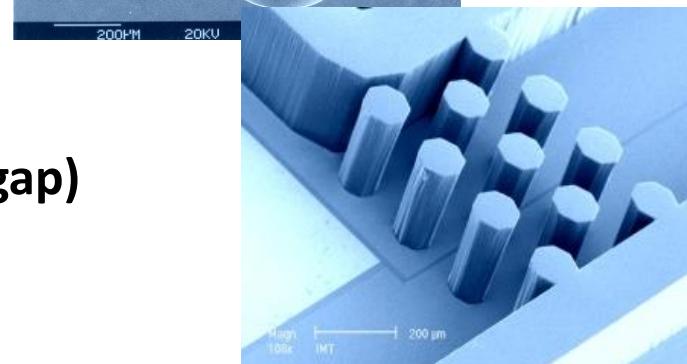
- **Glass**

- well established processes
(bulk micromachining and add-on layers)
- optical, dielectric properties



- **Plastics**

- good engineering know-how
- low cost material
- replication techniques

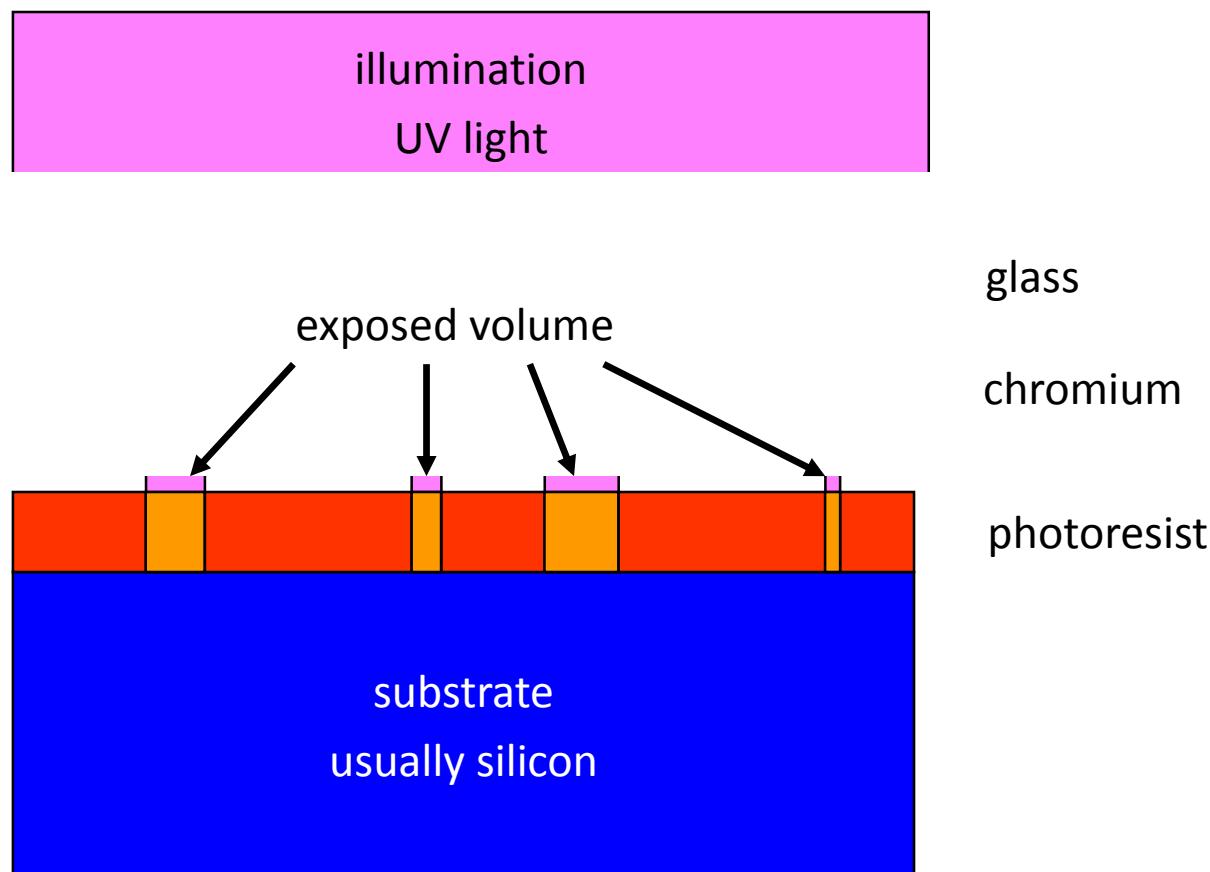


- **Ceramics (LTCC) + silicon carbide (high band gap)**

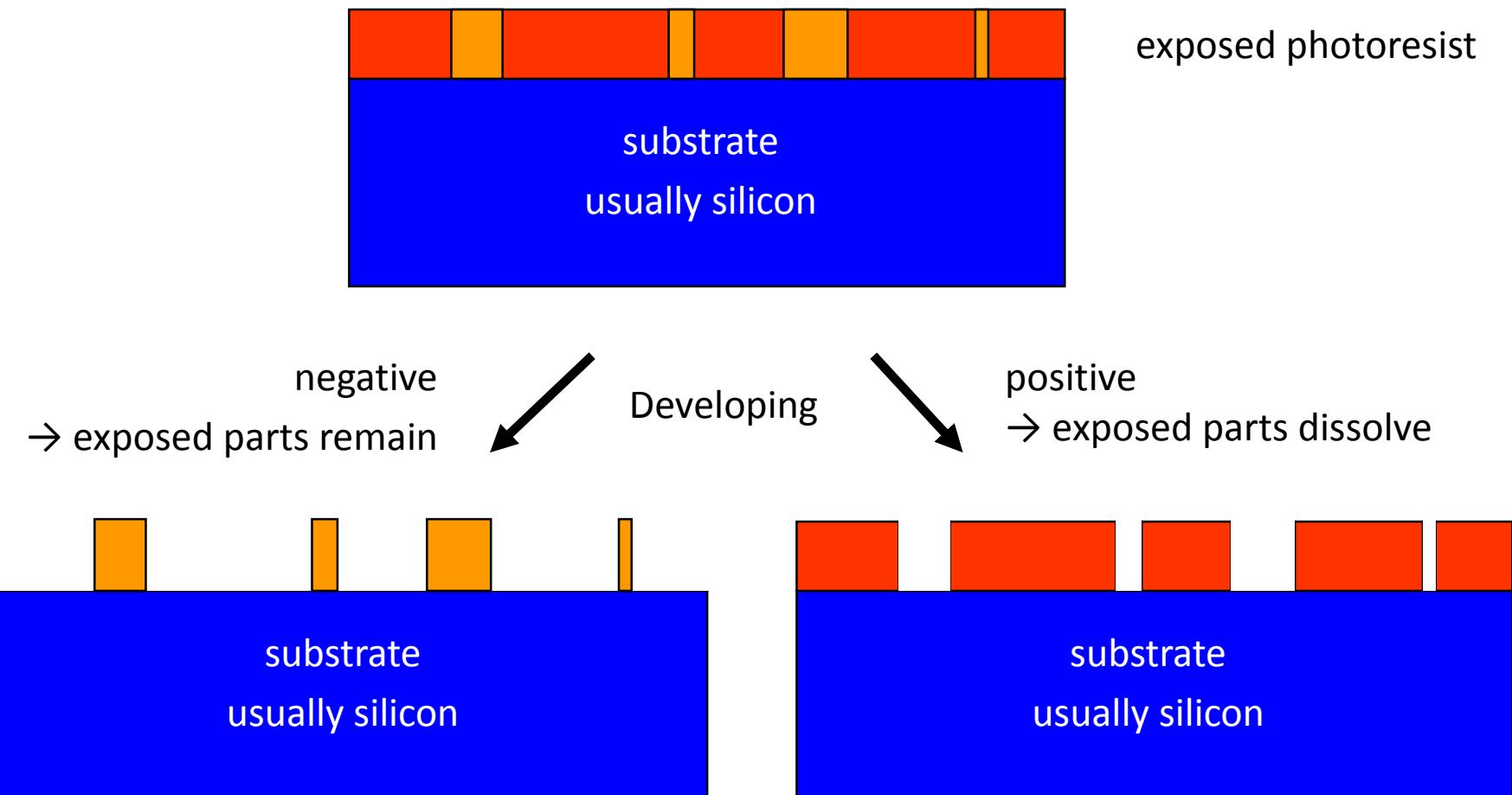
- resistant to high temperature
- mechanical, laser micromachining, molding

Lithography - Concept of Lithography

Pattern transfer by illumination of a mask into photoresist.

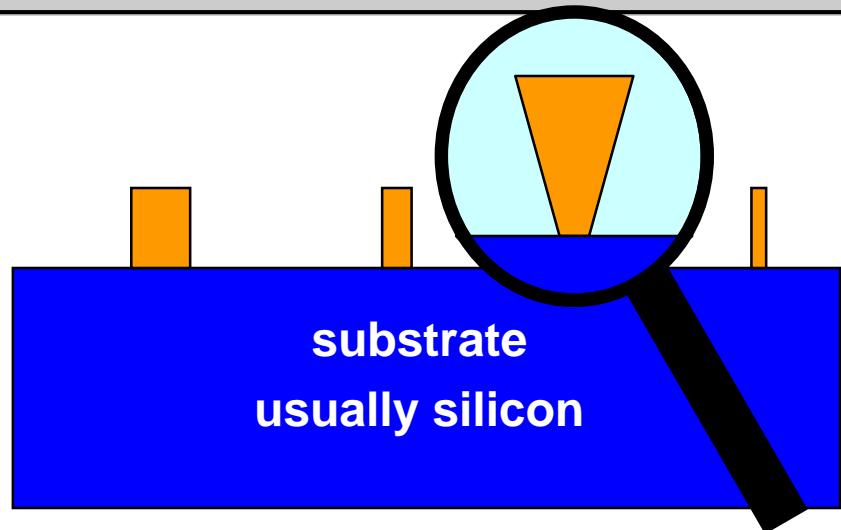


Lithography – Positive and negative Photoresist



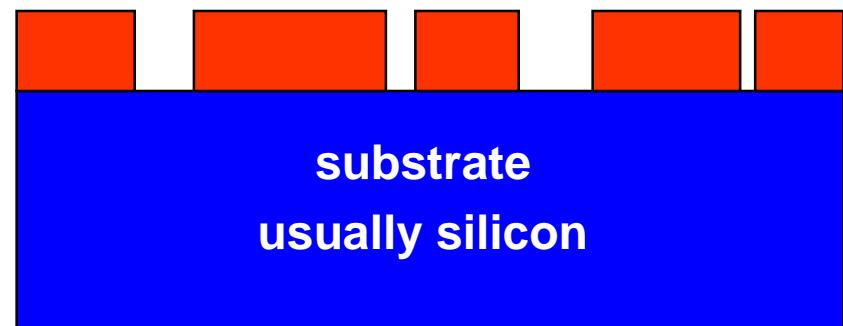
Lithography – negative Photoresist

- exposed resist remains
- excellent adhesion on silicon
- low contrast
- better for small exposed areas
- developed mostly by organic solvents (swelling)
- overcut in side-walls
- very good for lift-off (metal leads)
- high chemical resistance
- very good for wet and dry etching (RIE)
- very sensitive to humidity
- smallest feature size ca. 1.5 μm
- fair step coverage



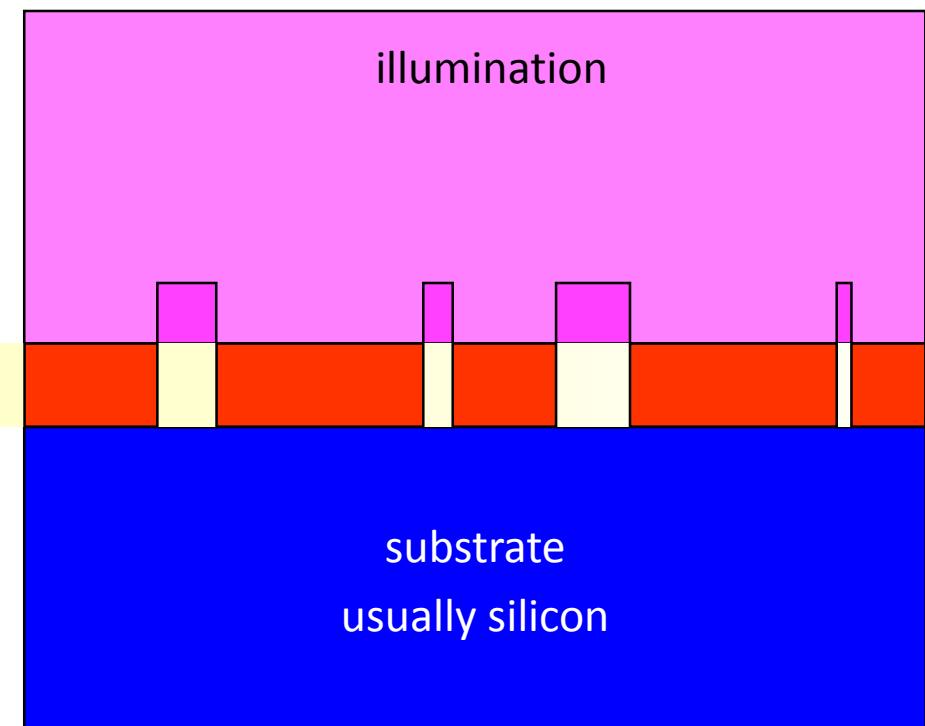
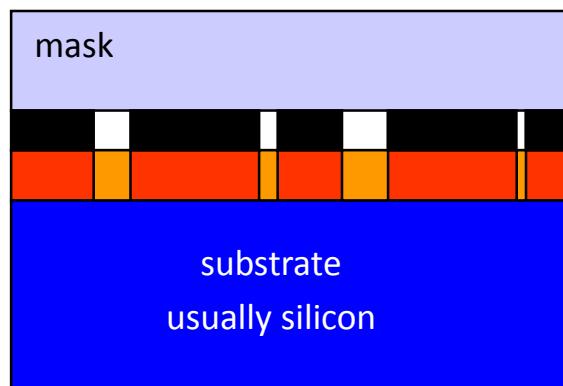
Lithography – positive Photoresist

- unexposed resist reamains
- fair adhesion on silicon (humidity)
- high contrast
- better for large exposed areas
- developed mostly by aqueous solutions
- almost vertical side-walls
- limited use for lift-off (metal lines/leads)
(exception: e-beam lithography)
- fair resistance to wet and dry chemical etching
- removable with cheap solvents
- good step coverage



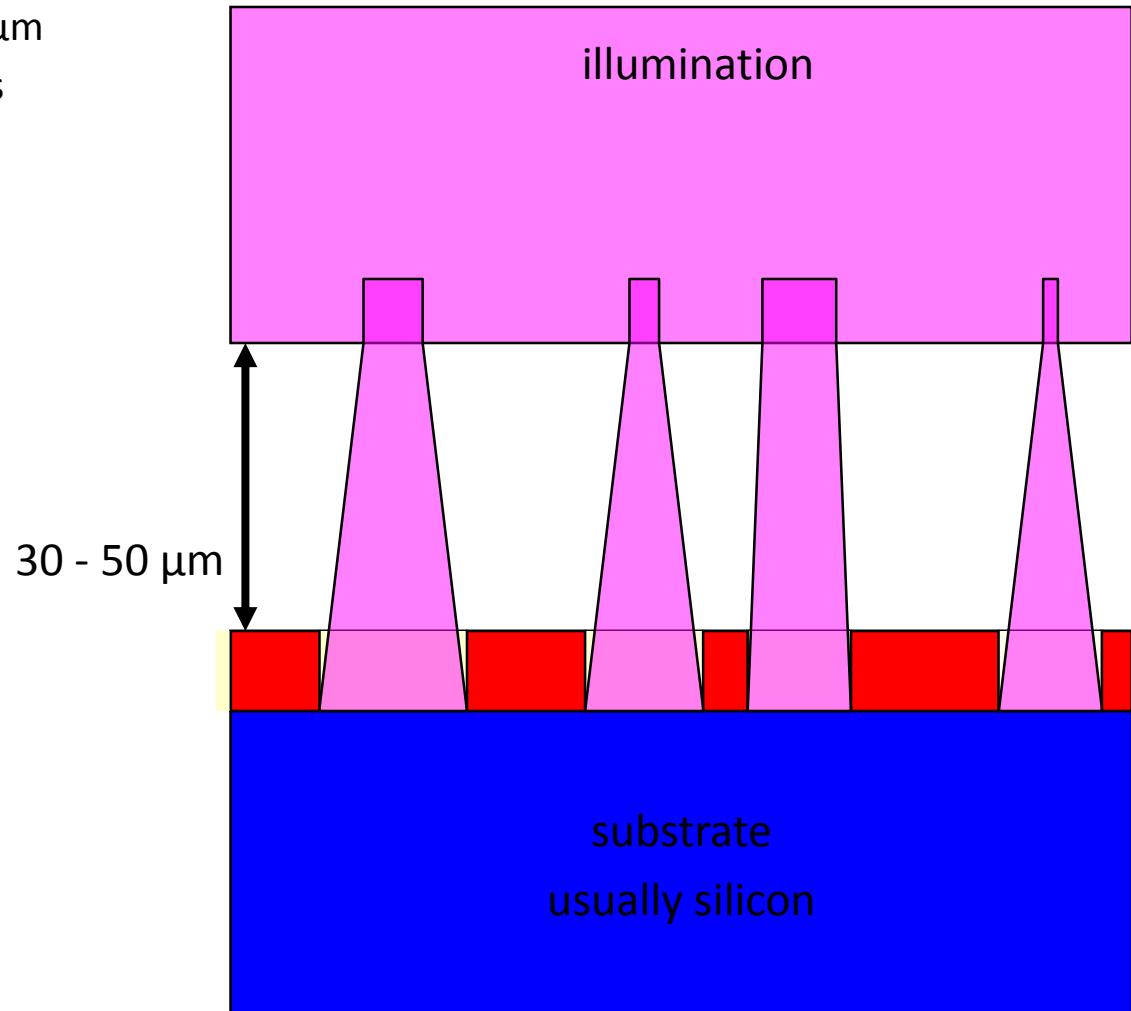
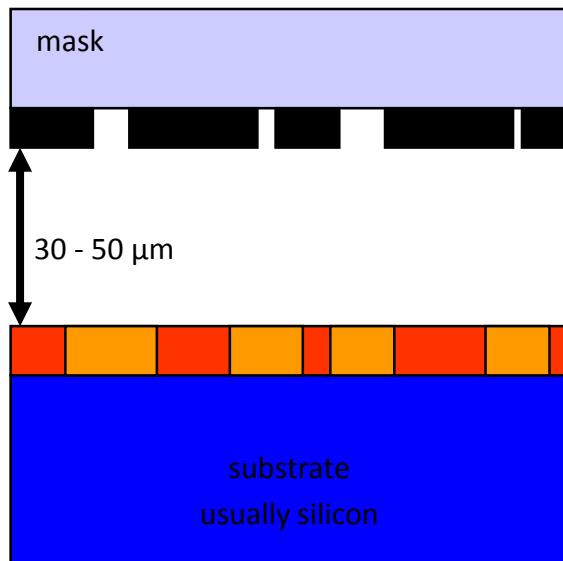
Lithography – contact exposure

- 1:1 pattern transfer
- Wafer and mask in direct contact
- Very small patterns
- Very little diffraction on thin resist
- Mechanical damage to mask
- Mask cleaning
- Complete wafer is exposed



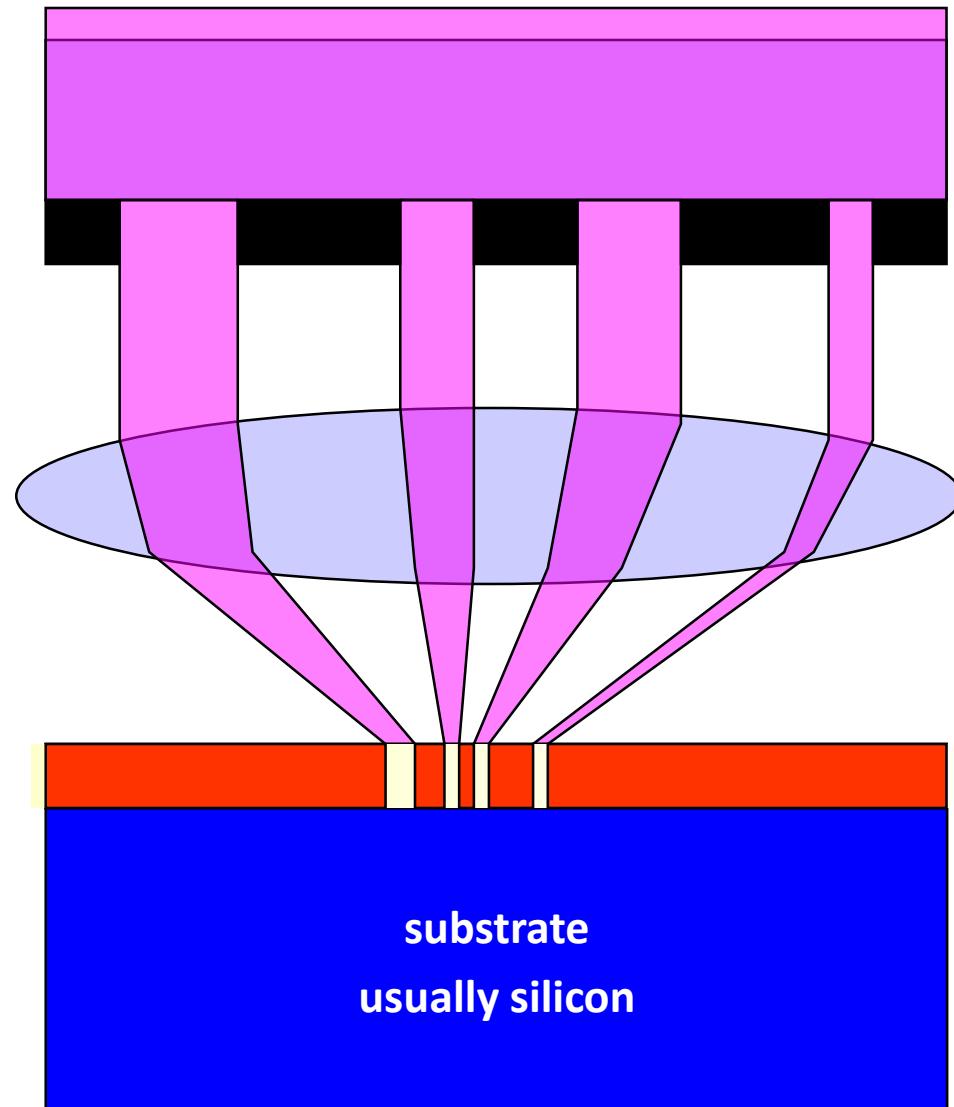
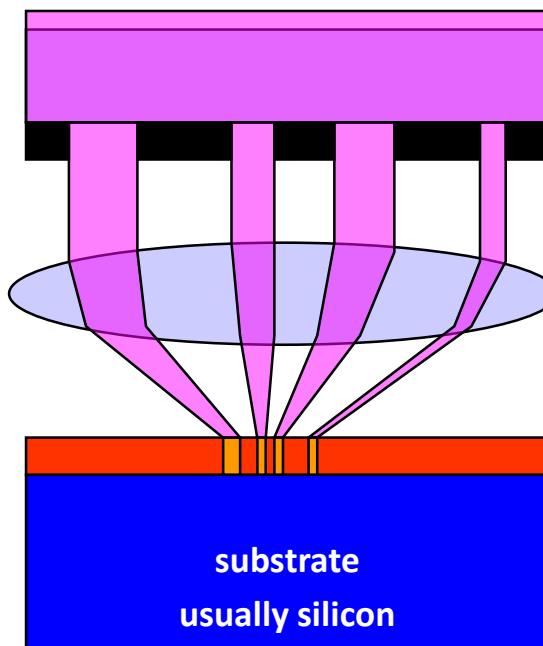
Lithography – proximity exposure

- Pattern worse than 1:1
- Wafer to mask distance about $50\text{ }\mu\text{m}$
- Bent wafers or sensitive structures
- Large patterns
- Diffraction increases feature size
- No damage to mask
- No mask cleaning
- Complete wafer is exposed

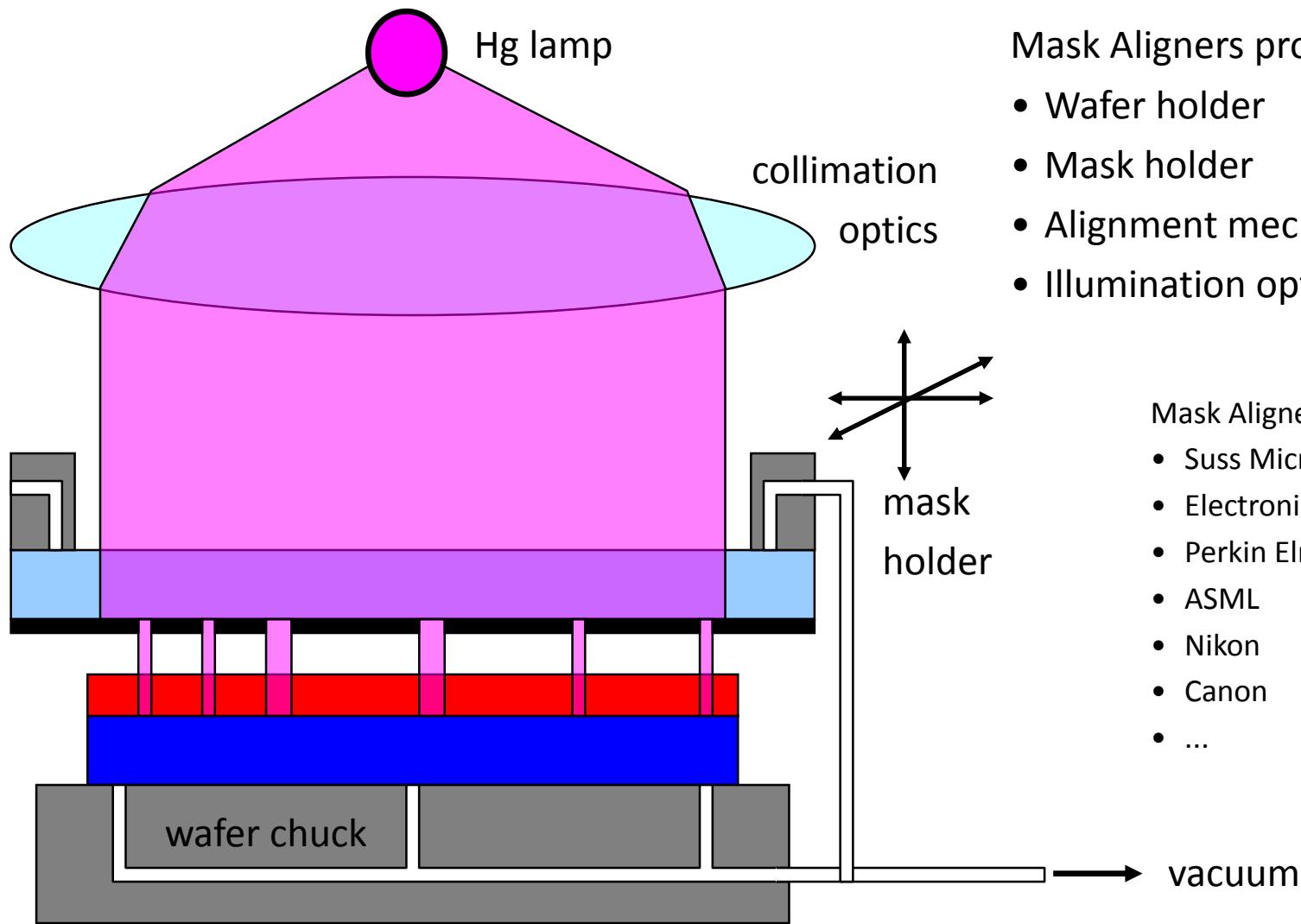


Lithography – projection exposure

- 1:n scaling, e.g. n=5
- Mask is optically projected onto wafer
- Extremely small patterns
- No mechanical damage to mask
- Very expensive
- Only part («die») of wafer is exposed



Lithography – Principle of Mask Aligners



Mask Aligners provide

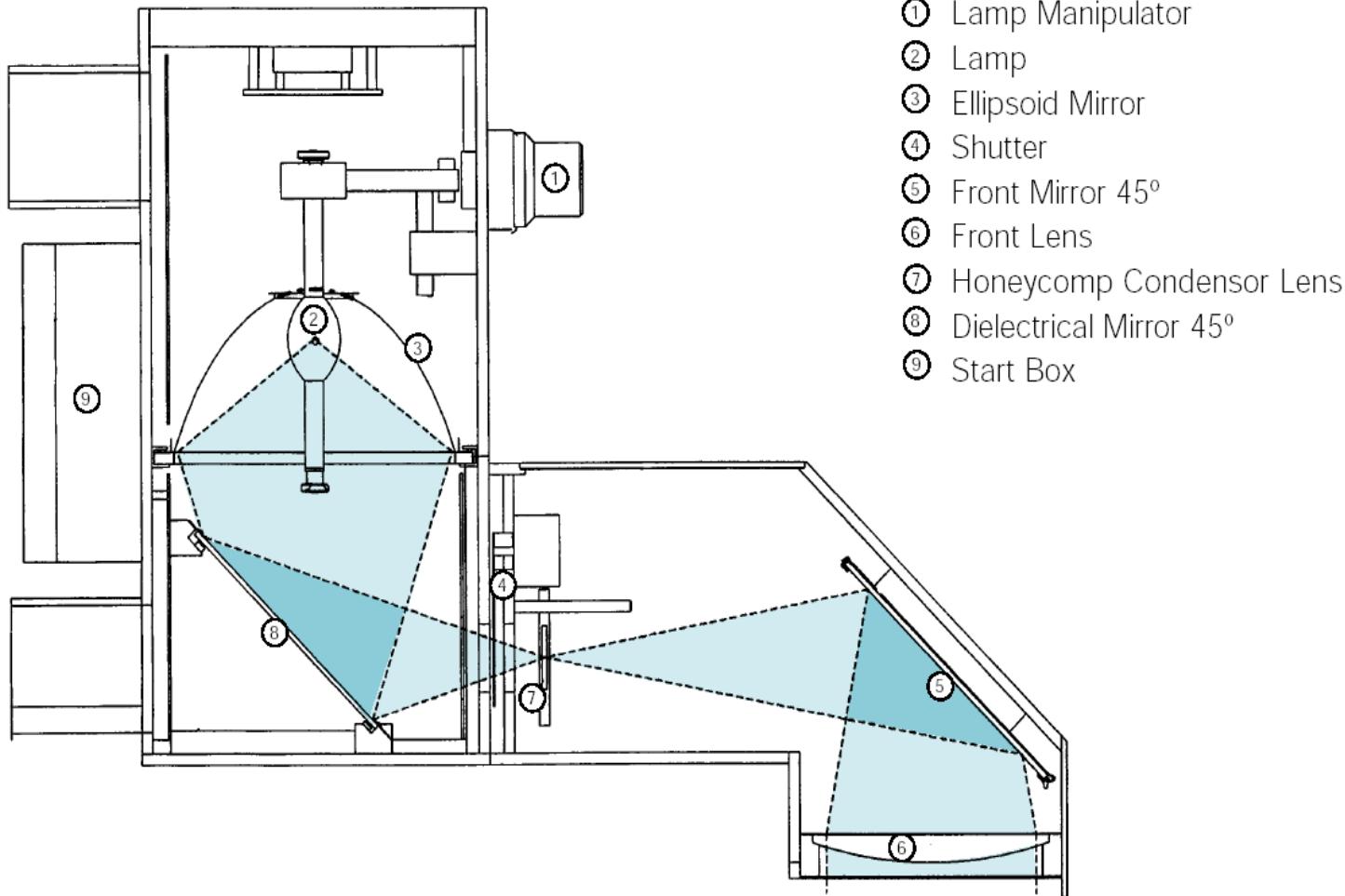
- Wafer holder
- Mask holder
- Alignment mechanics
- Illumination optics

Mask Aligner Companies:

- Suss MicroTec
- Electronic Vision
- Perkin Elmer
- ASML
- Nikon
- Canon
- ...

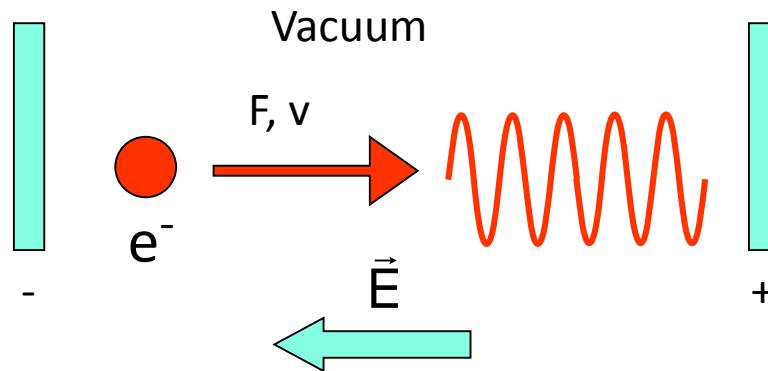
Lithography – Principle of Mask Aligners

For example Karl Suss MA 1006



E-Beam Lithography

Electrons interact with electric and magnetic fields



$$\lambda = \frac{h}{p};$$

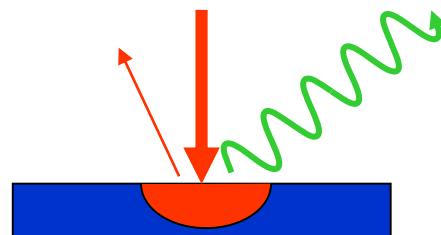
$$E = \frac{m}{2} v^2 = eU$$

$$\Rightarrow \lambda = \frac{h}{\sqrt{2m_{\text{electron}} e U}}$$

$$\left. \begin{array}{l} h = 6.62 \times 10^{-34} \text{ Js} \\ m = 9.11 \times 10^{-31} \text{ kg} \\ e = 1.60 \times 10^{-19} \text{ C} \end{array} \right\}$$

$$\Rightarrow \lambda \approx 1.23 \text{ nm} / \sqrt{V}$$

Electrons strongly interact with matter



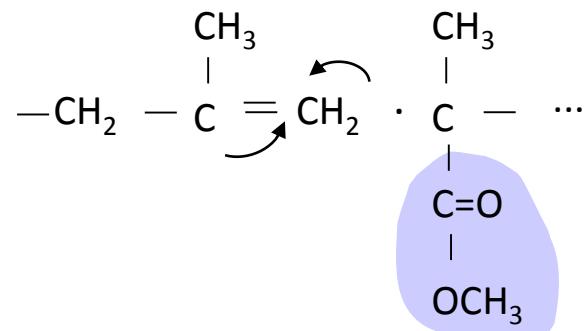
The penetration depth of electrons is small, it depends on its energy and the substrate!
The kinetic energy of the electron is released into secondary particles and heat

E-beam Lithography



thermal effect: Electron-beam evaporator for thin film technology

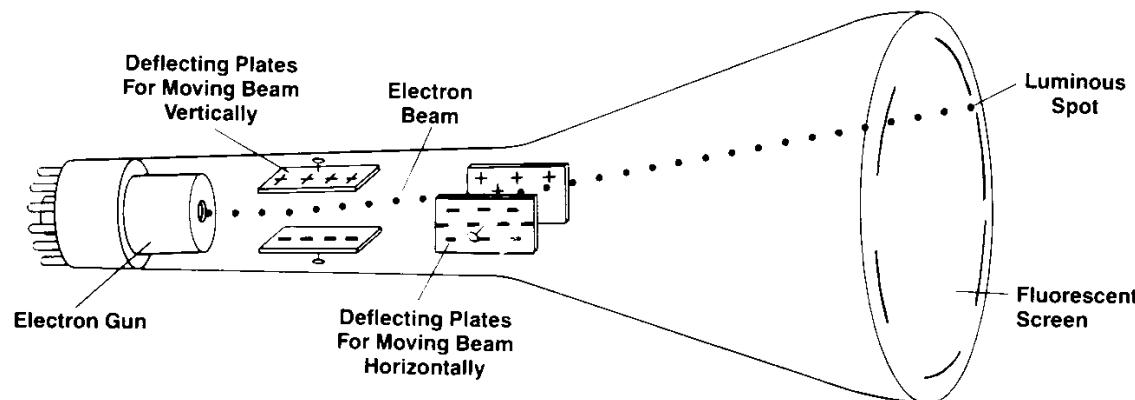
Poly (methylmethacrylat)



ester side
chain

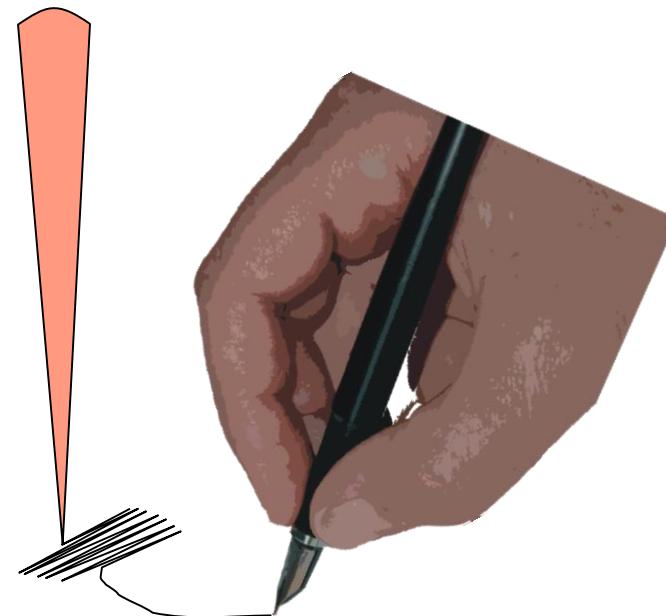
chemical effect: Bond breaking
stops by (re)combination of two
unzipped pieces

E-beam Lithography



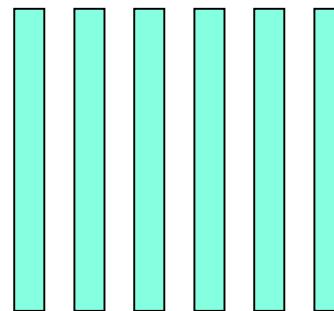
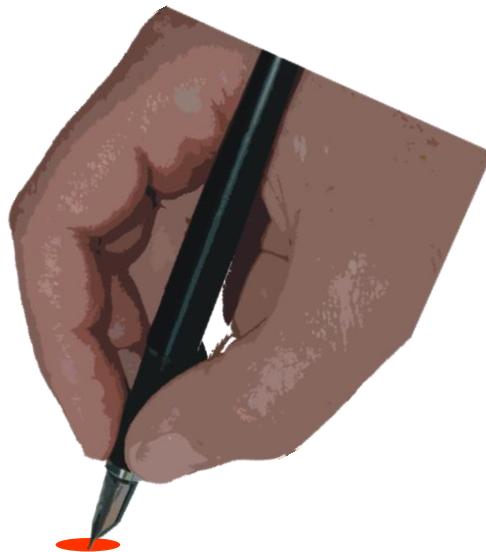
A ray of electrons, a "pencil", can be deflected by transverse electric fields (c.f. CRT!).

⇒ electron beam writing!



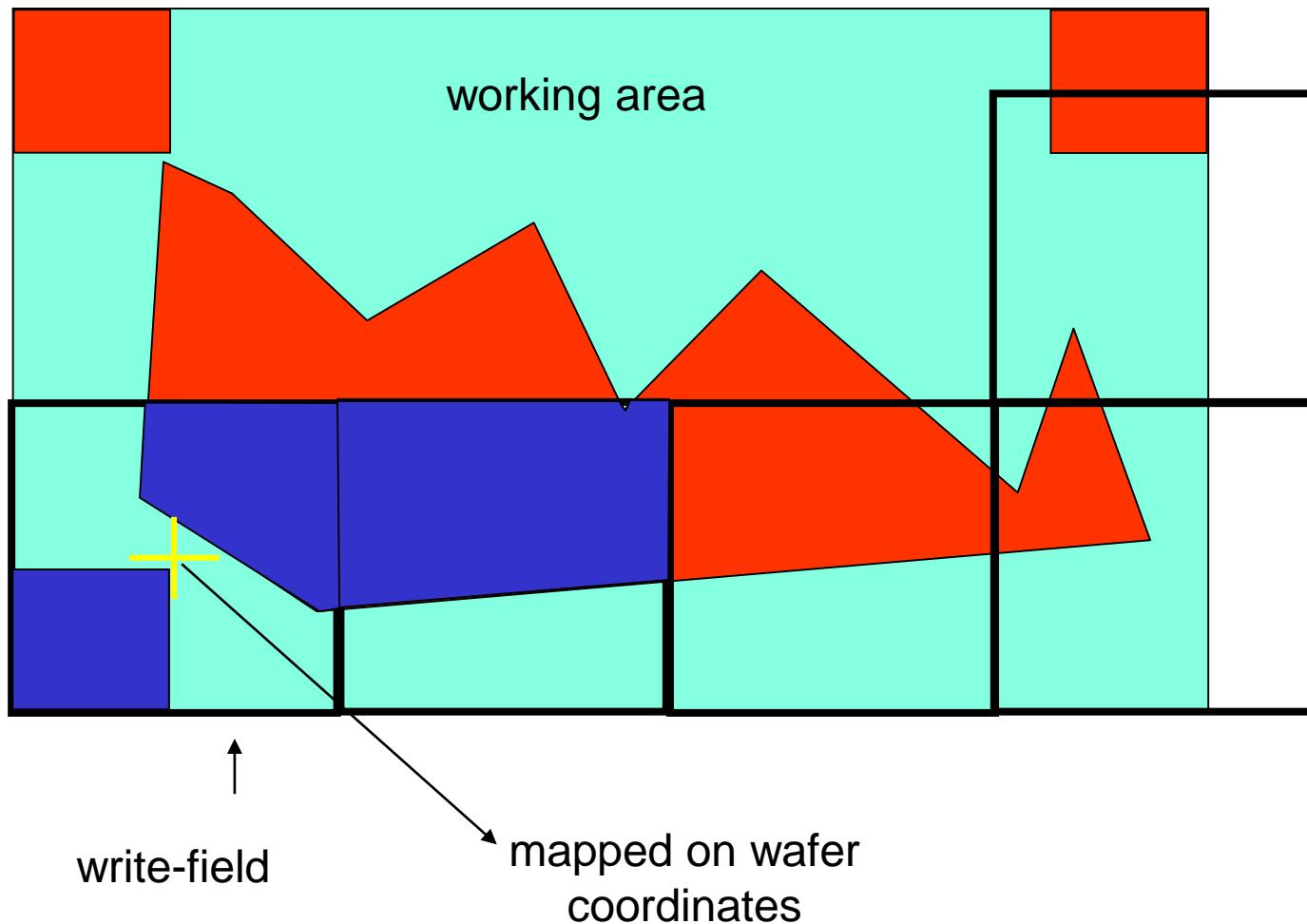
E-beam Lithography - Resolution

feature size = f (beam diameter, interaction, interaction time, development)



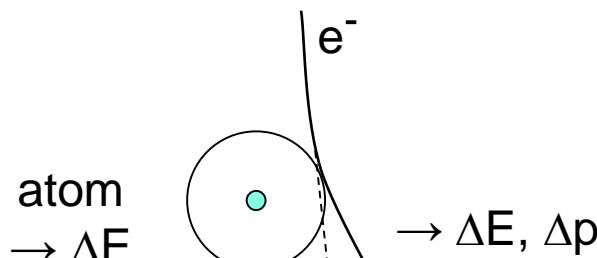
⇒ Equal lines and spaces is the only sensible way to characterize resolution of EBL

Concepts of writing - write field stitching



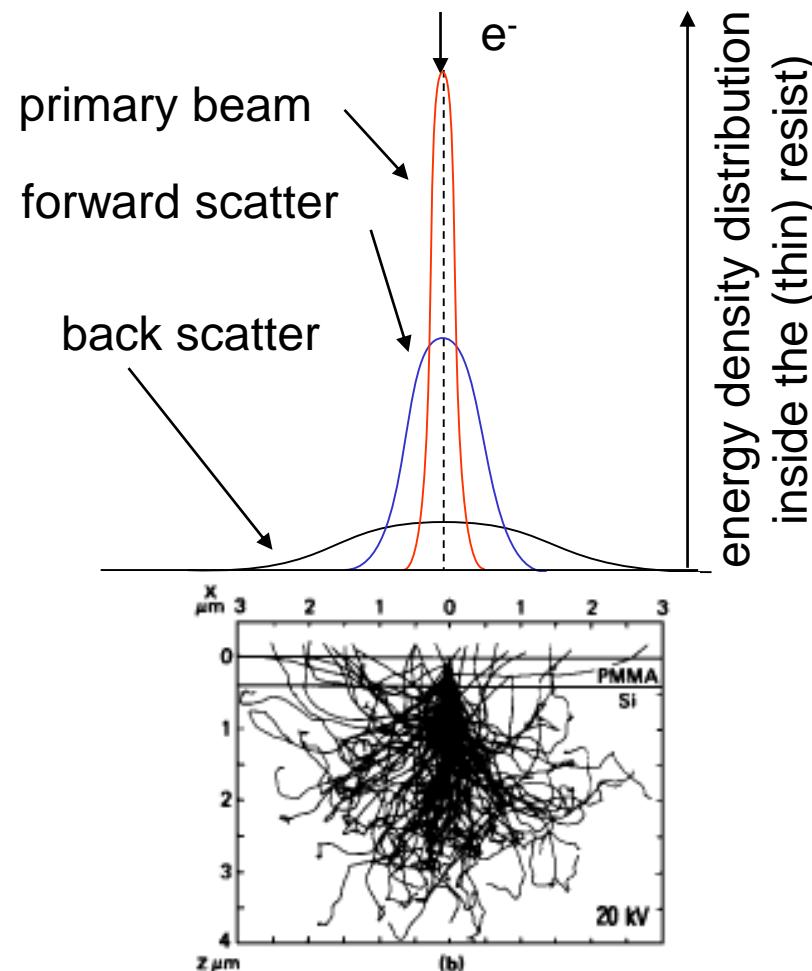
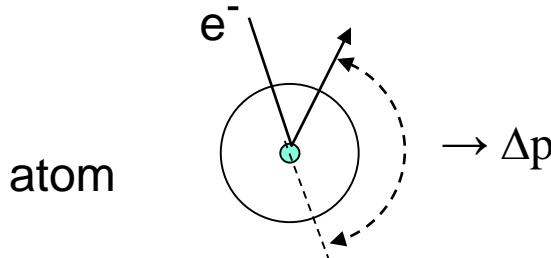
Electron - Solid interaction

forward scattering (inelastic)



"secondary electron"

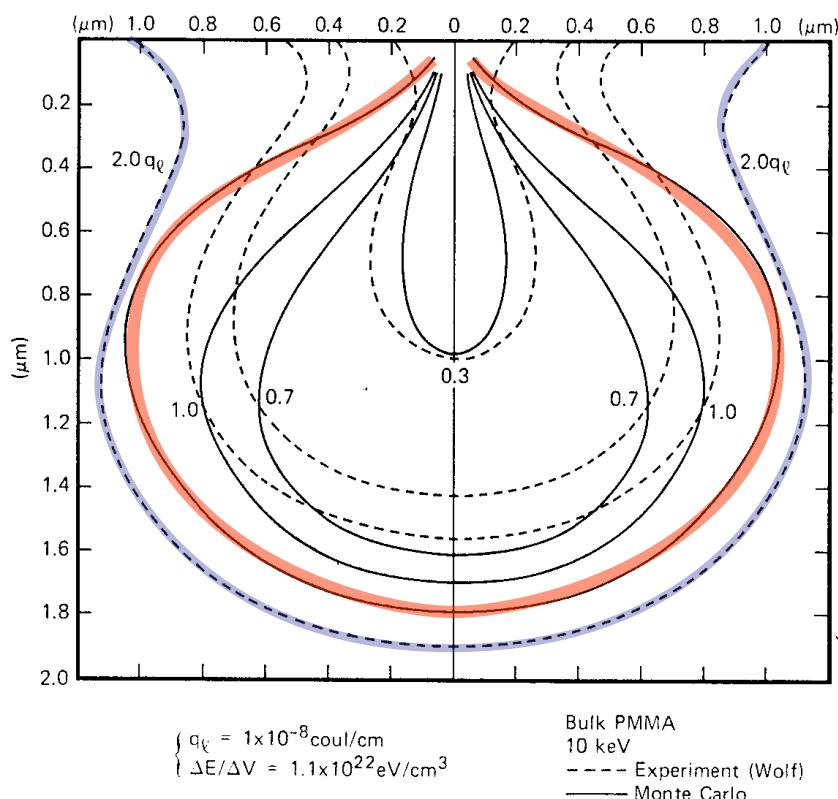
back scattering (elastic)



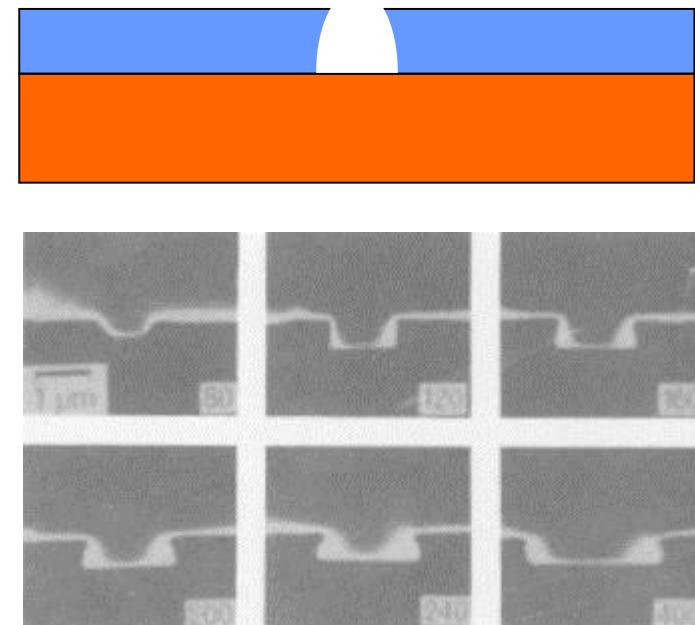
D.F. Kyser, N.S. Viswanathan, JVST **12**, 1305 (1975)

Electron - resist interaction

contours of iso-dose
lines in the resist



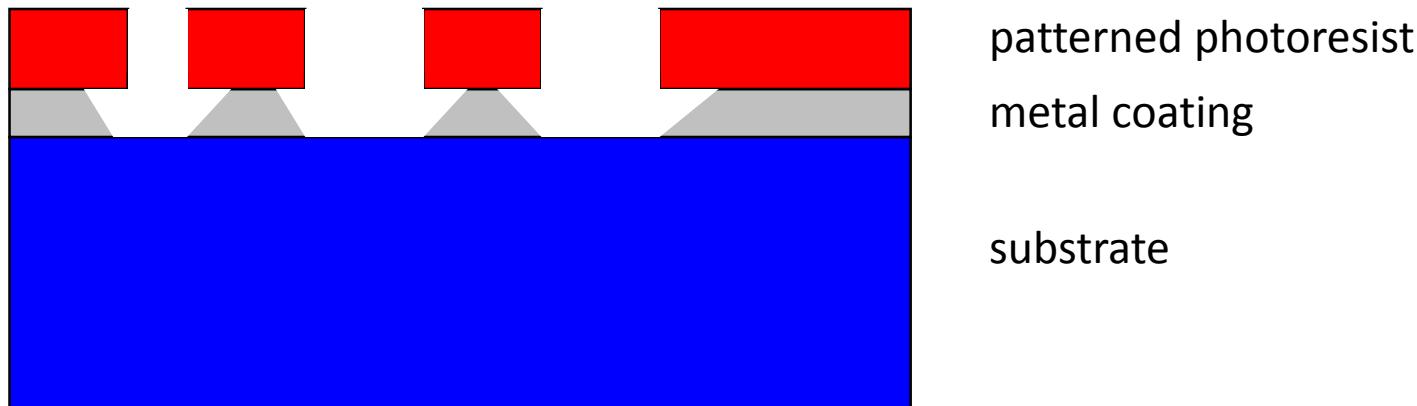
contours of iso-dose
lines in the resist



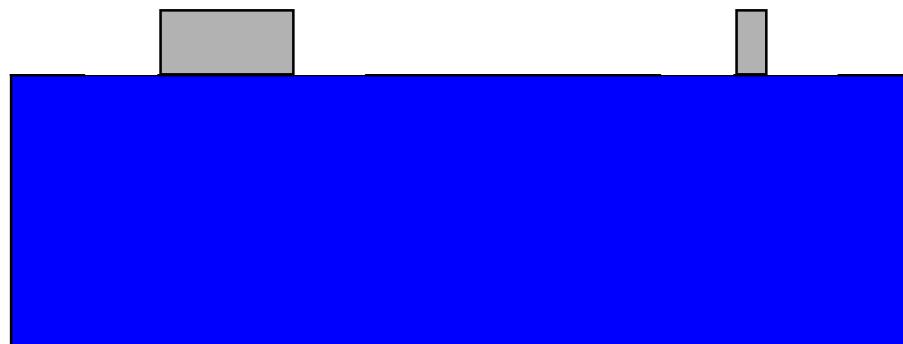
resist profile as function of dose:
80, 120, 160, and
200, 240, 400 μC/cm²

Pattern transfer – technology

1) Isotropic Etching

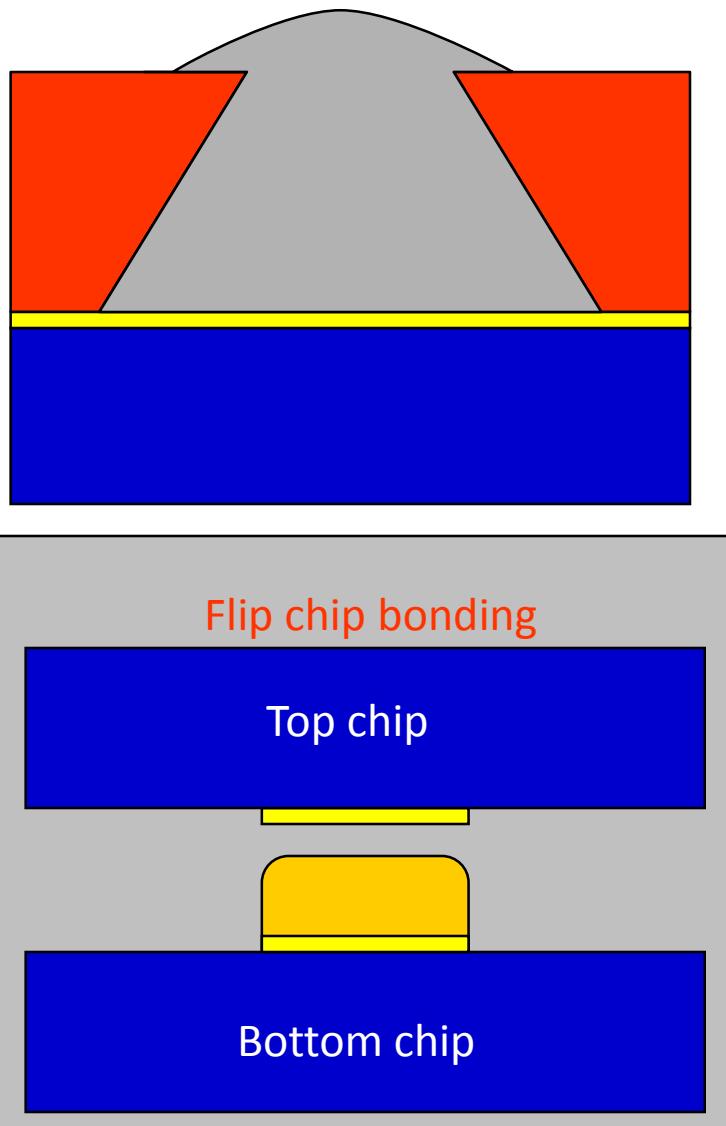


2) Lift-Off



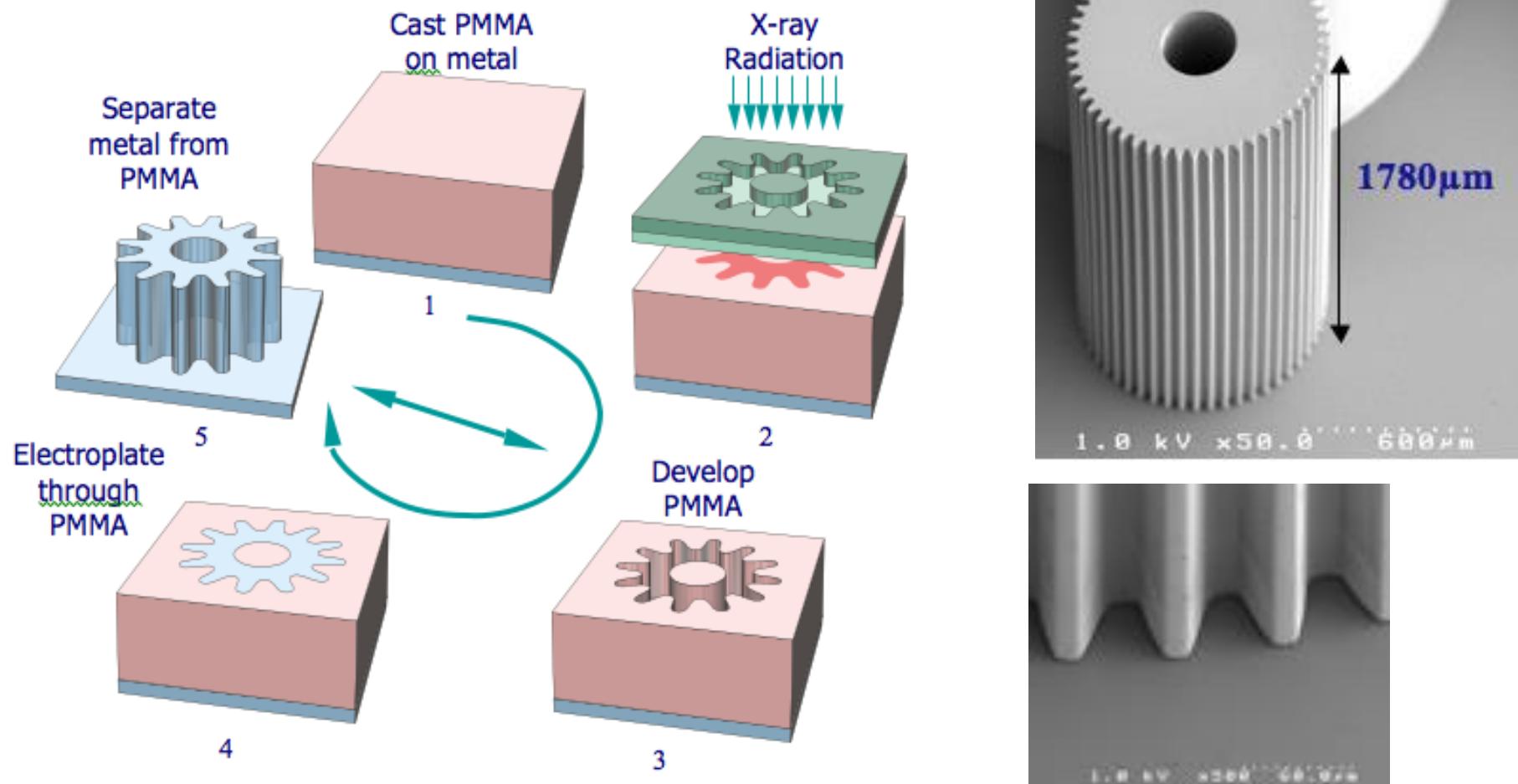
1. patterned photoresist with undercut
2. de-scum
3. metal evaporation

Pattern transfer – electroplating with thick resist



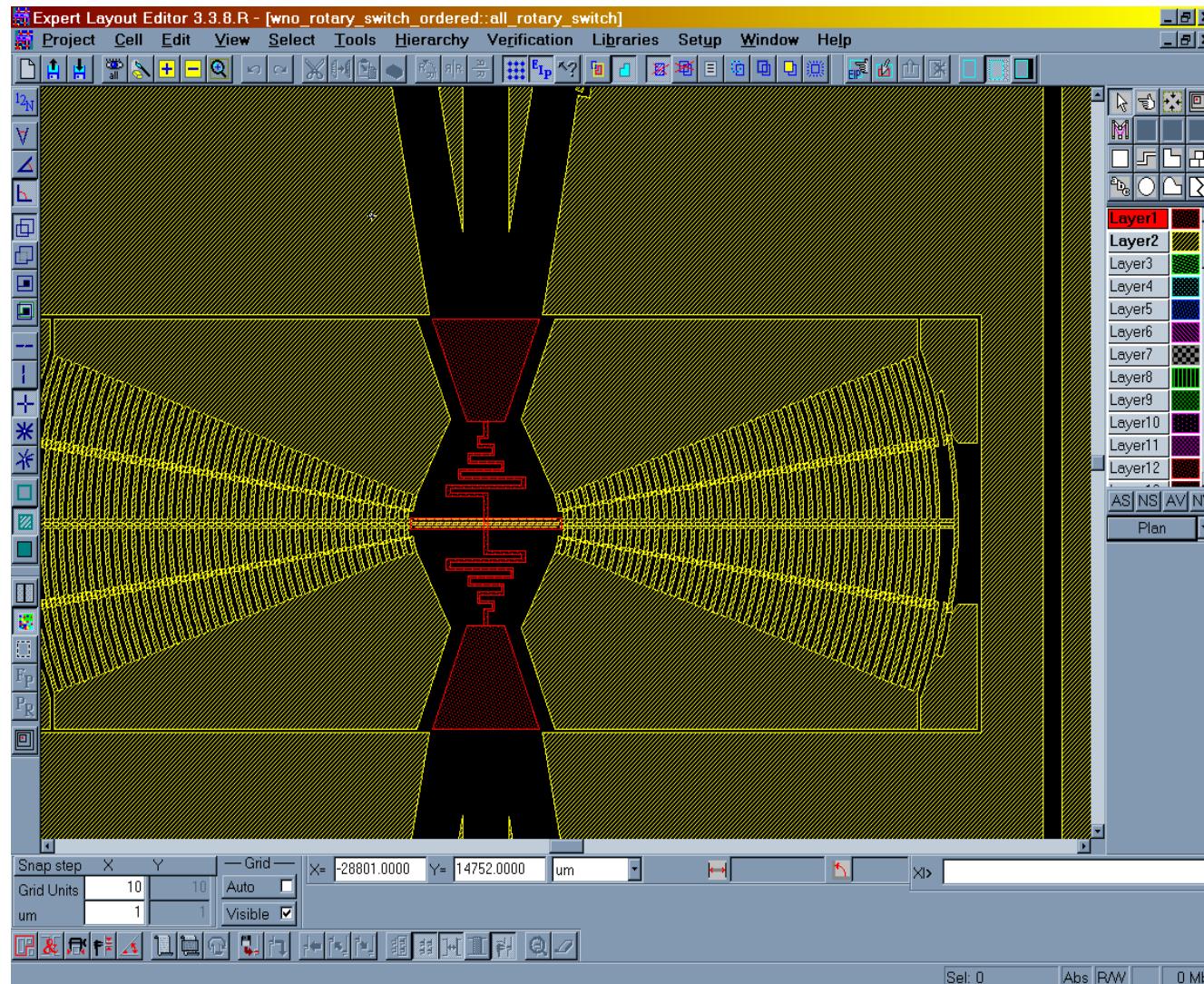
1. Thick resist as lateral limits for electroplating (galvanic growth)
2. Starting metal layer
3. Aqueous solution with metal ions
4. By applying a voltage to the substrate, metal ions are reduced on starting metal layer
5. Resist is removed
6. Substrate can be removed as well
7. Small metal parts with very high precision or solder bumps for flip chip bonding

Pattern transfer – LIGA

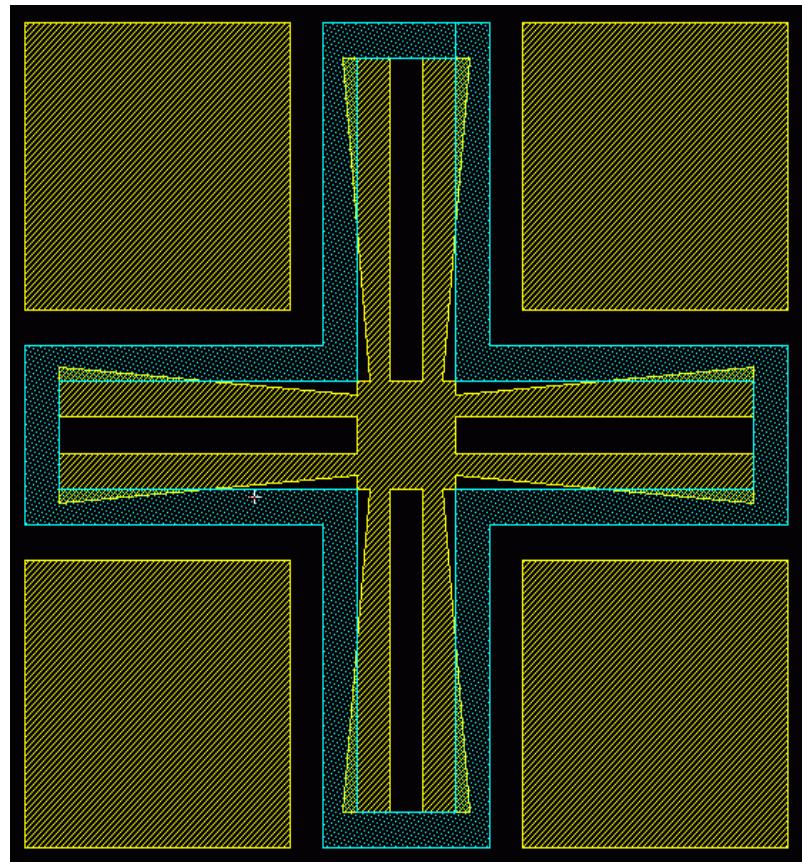


Source: MEMS Exchange.org

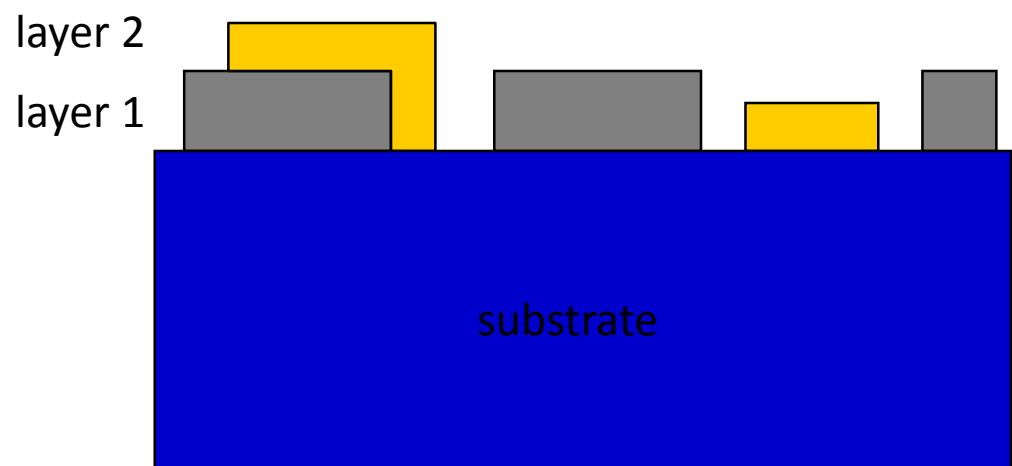
Pattern transfer – Mask design – layout editor



Pattern transfer – Mask design – alignment



- Multiple alignments of masks
- Precise alignment of patterns
- Standard mask aligner 1- 2 μ m
 - sufficient for MEMS
- Stepper with image recognition:
 - less than 100nm
 - necessary for micro-electronics



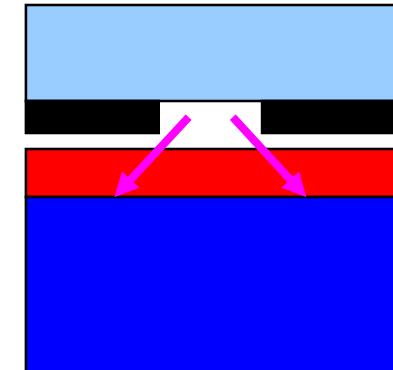
Pattern transfer – High Resolution Lithography

- **Resolution limit:**

- wavelength/2 \approx 200 nm
- Diffraction due to distance = thickness of photoresist

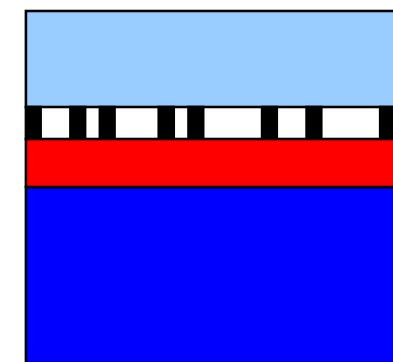
Photolithography:

- near field holography



- **E-beam**

- High resolution, not diffraction limited
- PMMA (not sensitive to visible light)
- proximity correction



- **X-Ray/Synchrotron radiation**

- expensive
- in reflection

- **X-UV**

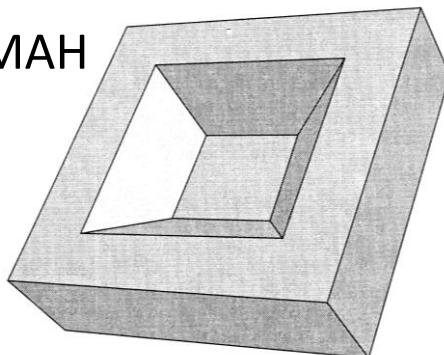
- optics are a problem

Bulk vs surface Micromachining

- **Bulk micromachining**

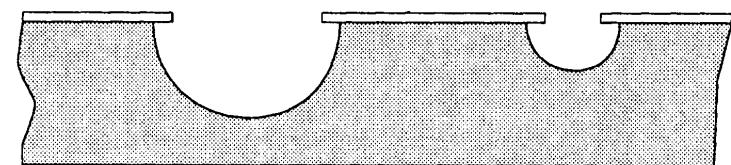
Anisotropic etching

Wet: KOH, TMAH

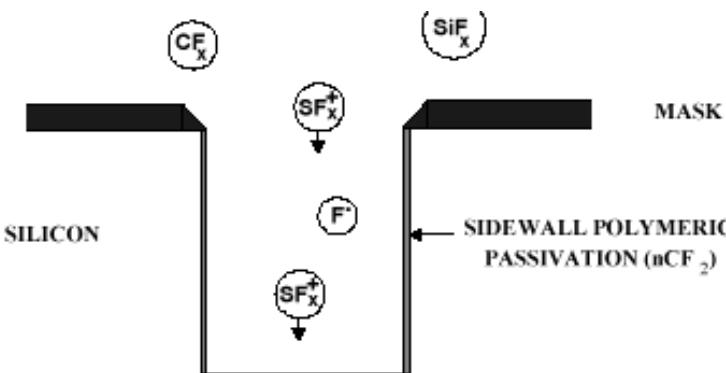


- **Bulk micromachining**

Isotropic etching (wet or dry)

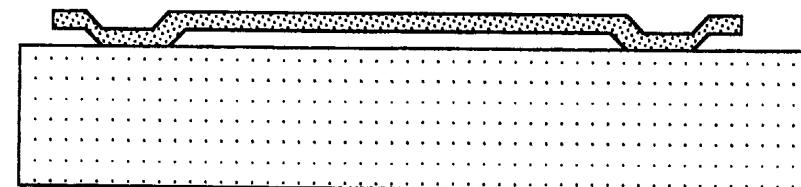


Dry: D



- **Surface micromachining**

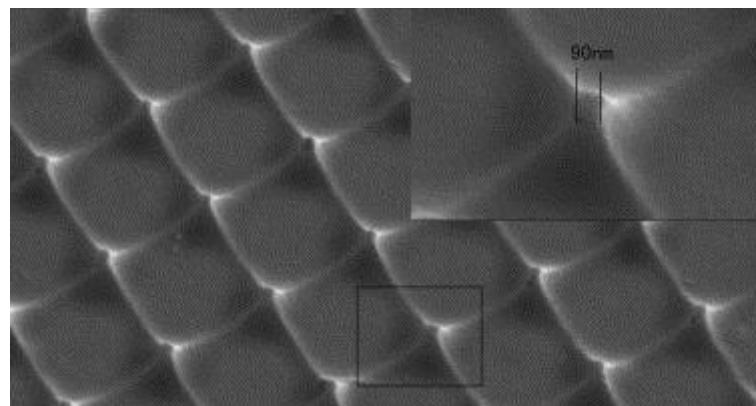
Wet or dry etching



From G.T.A. Kovacs, *Micromachined Transducers Sourcebook*, 1998 M. Madou, *Fundamentals of Microfabrication*, 1997

Bulk Micromachining – Wet Etching

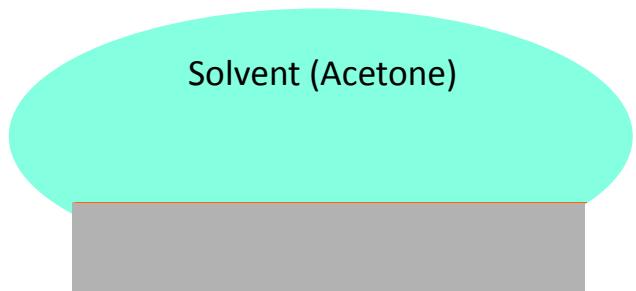
- Etch rate
Rate of material removal ($\mu\text{m}/\text{min}$)
Function of concentration, mixing, temperature, ...
wide range
- Etch selectivity
Relative (ratio) of the etch rate of the film to the mask,
substrate, or another film.
Trade off between etch rate and selectivity
- Etch geometry
sidewall slope (degree of anisotropy)
- Etchants
Mixtures of acids, bases, and water: HF, HPO_3 , H_2SO_4 ,
KOH, HCl,
Solubility limit: 10:1, 5:1
- Materials
Si, SiO_2 , Si_3N_4 , PR, Al, Au, Cu, ...



Bulk Micromachining – Wet Etching

- **Dissolution process:**

Dissolution of the material in a liquid solvent without any change of the chemical nature of the dissolved species



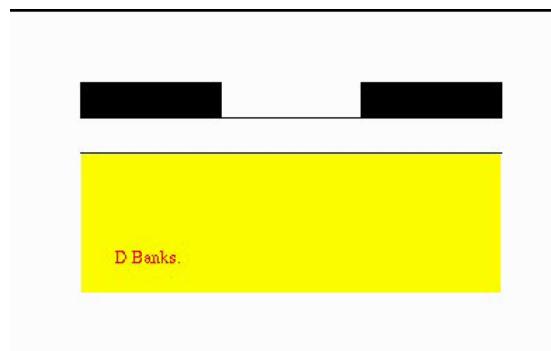
- **Oxidation -reduction process followed by dissolution:**

conversion of the material being etched to soluble higher oxidation state

- **Factors affecting etching reactions**

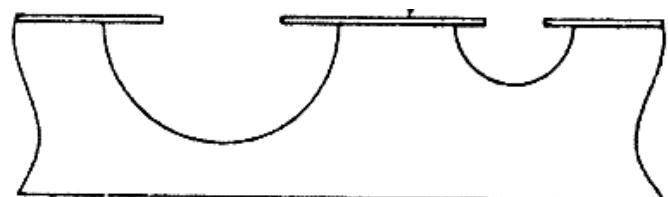
- Activation limited process: limitation by the chemical reactivity of the species involved in a sequential step of the total reaction
- Diffusion limited process: limitation by the speed at which fresh reactant can be supplied to the surface

Bulk Micromachining – Isotropic Wet Etching

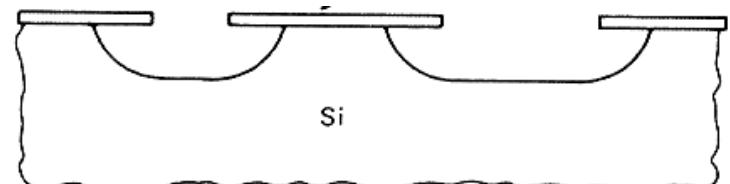


Agitation effect:

With agitation, the features approaches an ideal round cup

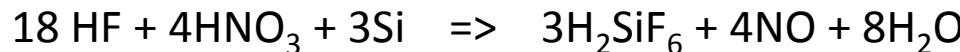


Without agitation, no fresh reactant can be supplied to the surface



Isotropic Wet Etching - Silicon in HNA

- HNA:
 - Hydrofluoric acid (HF)
 - Nitric acid (HNO_3)
 - Acetic acid (CH_3COOH)



- HNO_3 drives oxidation of silicon to form SiO_2
- HF attacks SiO_2 to form H_2SiF_6
- CH_3COOH prevents dissociation of HNO_3 into NO_3^- or NO_2^-

- Mainly used for cleaning, shaping and polishing compositional features
- Rounding of sharp anisotropically etched corners to avoid stress concentration
- Removing of roughness after dry or anisotropic etching

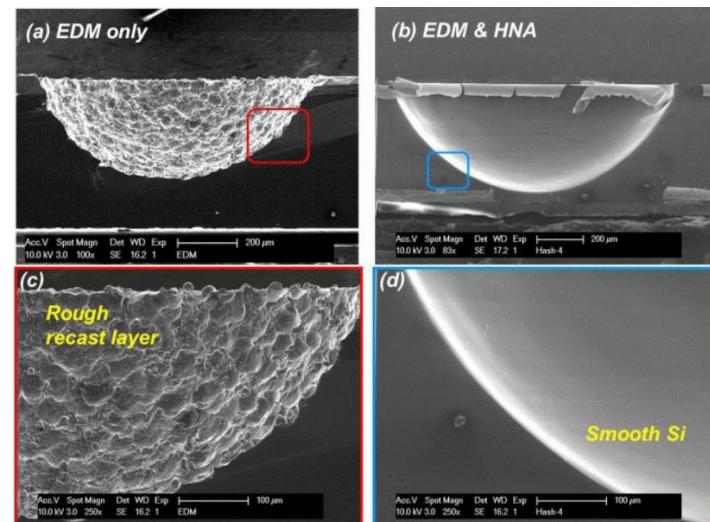


Illustration from 2012 IEEE 25th International Conference on, Issue Date: Jan. 29 2012-Feb. 2 2012,
Written by: Chan, M.L.; Fonda, P.; Reyes, C.; Xie, J.; Najar, H.; Lin, L.; Yamazaki, K.; Horsley, D.A.

Isotropic Wet Etching – insulators and dielectrics

- **General etching properties of insulators and dielectrics**
 - Amorphous or micro-crystalline => classified as glasses
 - Chemically relatively inert =>highly reactive media for etching.
- **Single oxide example: SiO_2**
 - Etchants for SiO_2 are almost exclusively based on aqueous fluoride solutions, usually HF. With the addition of a buffer (NH_4F), the pH can be controlled and the solution is then called BHF.
 - BHF is important in pattern etching of SiO_2 films using photoresist masks where attack of the photoresist masking layer must be minimized
- **binary oxide example: Borosilicate (BSG,Pyrex)**
 - Important as dopant source, and silicon passivation and planarization
 - PECVD polysilicon is used as etch mask in HF 50%

SiO₂ etch by hydrofluoric Acid (BHF)

Selective (room temperature)

- etches SiO₂ and not Si
- will also attack Al, Si₂N₄, ...

Rate depends strongly on concentration

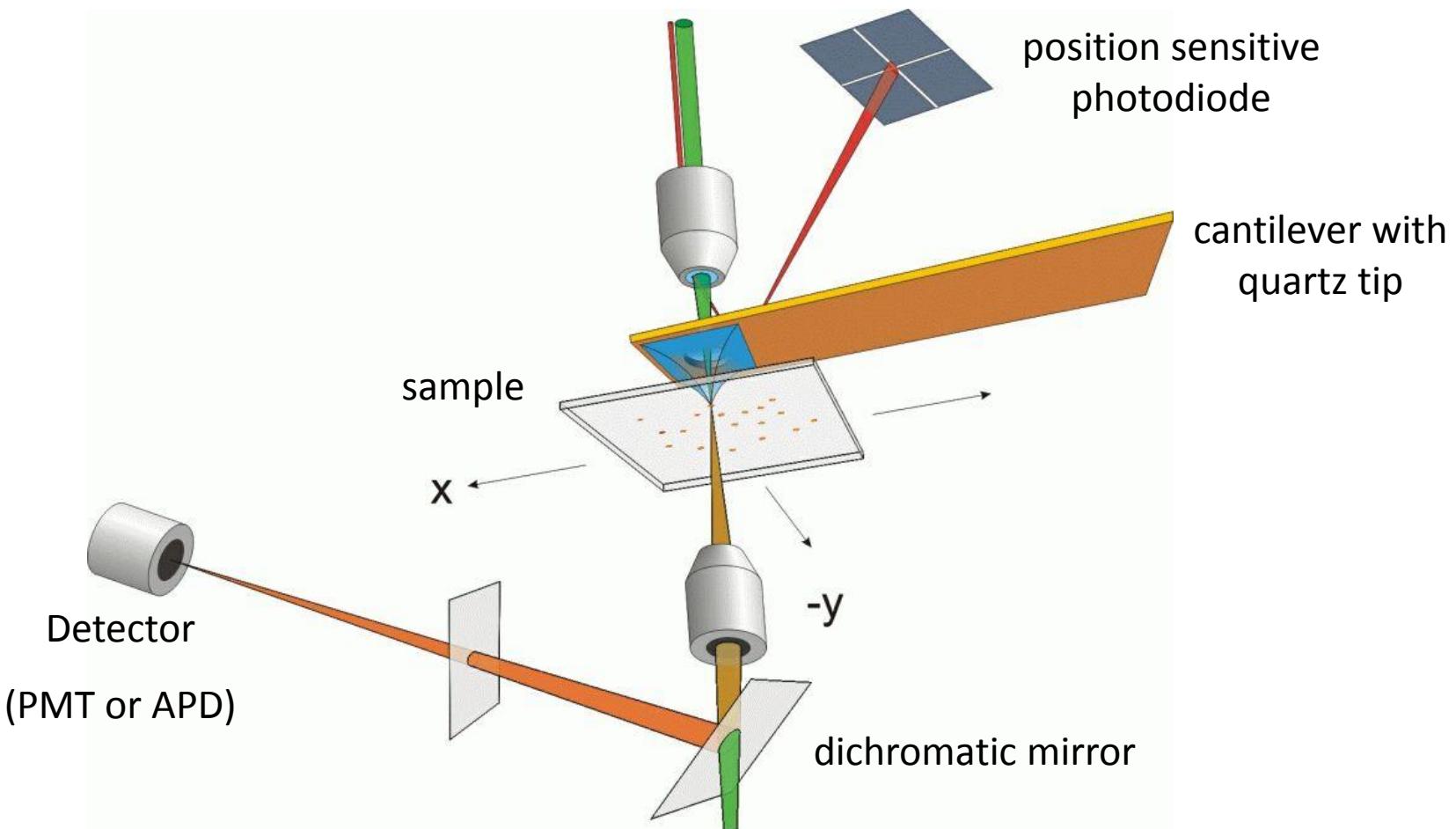
- maximum: 49% HF (“concentrated”) $\sim >2 \mu\text{m/min}$
- controlled: 5 to 50:1 (“timed”) $\sim <0.1 \mu\text{m/min}$

Dangerous!

- not a strong acid
- deceptive (looks just like water)
- penetrates skin (adsorption) and attacks slowly
- will target bones (salve is made of calcium)

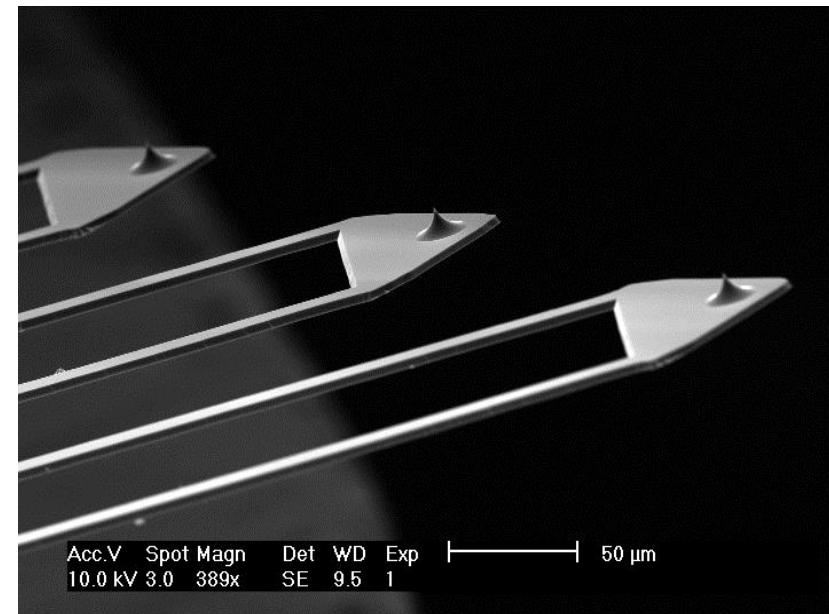
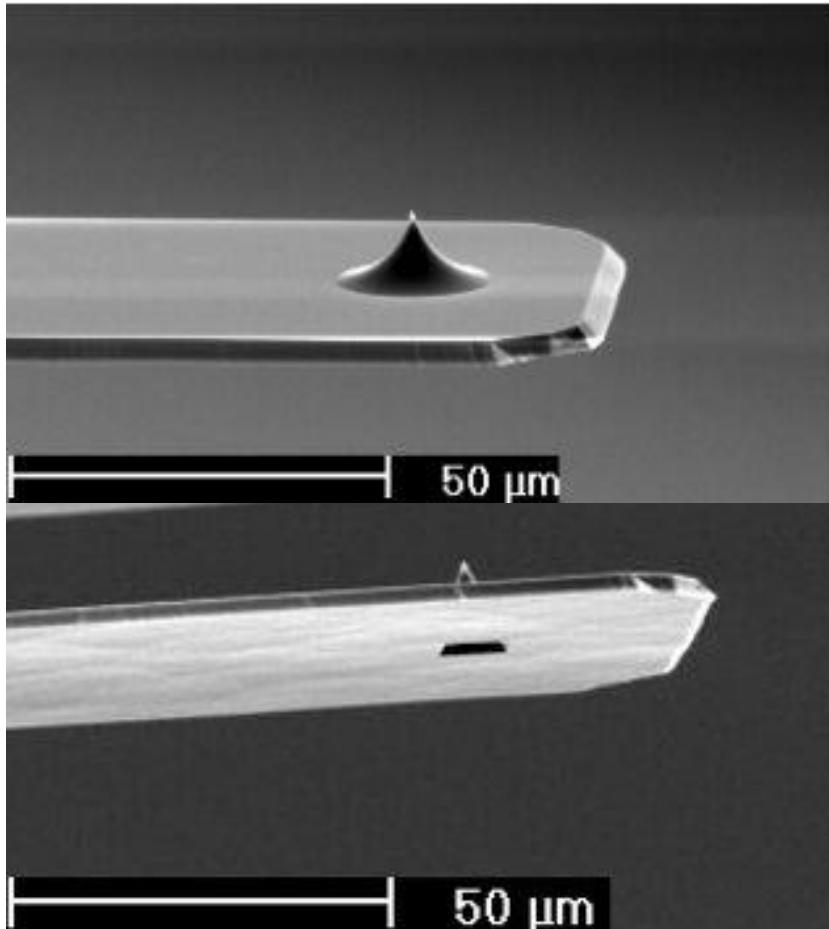
Isotropic Wet Etching – insulators and dielectrics

Isotropic Applications: SNOM quartz tips



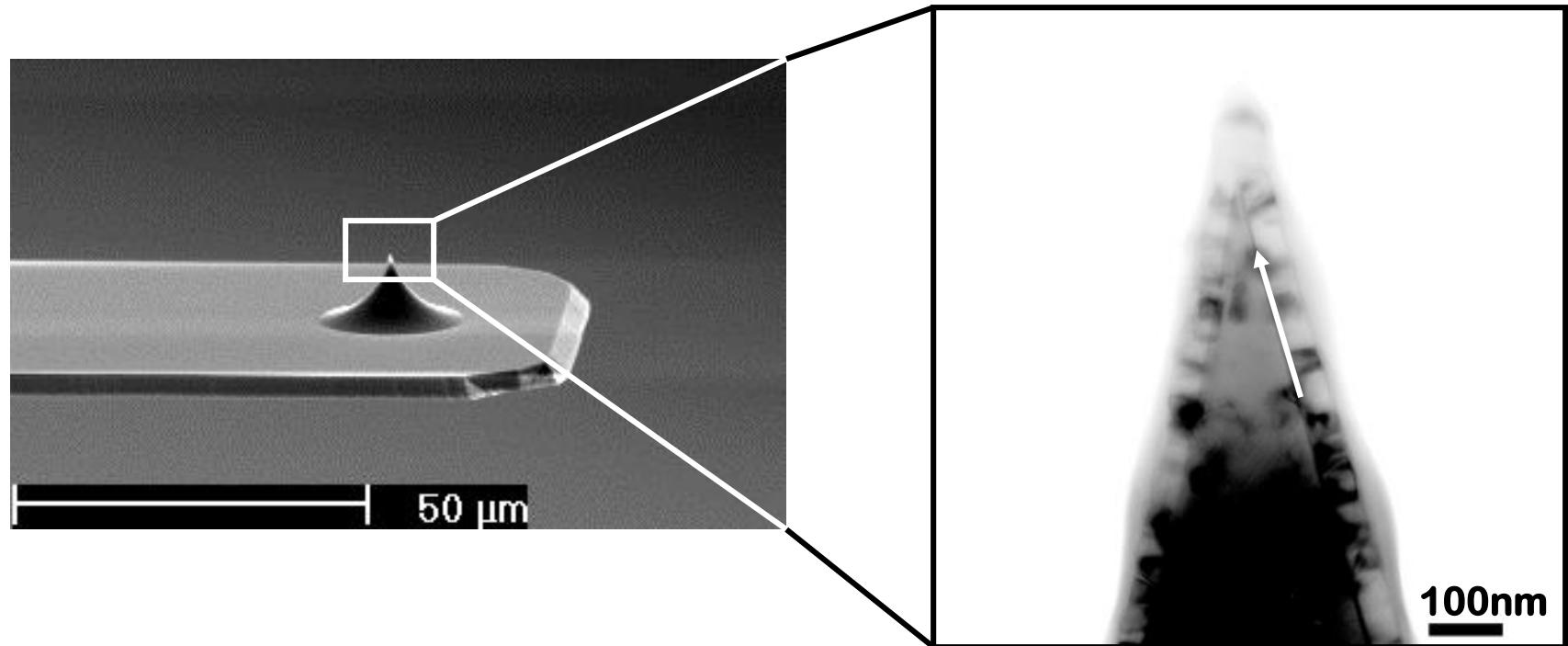
Isotropic Wet Etching – insulators and dielectrics

Isotropic Applications: SNOM quartz tips



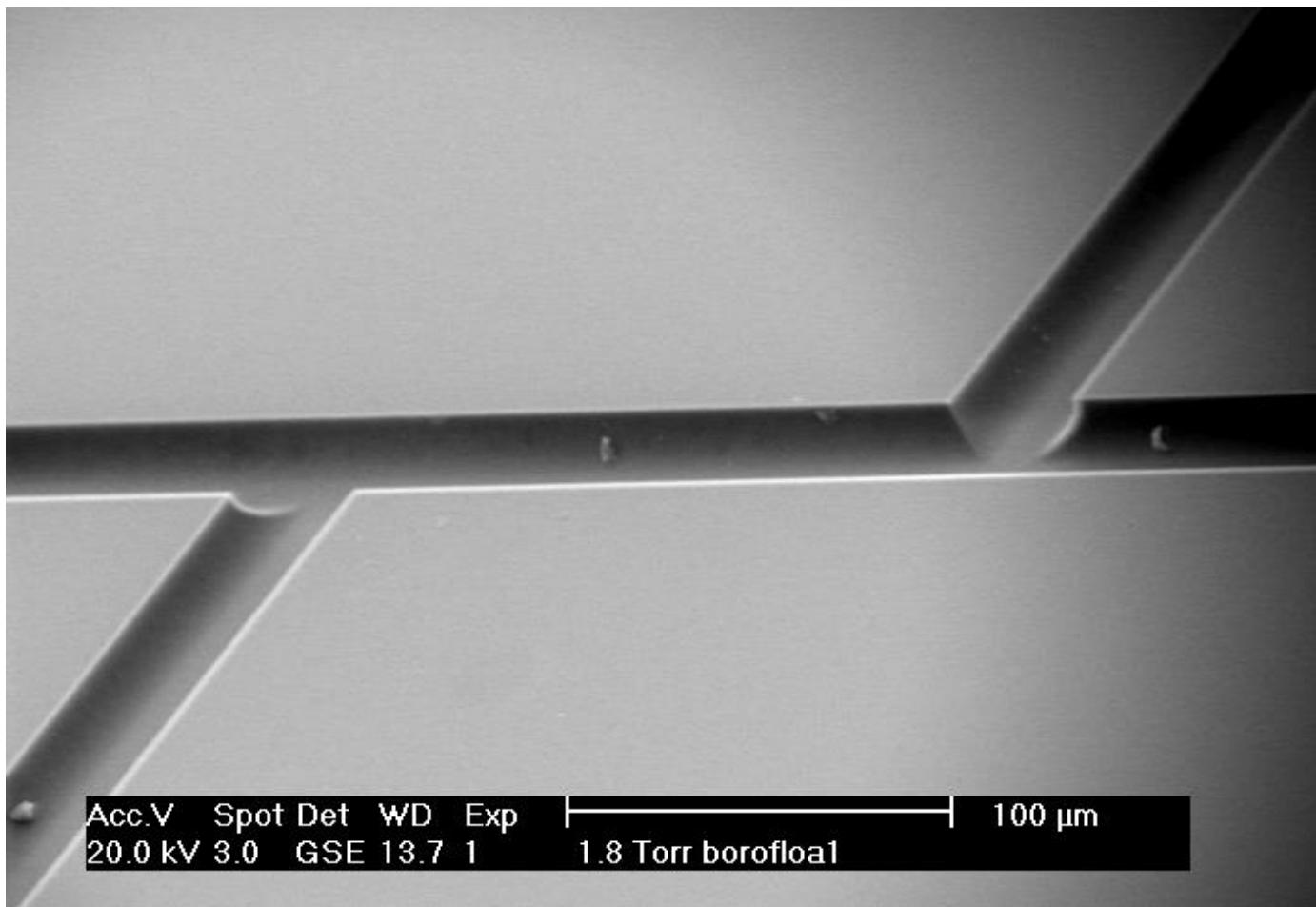
Isotropic Wet Etching – insulators and dielectrics

Isotropic Applications: SNOM quartz tips



Isotropic Wet Etching – insulators and dielectrics

Isotropic Applications: Microchannels in glass

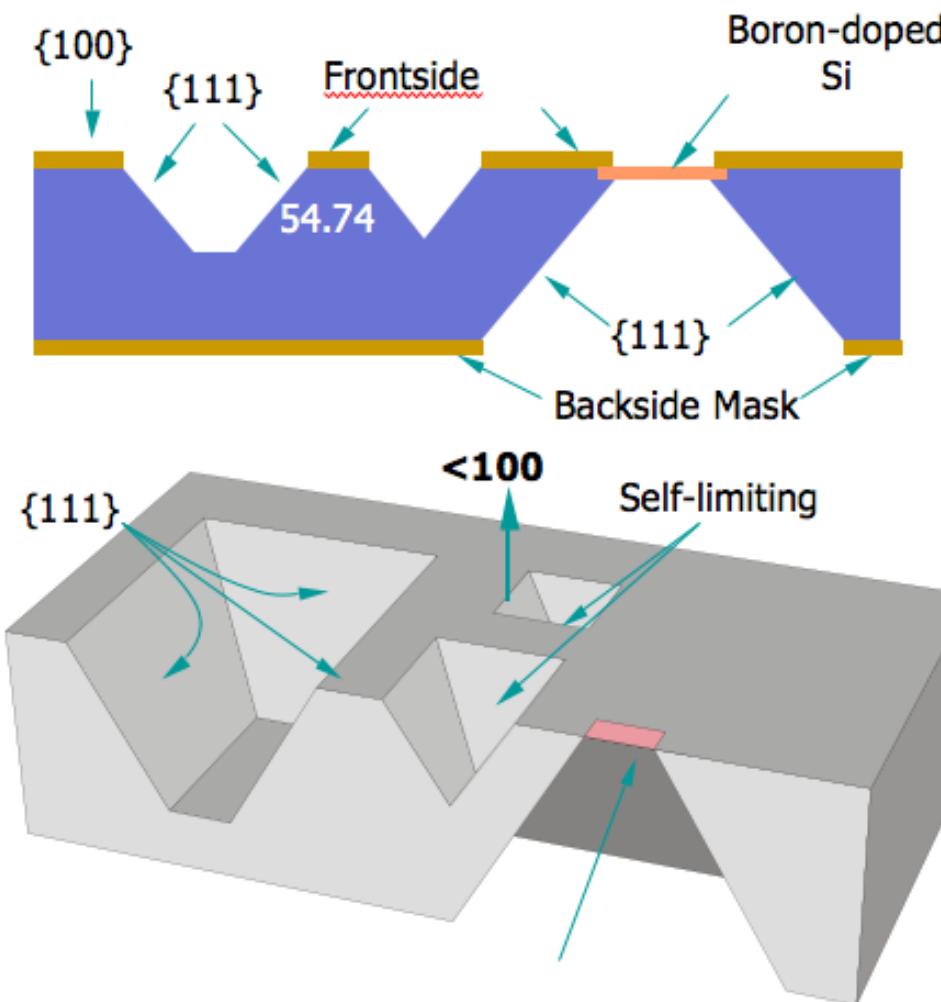


Isotropic Wet Etching – conductors

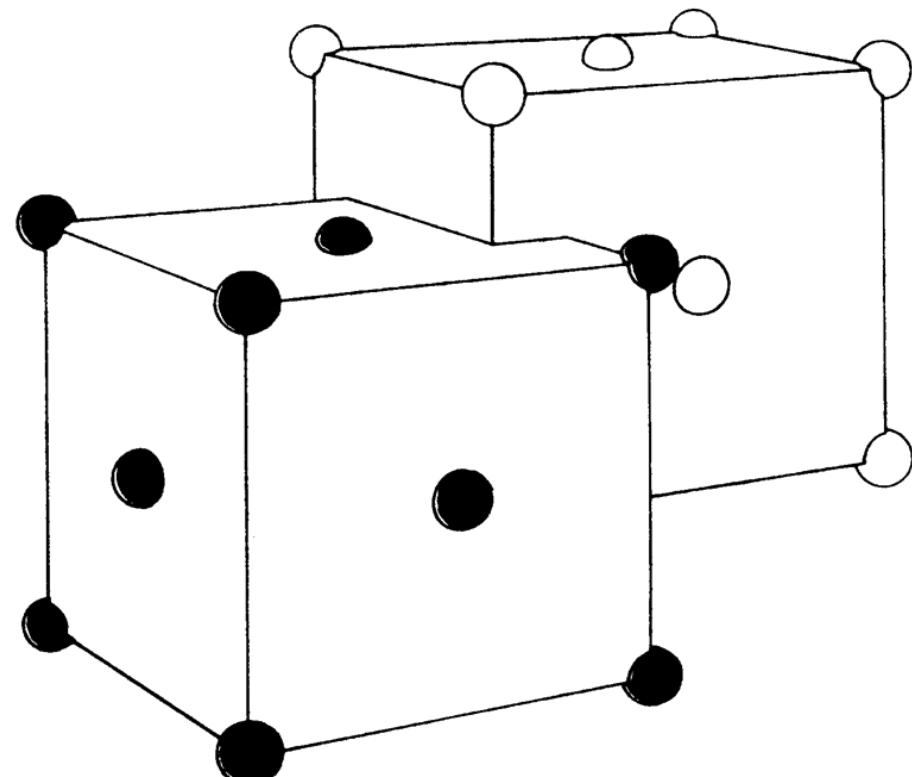
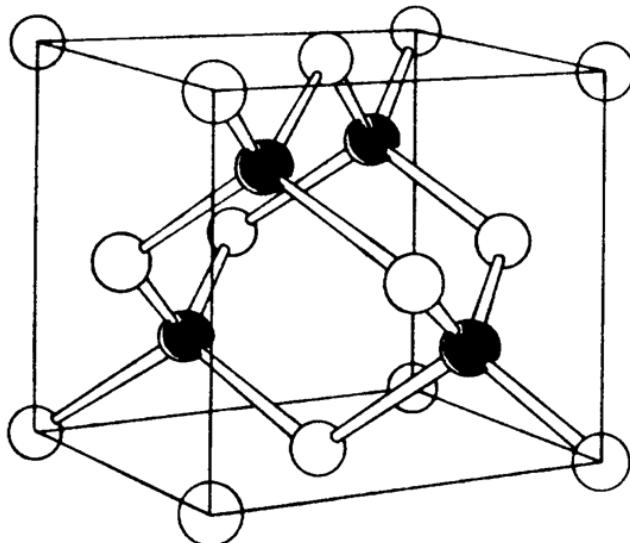


Material	Etchant	Etch rate
Aluminum	H ₃ PO ₄ - HNO ₃	0.15 - 0.25 um/min
Chromium	Ce(SO ₄) ₂ - HNO ₃	0.08 um/min
Copper	FeCl ₃	50 um/min
Gold	HCl - HNO ₃	25-50 um/min
Nickel	FeCl ₃	12-25 um/min
Platinum	HCl - HNO ₃	0.05 um/min
Silver	HNO ₃	12-25 um/min
Titanium	HF	12 um/min
Tungsten	KH ₂ PO ₄ , KOH K ₃ Fe(CN) ₆	0.16 um/min

Anisotropic Wet Etching – Silicon in KOH



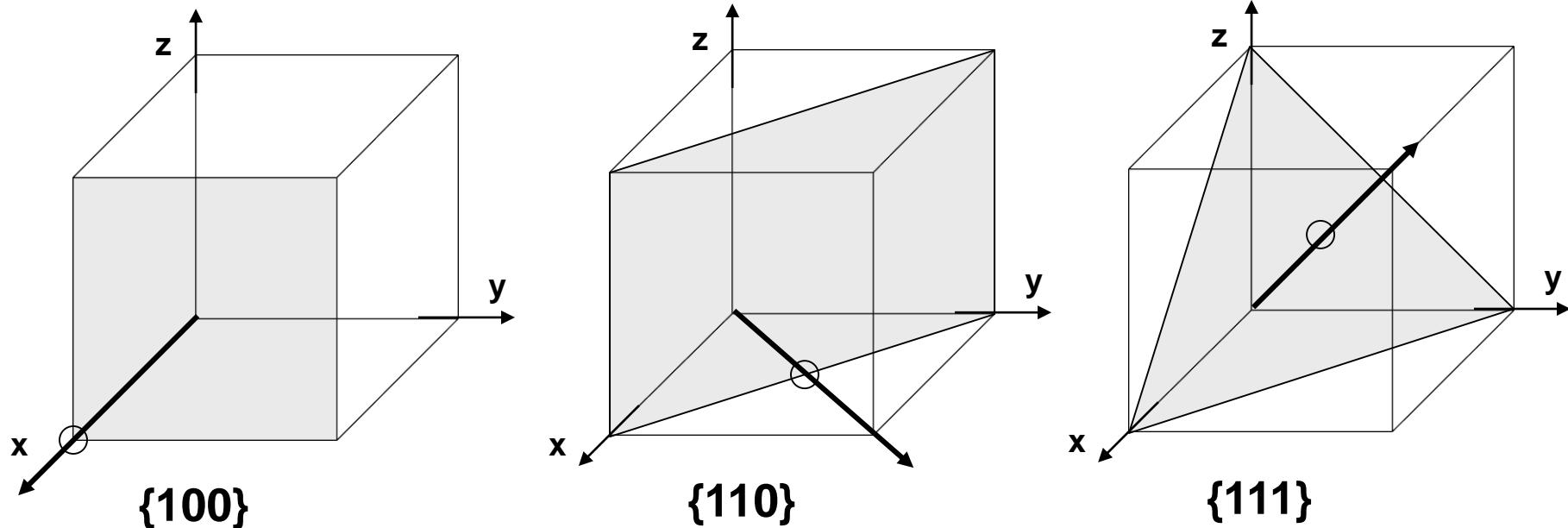
Silicon atomic structure



- covalently bonded structure
- Silicon coordinates itself tetrahedrally
- Face-centered cubic (fcc) structure
- Lattice parameter of 5.4309 Å

Anisotropic Wet Etching – Silicon in KOH

Miller indices

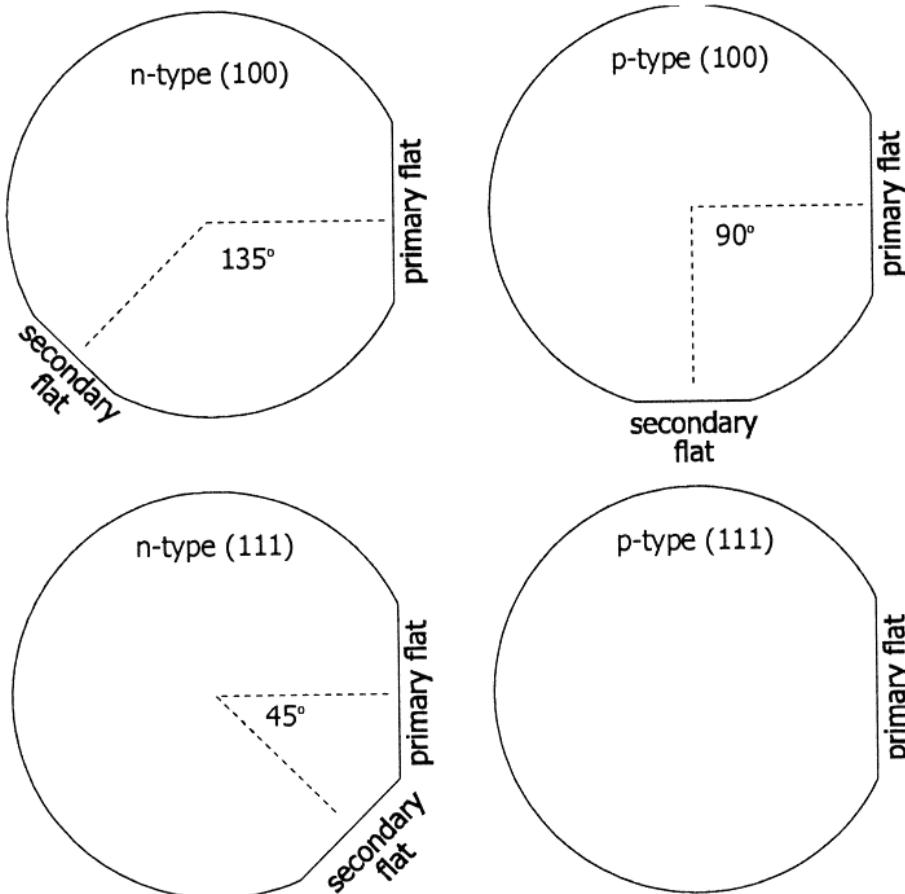


1 / intercept of the vector from origin

Notation: $[100]$ direction, $\langle 100 \rangle$ direction family
 (100) plane $\{100\}$ plane family

Anisotropic Wet Etching – Silicon in KOH

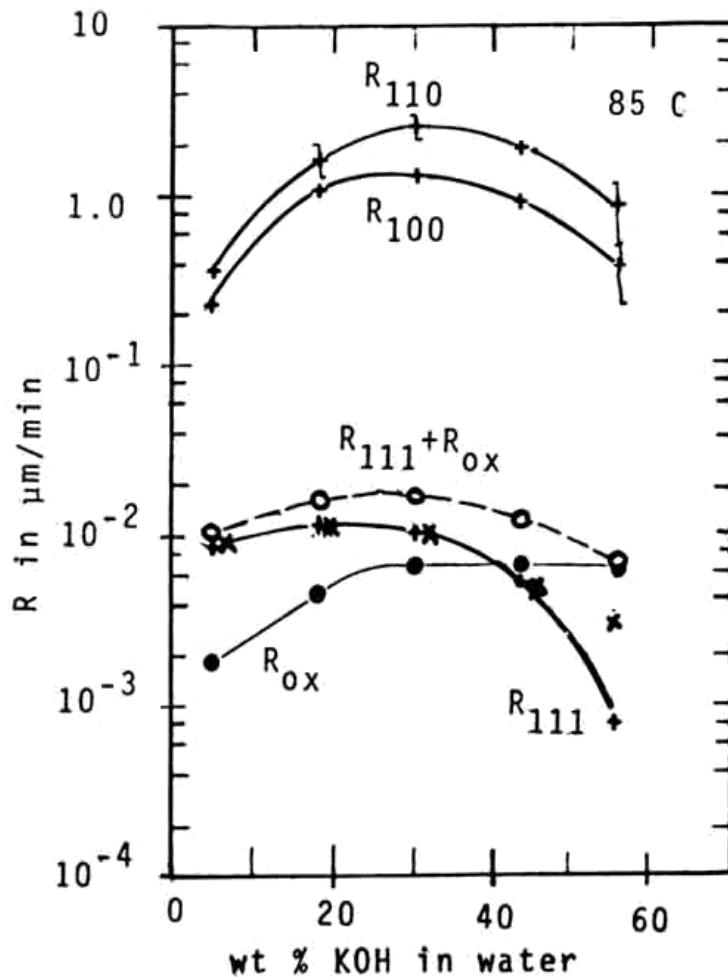
Wafer orientation



Anisotropic Wet Etching – Silicon in KOH

KOH etching reaction

- $\text{Si} + \text{OH}^- + 2\text{H}_2\text{O} \rightarrow \text{SiO}_2(\text{OH})_2^{2-} + 2\text{H}_{2(\text{g})}$
- Masking: Resist will not survive
oxide is attacked slowly
Nitride is not attacked
- Etch Rate: $\{110\} > \{100\} >> \{111\}$
- Used at elevated temperature (50 - 80 °C)

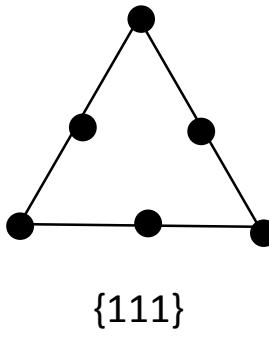
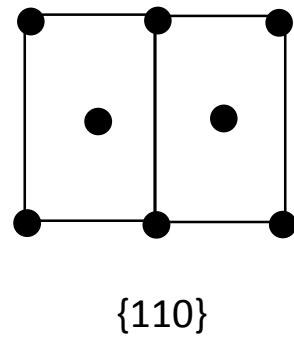
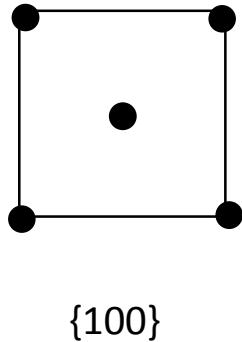


From M. Madou, *Fundamentals of Microfabrication*, 1997

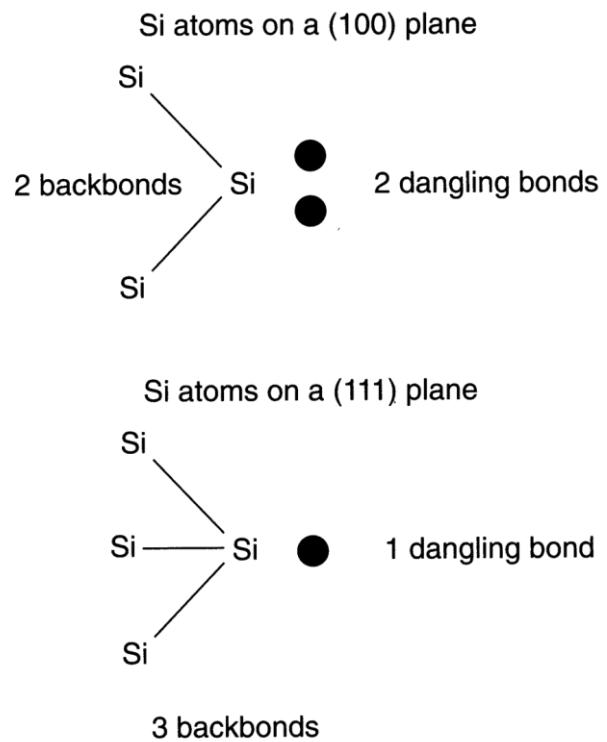
Anisotropic Wet Etching – Silicon in KOH

Anisotropic etching models

- Seidel's et al. model based on the difference in energy levels of backbond-associated surface states for different crystal orientation
- Density of atoms depends on crystal orientation



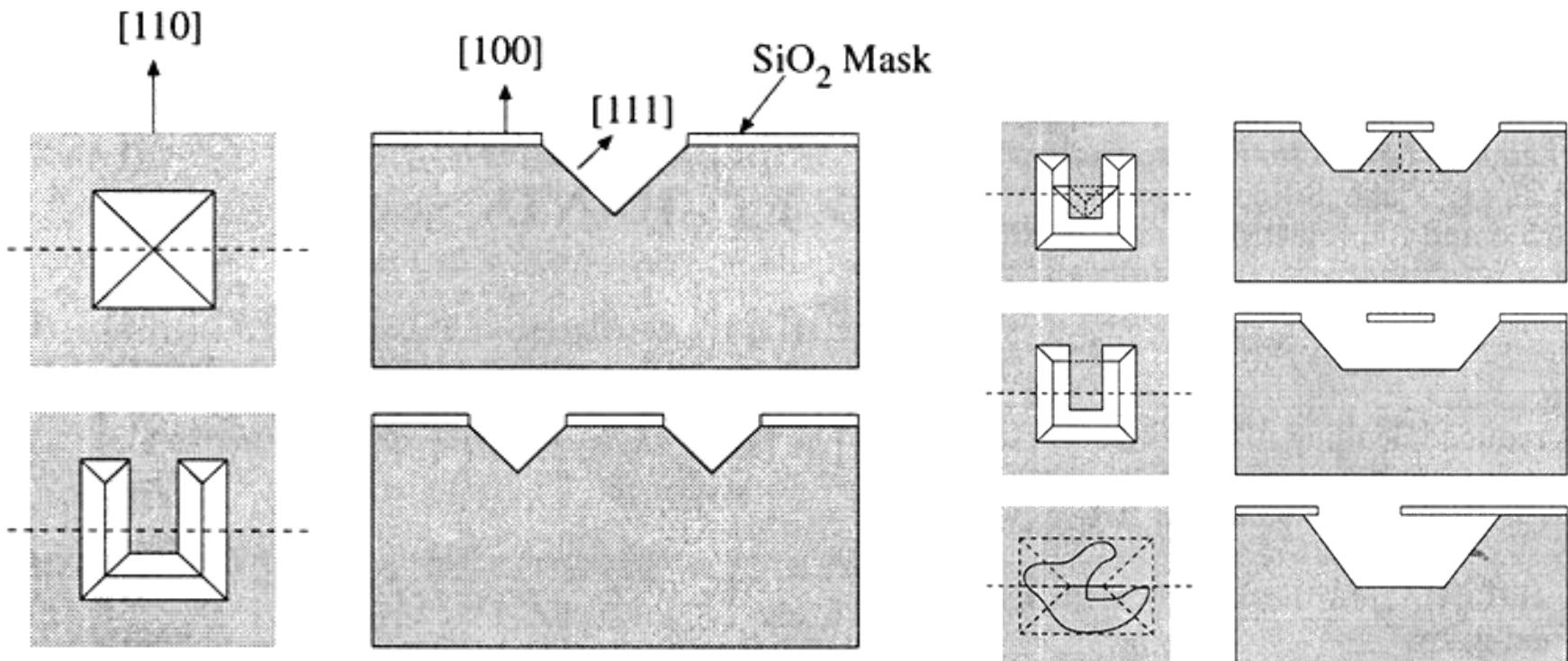
Dangling bonds



From M. Madou, *Fundamentals of Microfabrication*, 1997

Anisotropic Wet Etching – Silicon in KOH

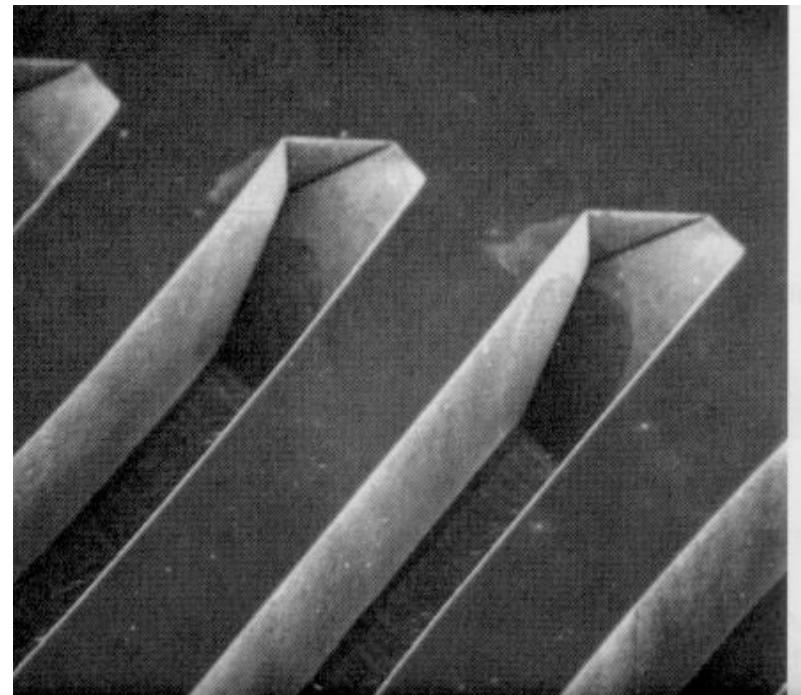
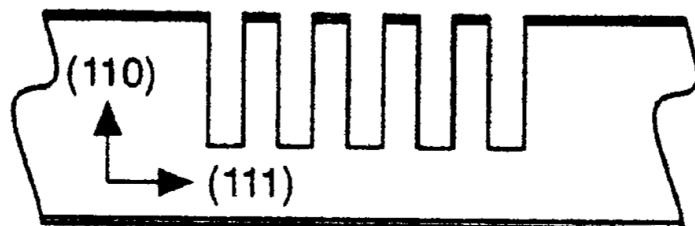
Anisotropic etching: 100 orientation



From M. Madou, *Fundamentals of Microfabrication*, 1997

Anisotropic Wet Etching – Silicon in KOH

Anisotropic etching: 110 orientation



From M. Madou, Fundamentals of Microfabrication, 1997

Masking options

- KOH: Si_3N_4 , SiO_2
- TMAH: SiO_2 , Si_3N_4
- Better protection with thermal SiO_2 than CVD
- Better protection with LPCVD than PECVD

From M. Madou, Fundamentals of Microfabrication, 1997



Etch stop techniques

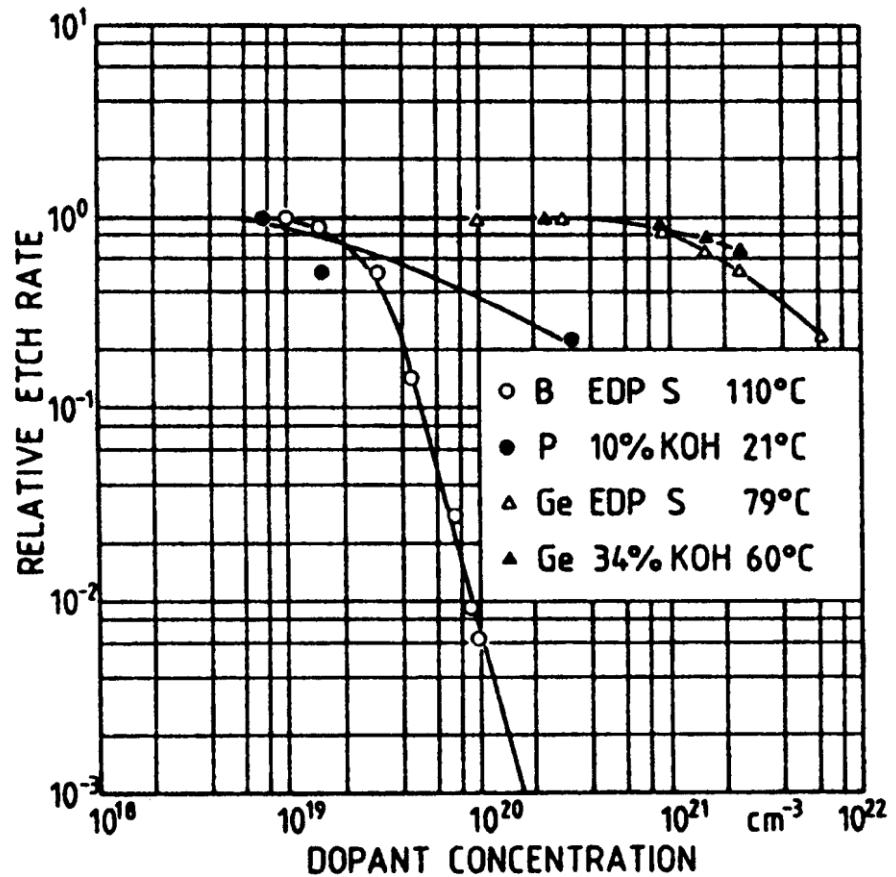
- Non uniformity of wafer thickness
- Variation in etch rates critical for thin membranes (< 20 nm Si left)
->Time-based technique not suitable
- Etch-stop required to obtain precise Si thickness

From M. Madou, Fundamentals of Microfabrication, 1997



Boron Etch stop

- Anisotropic etchant do not attack boron-doped (p+) Si
- => Diffusion or implantation of boron in high concentration



From M. Madou, *Fundamentals of Microfabrication*, 1997

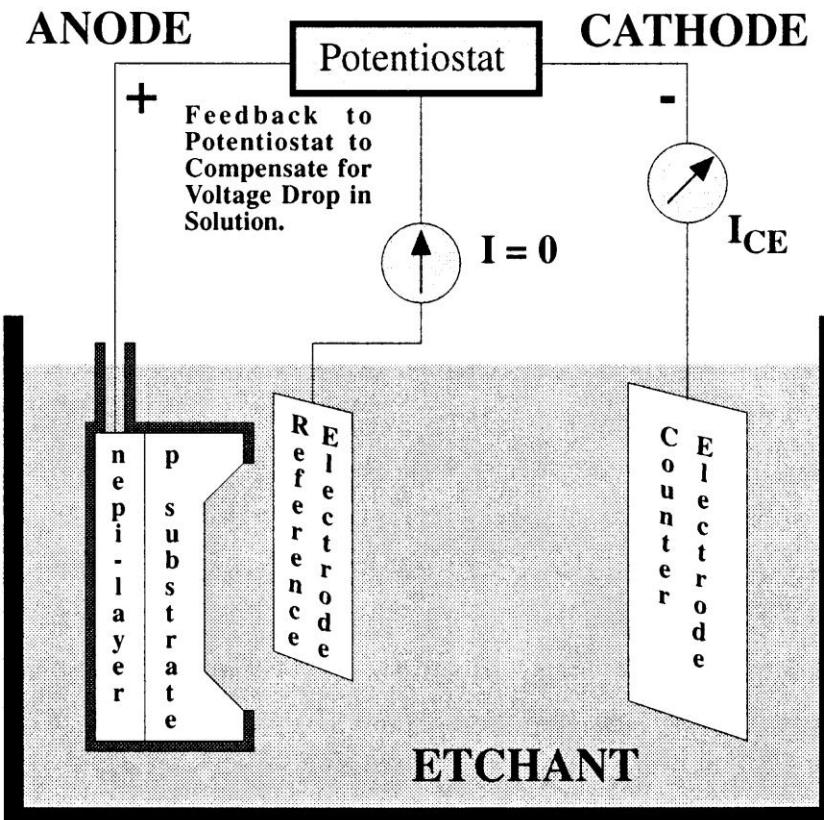


Electrochemical Etch stop

- Lightly doped p-n junction
- Bias between wafer and counter electrode in etchant

For example:

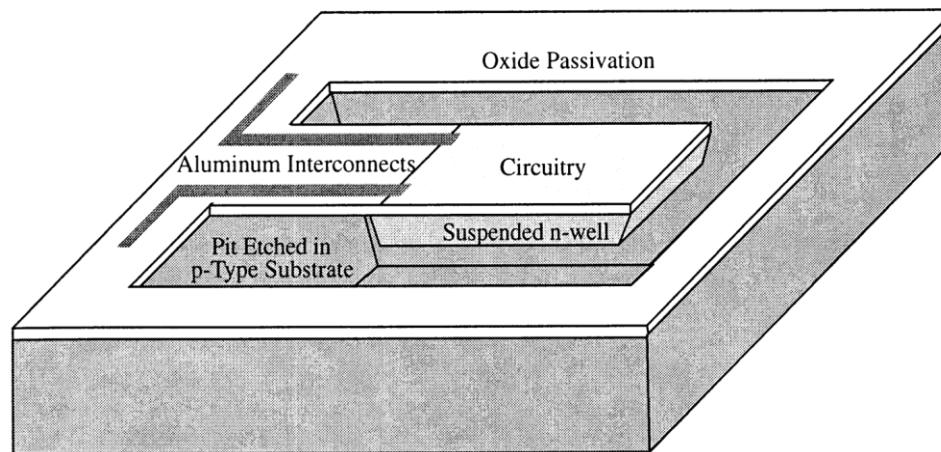
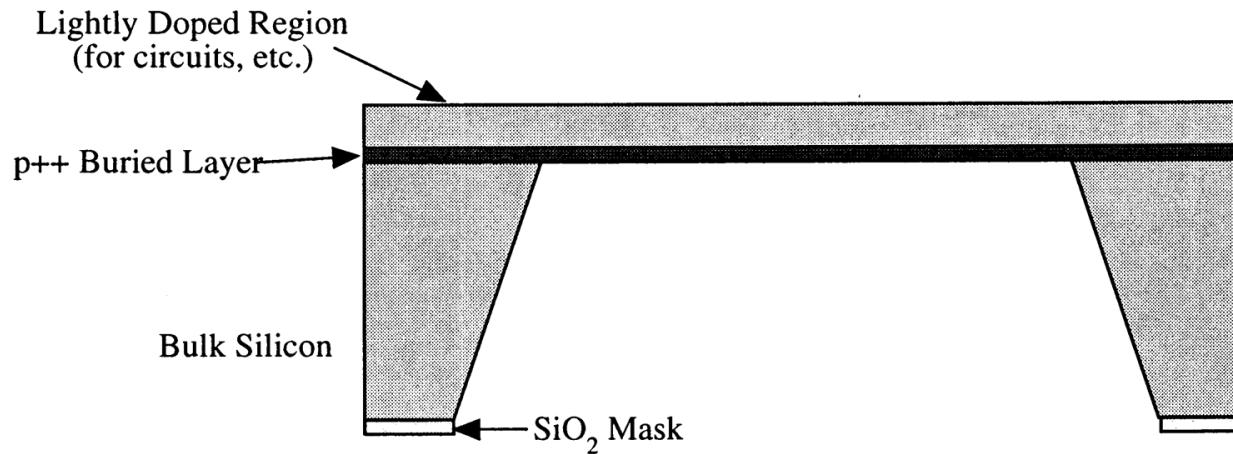
- Epitaxial growth of n-type layer on a p-type substrate
- p-n junction forms a large diode over the whole wafer
- Wafer in a holder, potential on the n-type epilayer and on the counter electrode



From G.T.A. Kovacs, *Micromachined Transducers Sourcebook*, 1998



Etch stop structures

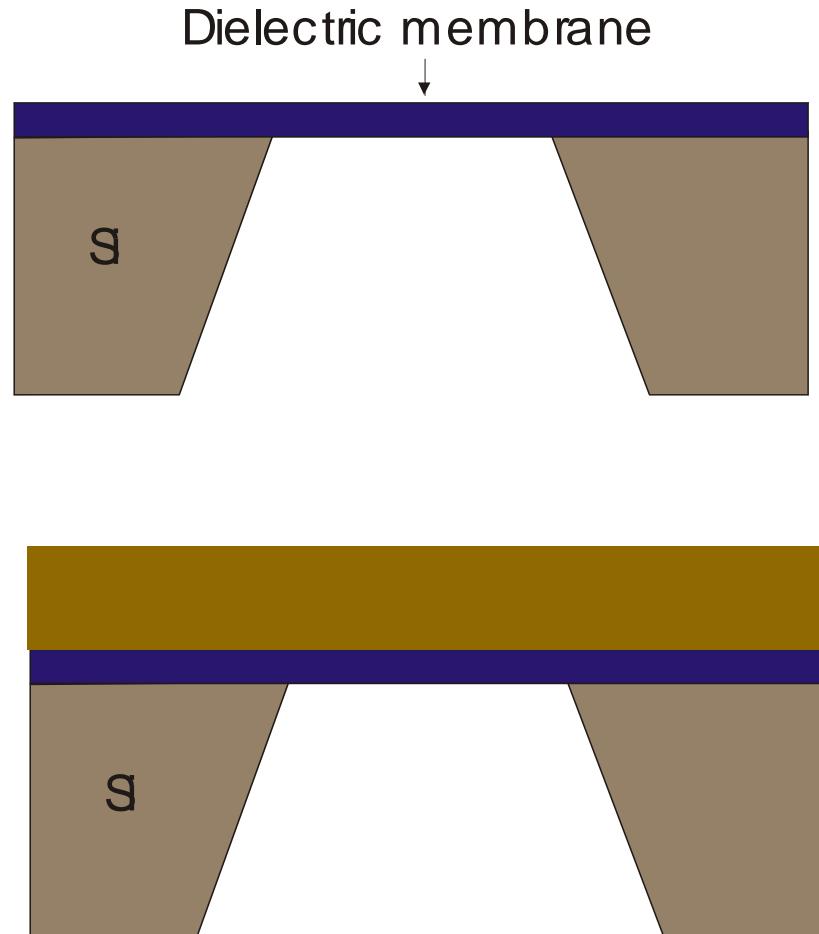


From G.T.A. Kovacs, Micromachined Transducers Sourcebook, 1998



Etch stop structures

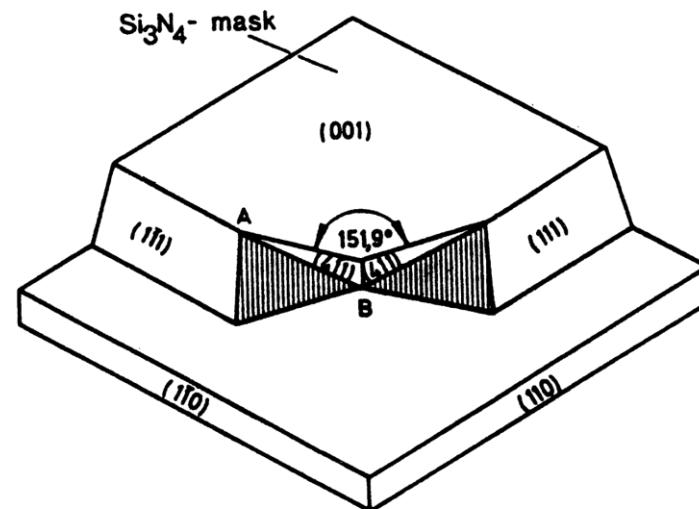
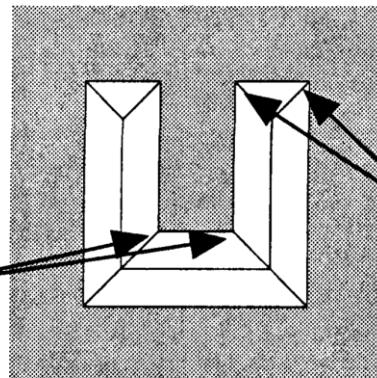
- Dielectric masking layer used as etch stop (SiO_2 , Si_3N_4)
- Silicon On Insulator (SOI) Wafers





Etch compensation structures

- High index and etch rate planes develop at convex corners

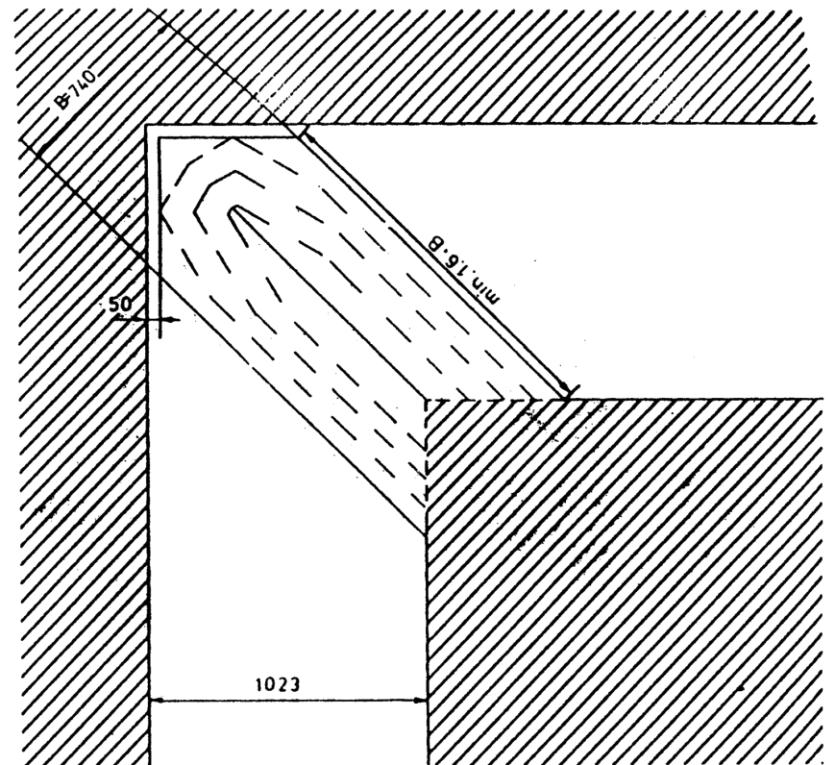
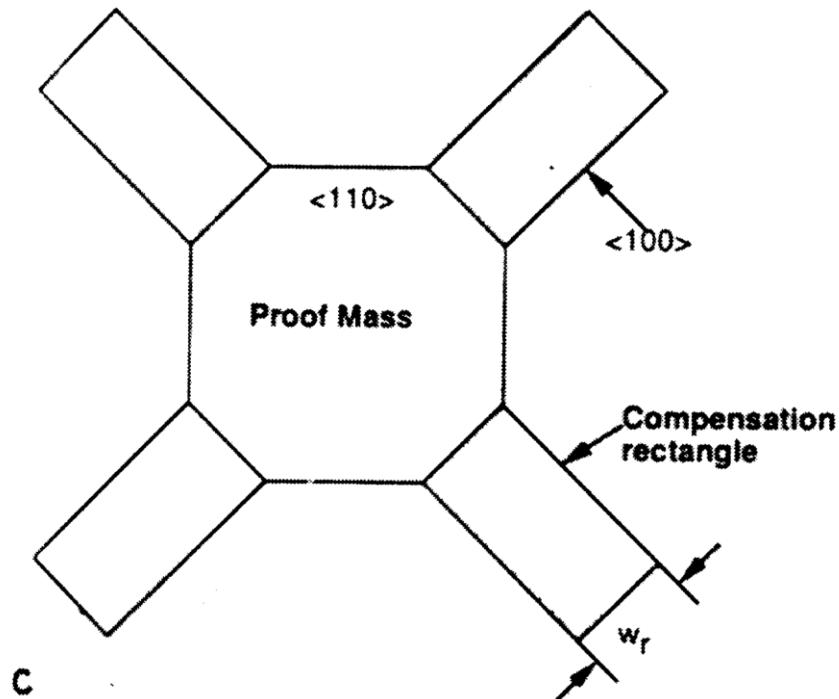


=> Compensation structures required to obtain square Si-masked structures

From M. Madou, Fundamentals of Microfabrication, 1997



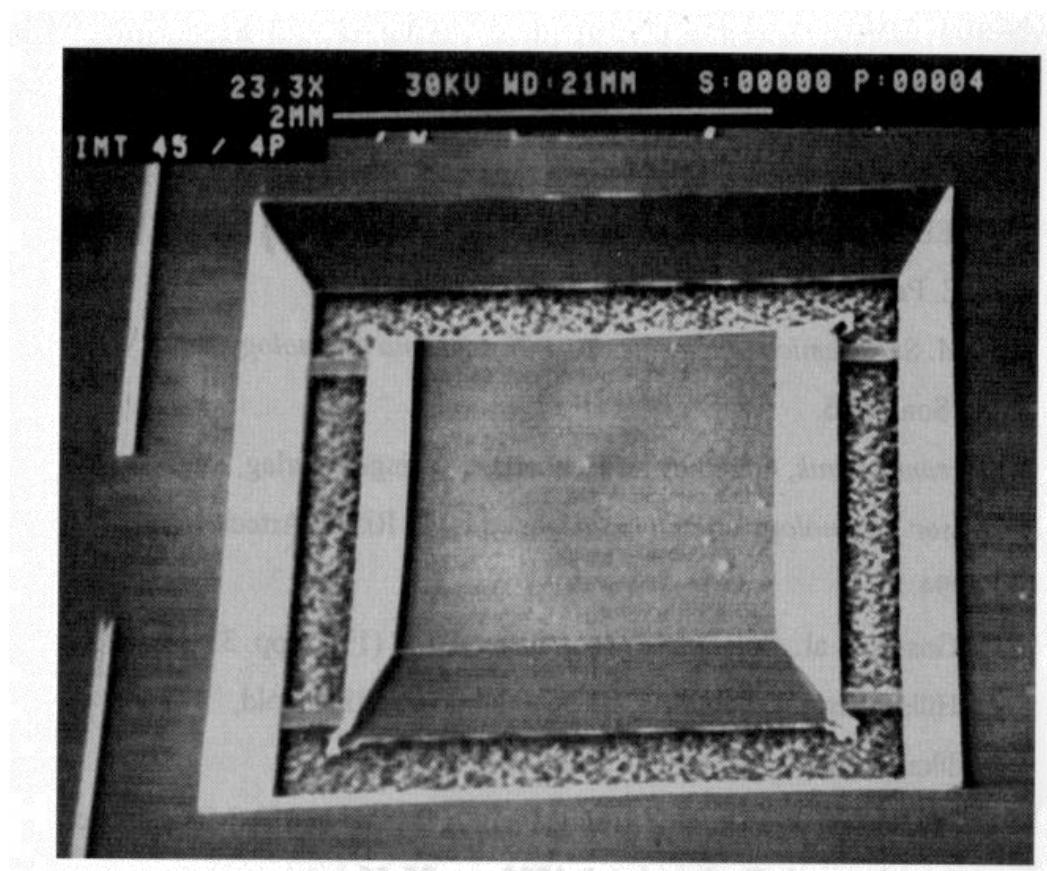
Etch compensation structures



From M. Madou, *Fundamentals of Microfabrication*, 1997

Anisotropic Wet Etching – Silicon in KOH

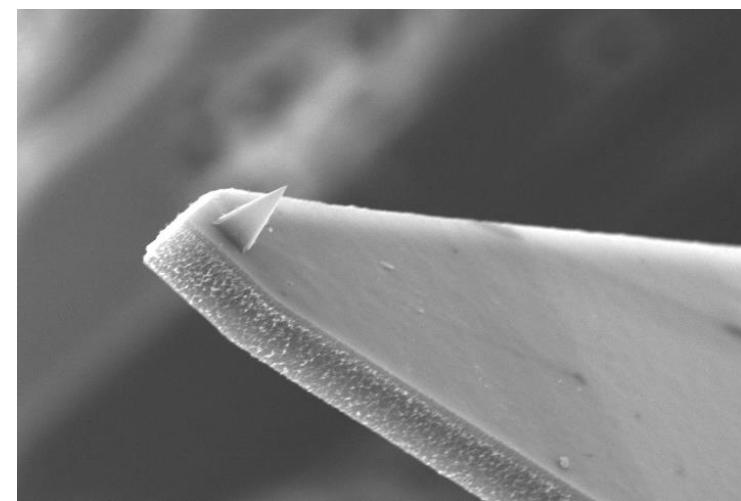
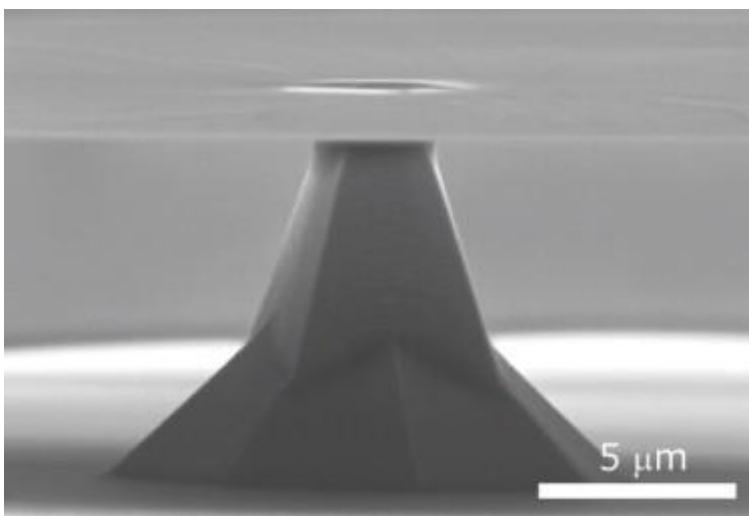
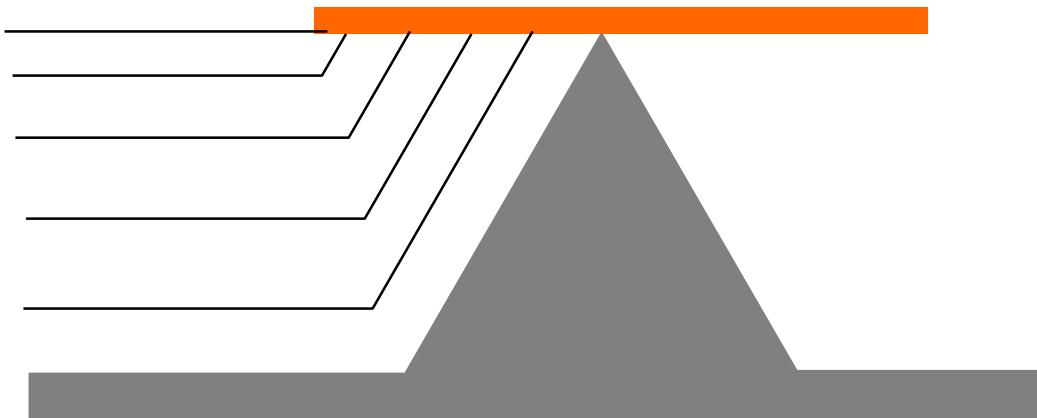
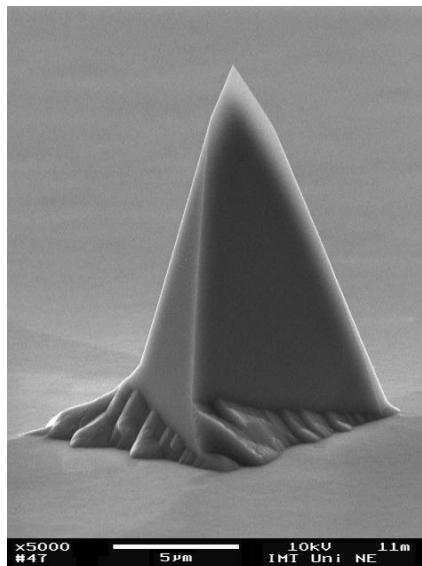
KOH Application: Accelerometer



From M. Madou, Fundamentals of Microfabrication, 1997

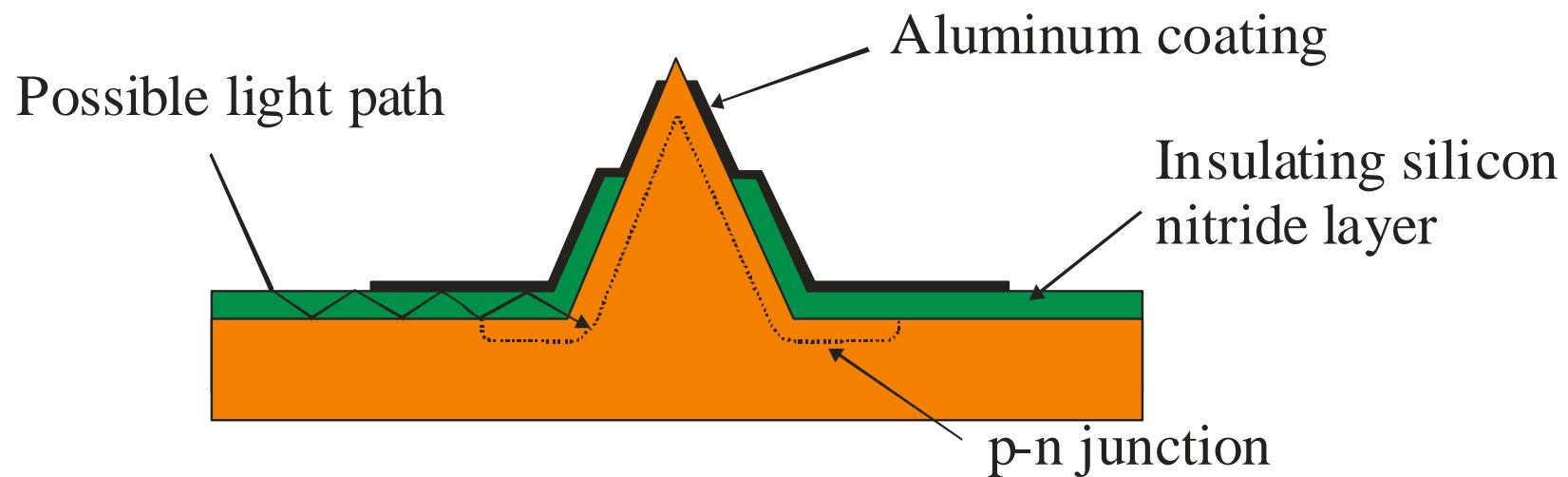
Anisotropic Wet Etching – Silicon in KOH

KOH Application: Silicon AFM Tips



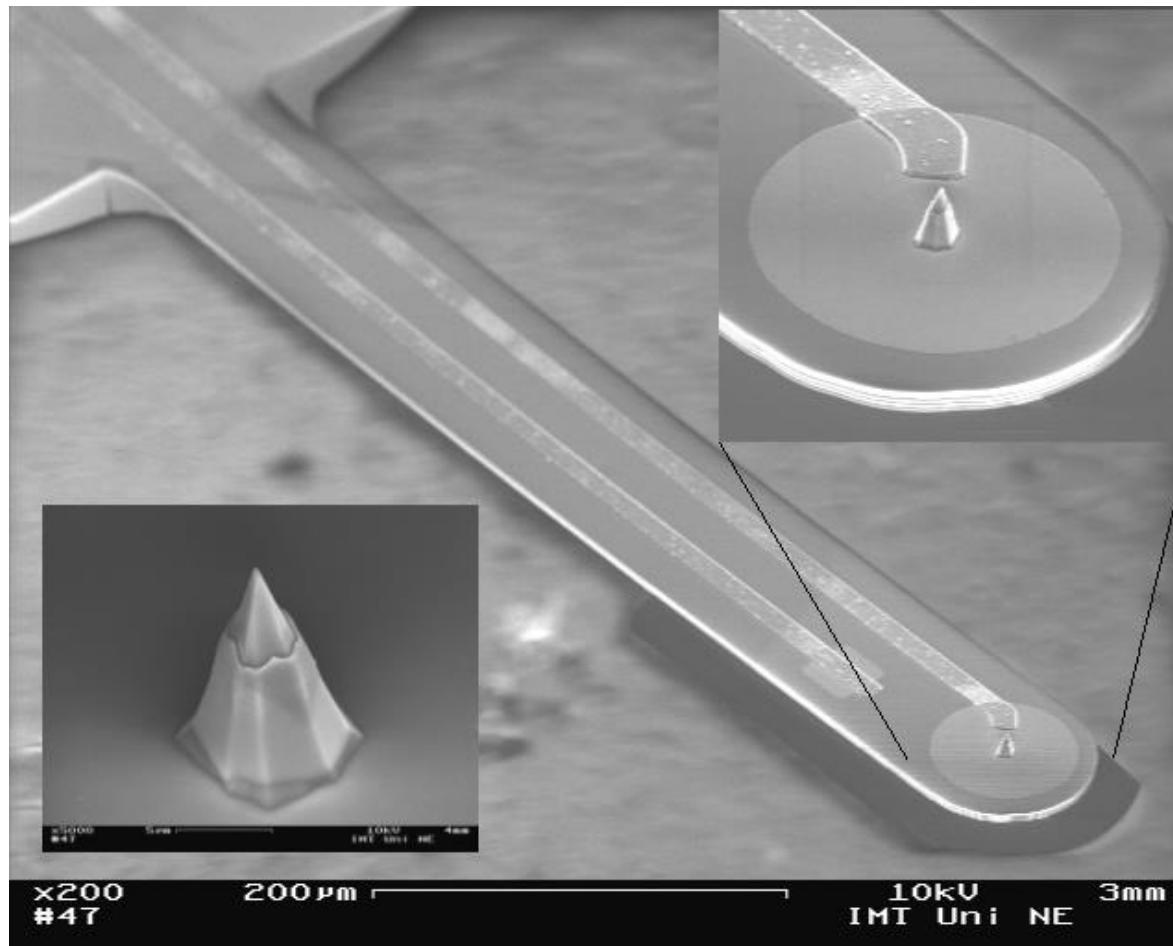
Anisotropic Wet Etching – Silicon in KOH

KOH Application: SNOM Tips PN Junction



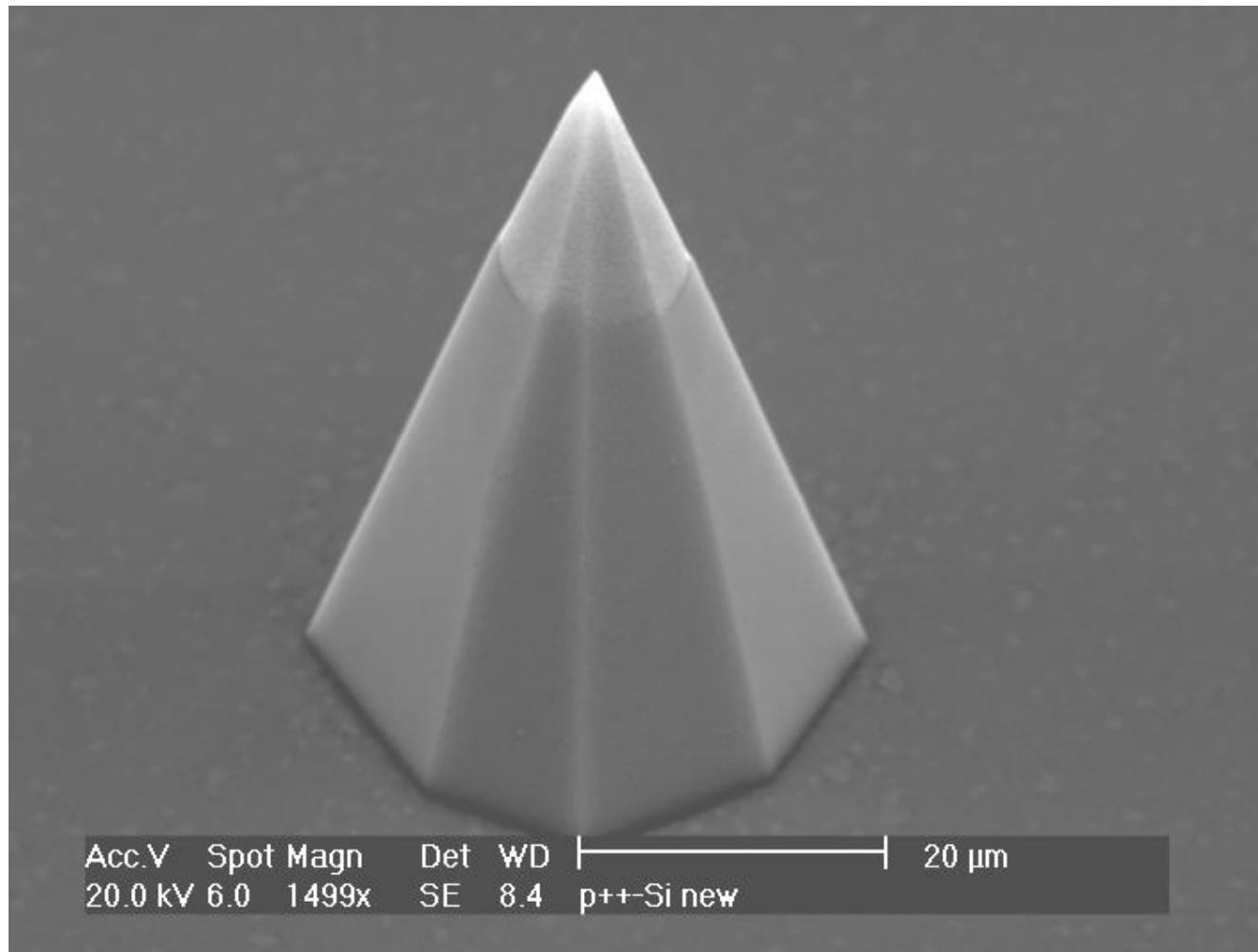
Anisotropic Wet Etching – Silicon in KOH

KOH Application: SNOM Tips



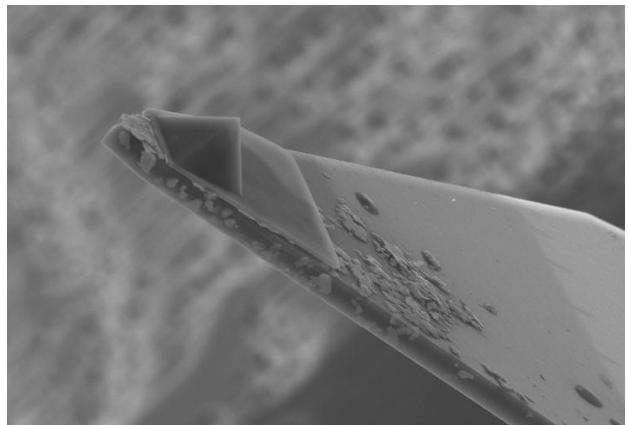
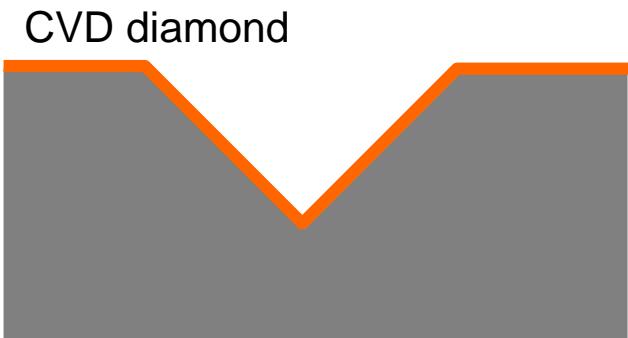
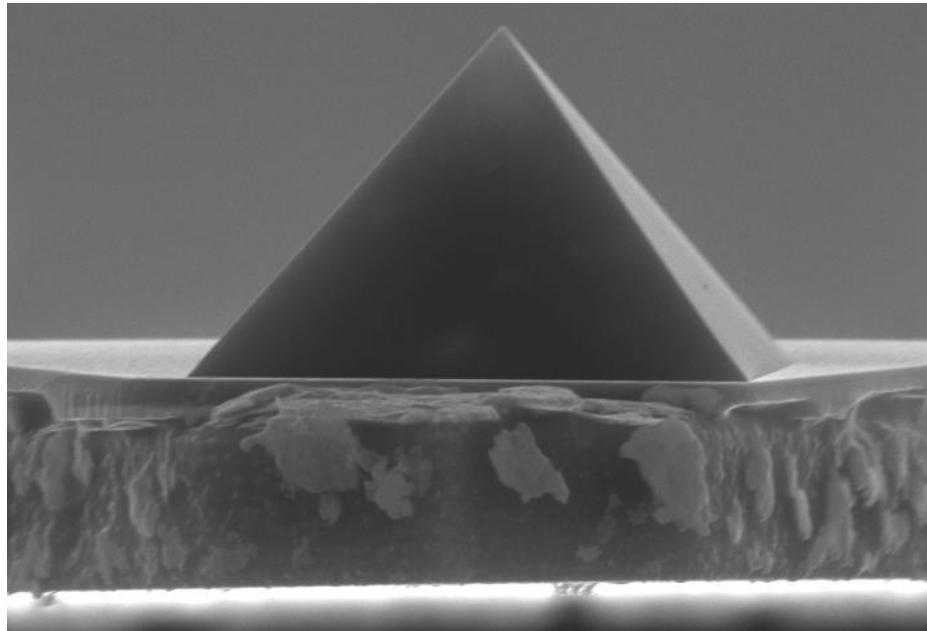
Anisotropic Wet Etching – Silicon in KOH

KOH Application: SECM – STM tips



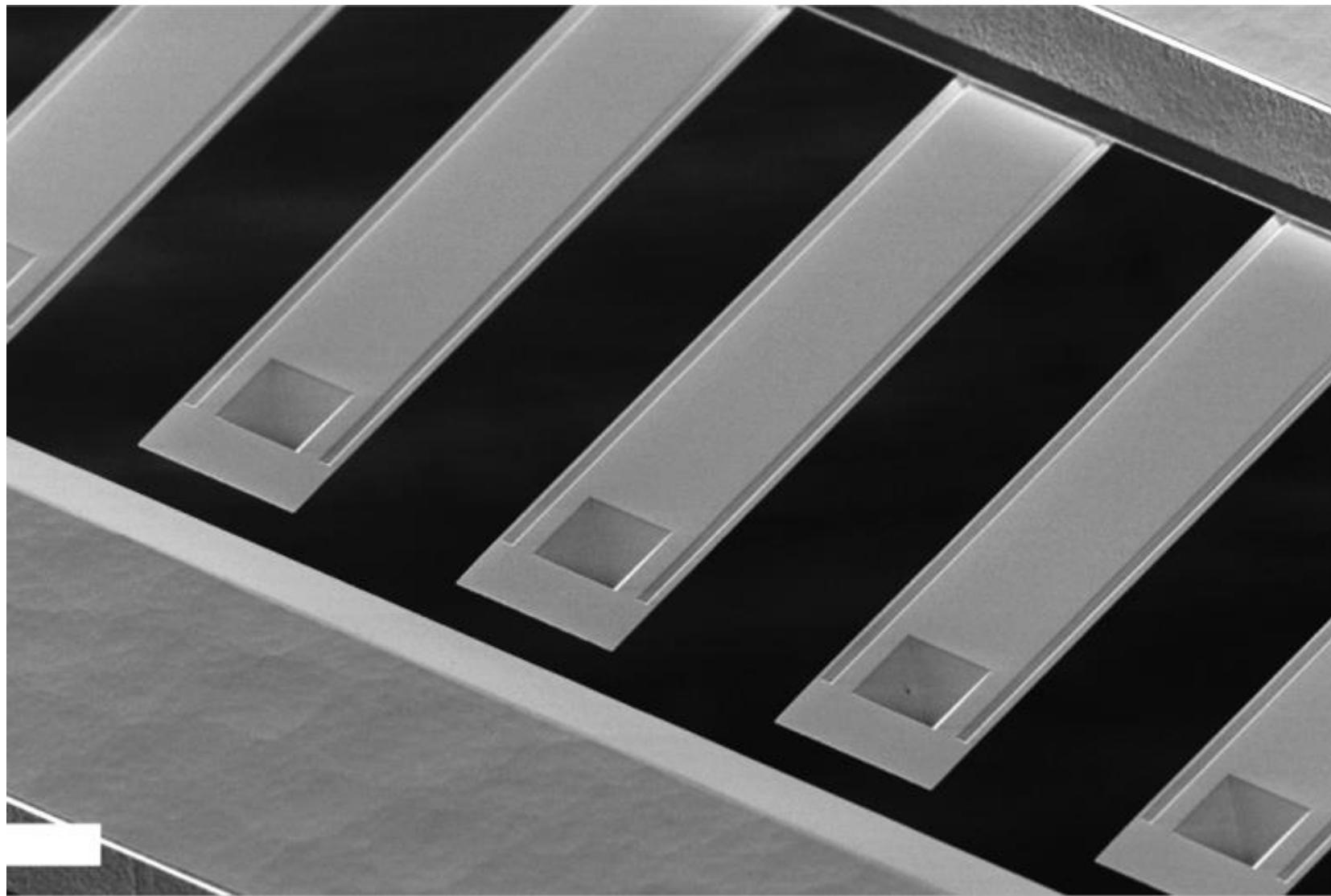
Anisotropic Wet Etching – Silicon in KOH

KOH Application: Diamond tips on KOH molds

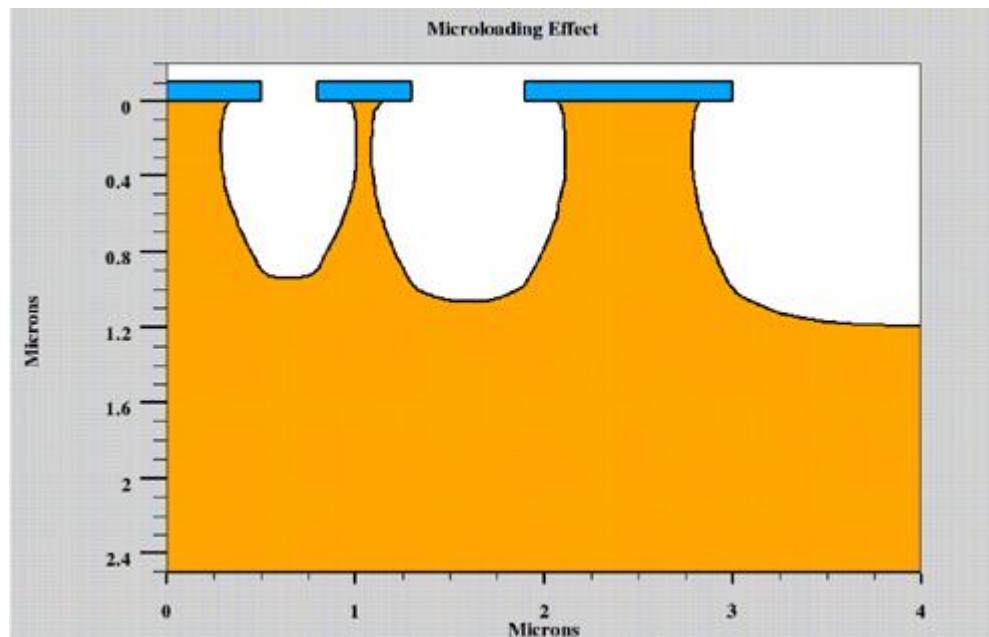


Anisotropic Wet Etching – Silicon in KOH

KOH Application: SiNx tips on KOH molds



Bulk Micromachining – Isotropic dry etching (RIE)



Bulk Micromachining – Isotropic dry etching (RIE)

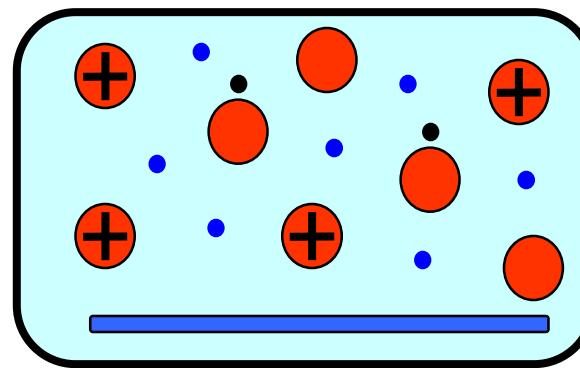
Dry etching is the etching of a solid substance by single atoms, radicals, molecules or ions, where the etching particles are in the gas phase or in a plasma. A plasma is a gaseous mixture of free electrons, ions and neutral particles.

The dry-etching particles are

- not in a liquid
- can move (almost) freely
- the particle movement is defined by
 - the physical boundaries of the etching chamber
 - the electrical and magnetical fields present in the chamber
 - the physical properties of the gas/plasma: pressure and temperature

Non-reactive (chemically)

- atoms, molecules
- electrons



Reactive:

- radical (an atom with a free valence electron)
- ions

Bulk Micromachining – Isotropic dry etching (RIE)

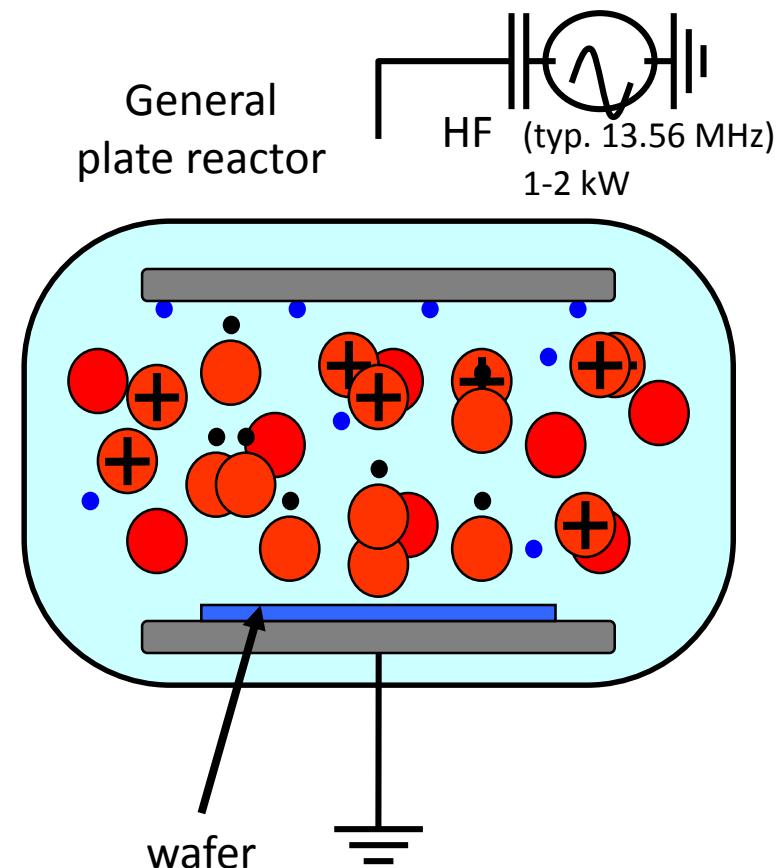
Dry etching has the following characteristics:

- High reproducibility
- Homogeneous etch rate over wafer
- All materials used in microtechnology can be dry etched
- Sufficient etch selectivity between different materials
- Etch selectivity can be changed by process parameters
- Isotropic and anisotropic etching is possible
- Special processes (DRIE) allow the etching of very steep almost vertical trenches
- Photoresist can be used as a mask (which can be removed by dry etching as well)
- No rinsing in de-ionized (DI) water is necessary
- Mechanically sensitive structures are not subjected to liquid flows/pressure
- Single-sided etching

Bulk Micromachining – Isotropic dry etching (RIE)

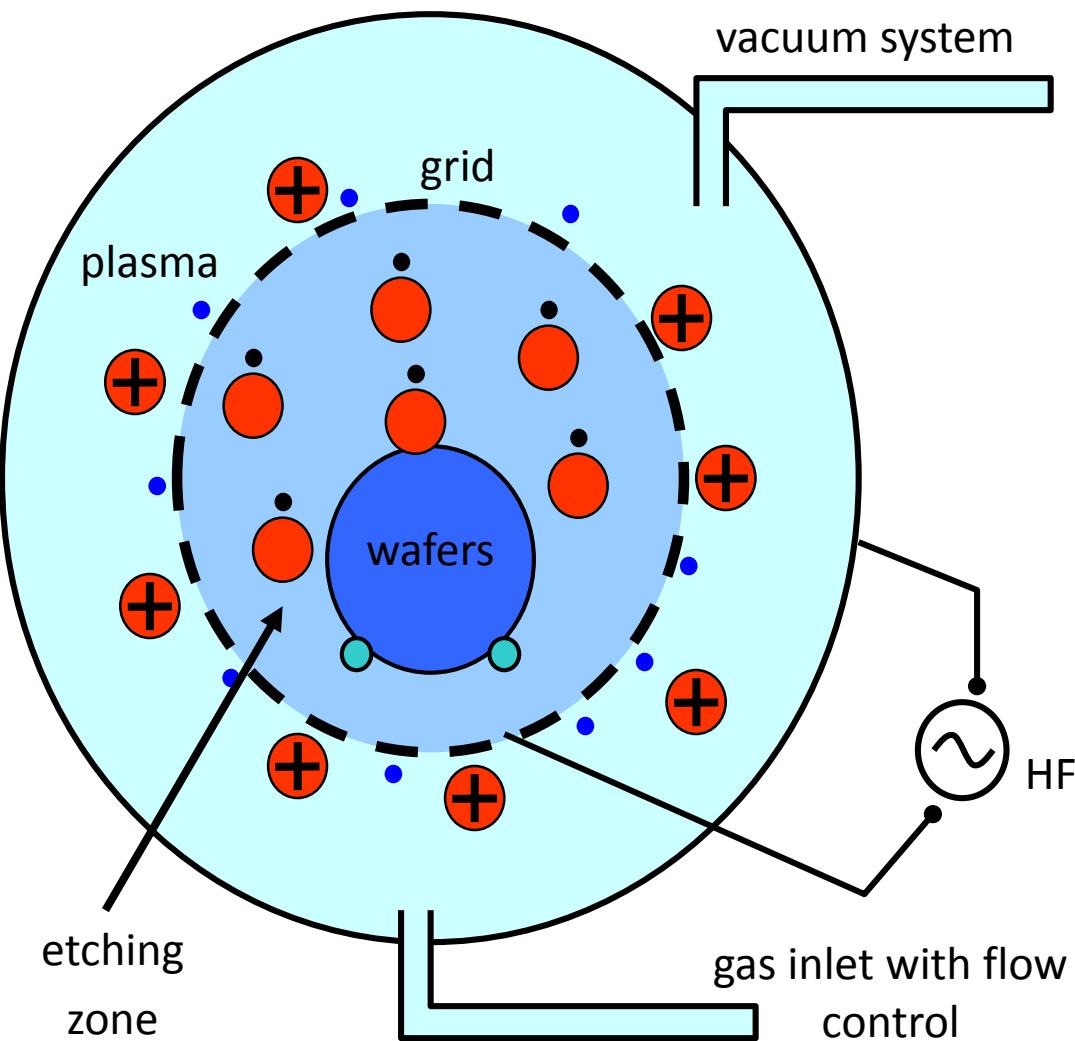
How to Create Dry Etching Particles?

1. An electrical neutral gas in a metal chamber
2. The gas pressure (e.g. 5 Pa) is low in the chamber, i.e. the mean free path length of the particles is in the order of the chamber dimensions
3. Applying an alternating electrical field at high frequency (HF at 13.56 MHz) to the gas, which does not interfere with radio
4. The gas molecules are accelerated
5. The gas particles/molecules collide with each other
6. Ionized molecules/atoms and radicals are formed
7. The ions are less mobile than the electrons, which moves the electrons away from the ions
8. An electrical field builds up in the chamber, which accelerates the ions towards the cathode as well
9. The radicals can move freely and are highly reactive
10. The movement and reactivity of the particles can be controlled by pressure, temperature and HF power



Bulk Micromachining – Isotropic dry etching (RIE)

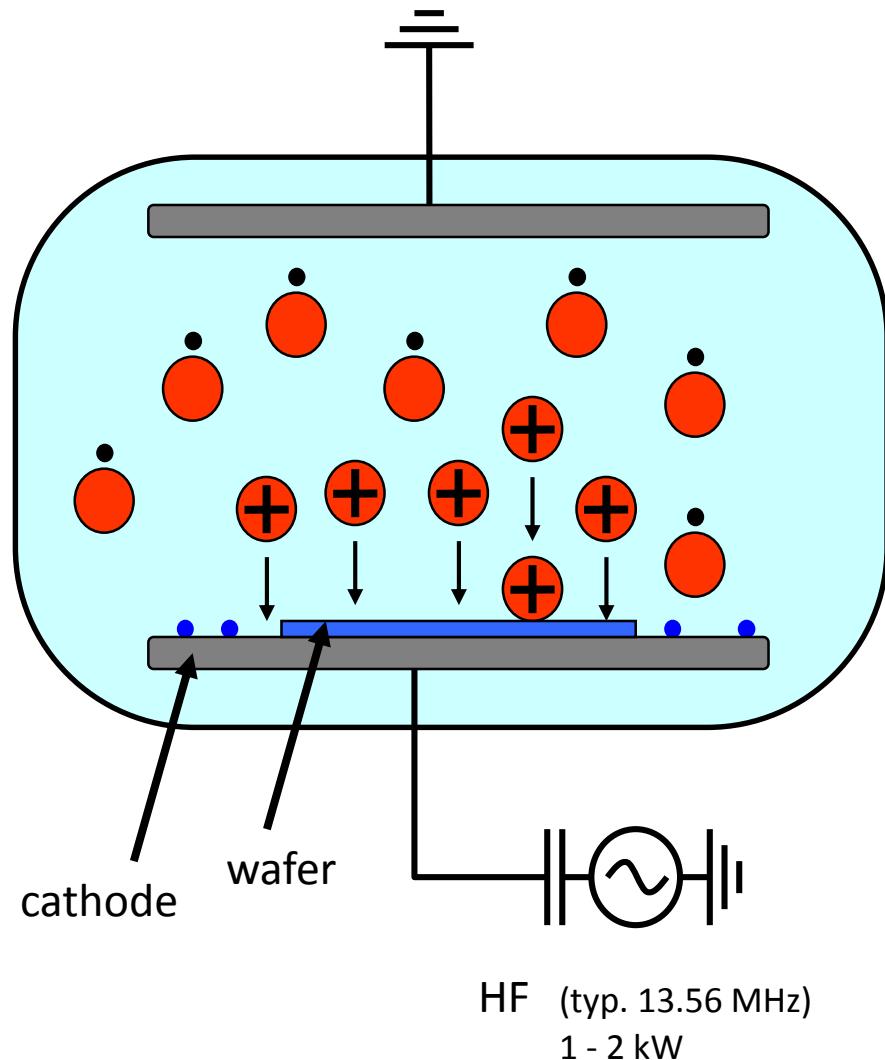
Plasma etcher



- The HF field is applied to the outer chamber and the grid inside the chamber.
- The electrons and ions are caught by the grid.
- Only the electrical neutral radicals can fly through the grid and enter the etching zone.
- The wafers are etched only by the radicals.
- The etching is purely chemical.
- This etching is mostly used for removing photoresist (photoresist stripping).

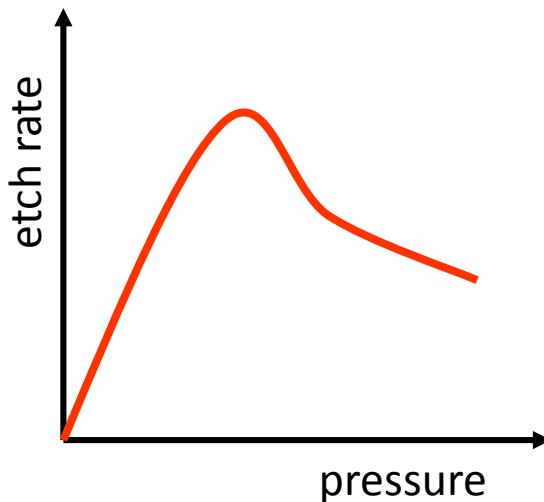
Bulk Micromachining – Isotropic dry etching (RIE)

- The HF field is applied to the cathode.
- The wafers are laying on the cathode.
- The ions are accelerated towards the cathode and the wafers.
- Ions and radicals are etching.
- The etching is highly directional, i.e. very anisotropic (vertical walls).
- The etching is chemical and physical (sputter etching by momentum transfer).
- Increasing the pressures leads to a reduces mean free path length of the particles. The particles loose kinetic energy. The etching becomes more chemical and less anisotropic.
- Radicals can bind or etch the etch products of the wafer. No redeposition of materials.

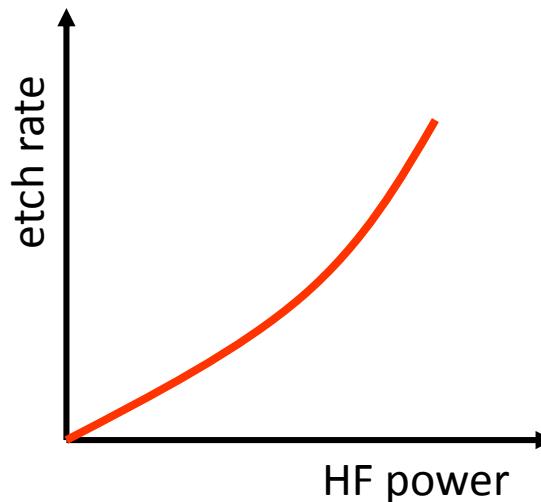


Bulk Micromachining – Isotropic dry etching (RIE)

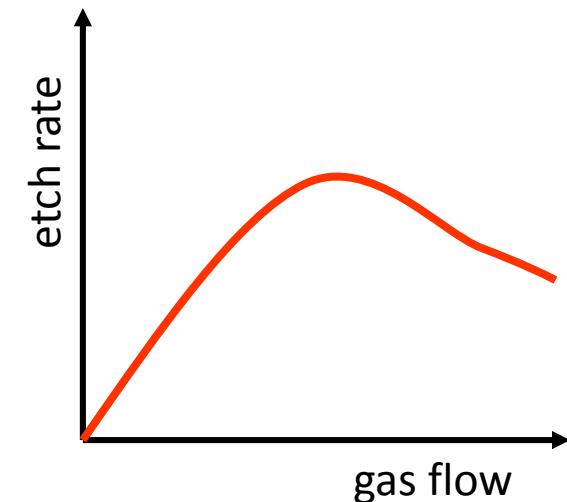
Etch Parameters



- at low pressure only a few reactants are available → low etch rate
- higher pressure means more radicals
- too high pressure reduces the mean free path length → less kinetic energy/particle → physical etch rate lowers



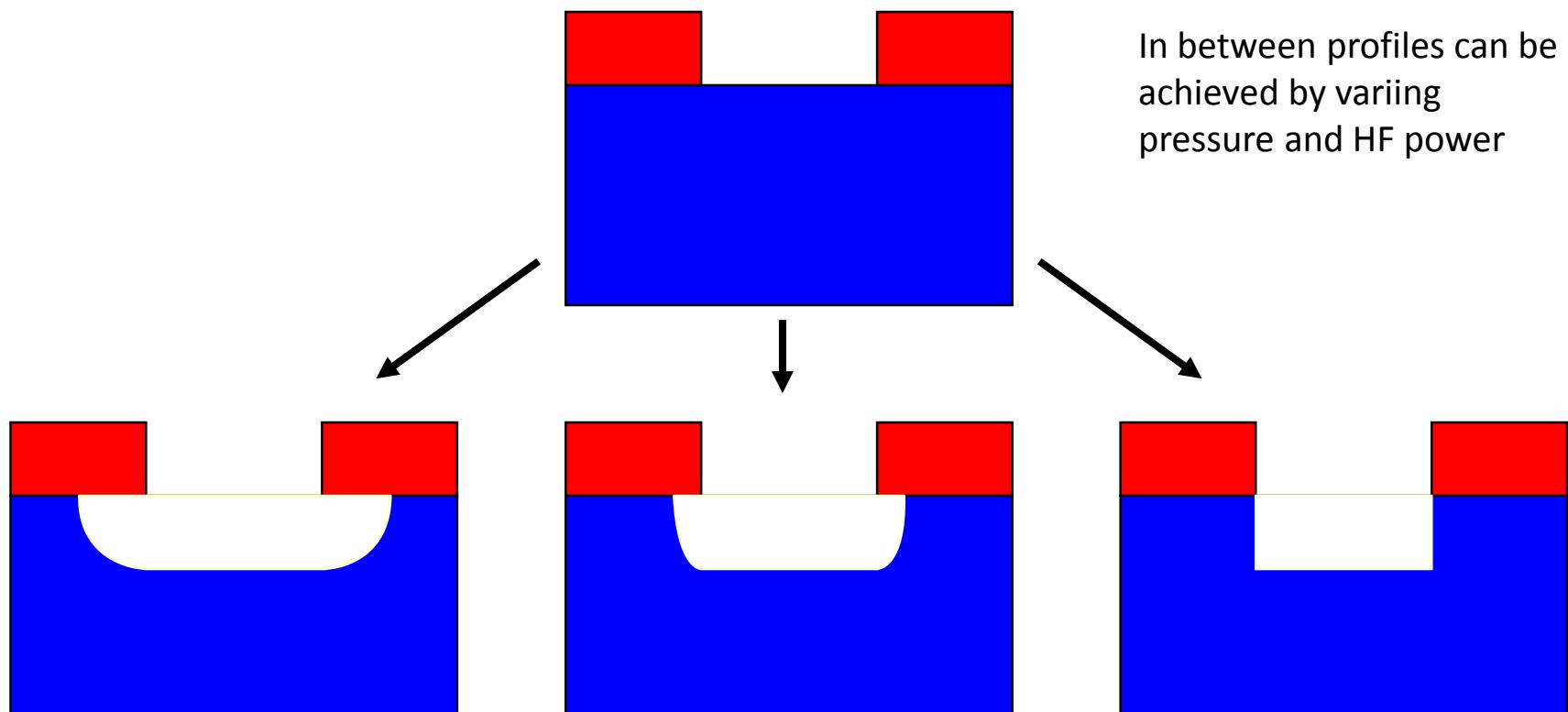
- higher HF power means more particles are ionized and dissociated
- the degree of ionization is always smaller than 100%, and more power can hence always create more radicals and ions



- the influence of the gas flow is the same like the pressure dependence
- too little gas → no reactants available
- too much gas → the density of particles is too high

Bulk Micromachining – Isotropic dry etching (RIE)

Etch Profiles



isotropic etch

- chemical etch
- vertical rate = horizontal rate

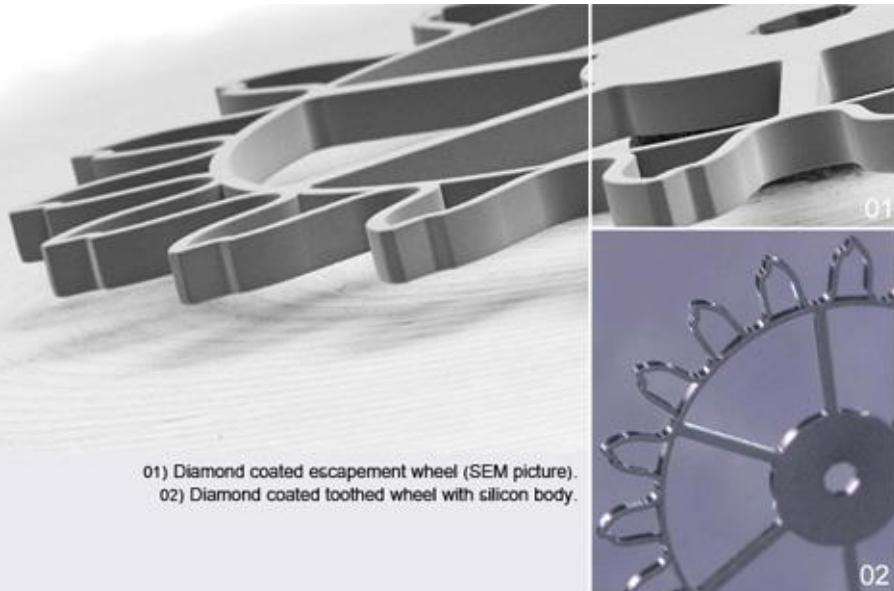
directional etch

- chemical and physical
- vertical rate > horizontal rate

vertical etch

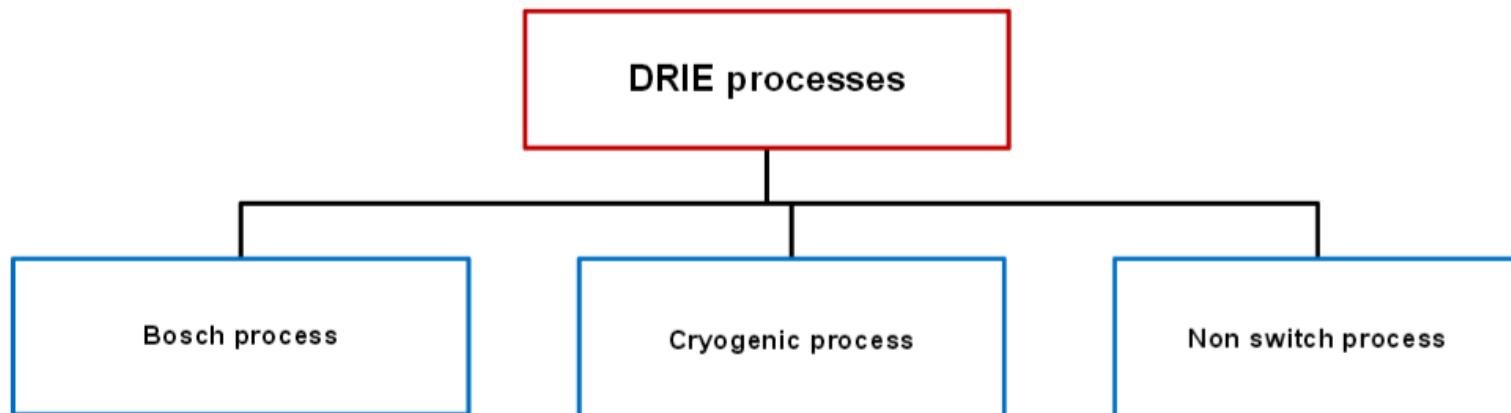
- mostly physical
- vertical rate >> horizontal rate

Bulk Micromachining – Anisotropic dry etching (DRIE)



Bulk Micromachining – Anisotropic dry etching: DRIE

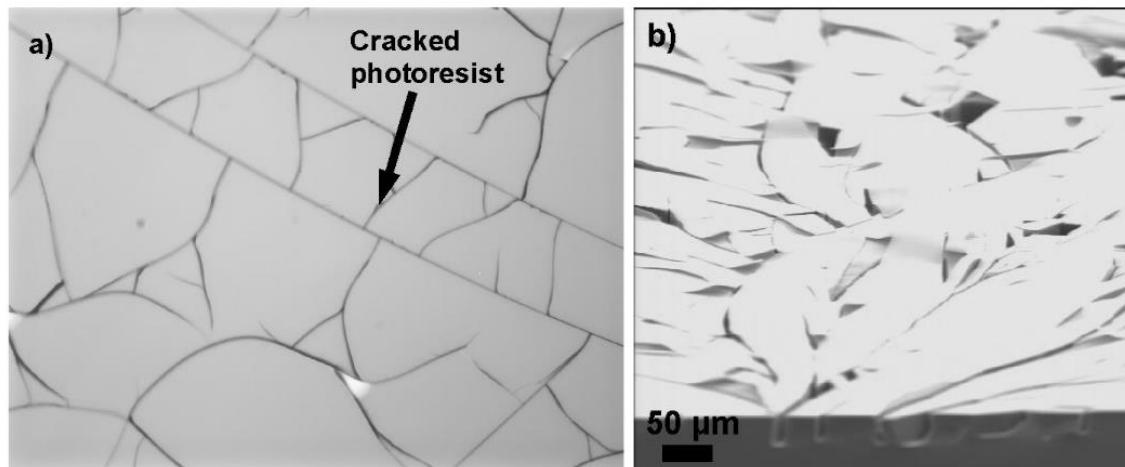
- Deep Reactive Ion Etch (DRIE) is a highly anisotropic etch process used to create deep, steep-sided holes and trenches in wafers, with aspect ratio of 20:1 or more.
- It belongs to the RIE group, reactive ion etching group which combines both chemical and physical etching techniques.
- However, MEMS devices, TSV and power devices manufacturing applications require extremely deep trenches where RIE process is not able to meet these requirements.
- DRIE allows to achieve high anisotropic etch profiles at high etch rates and with high aspect ratio structures required in MEMS devices, TSV and Power applications.



Source: Yole Development

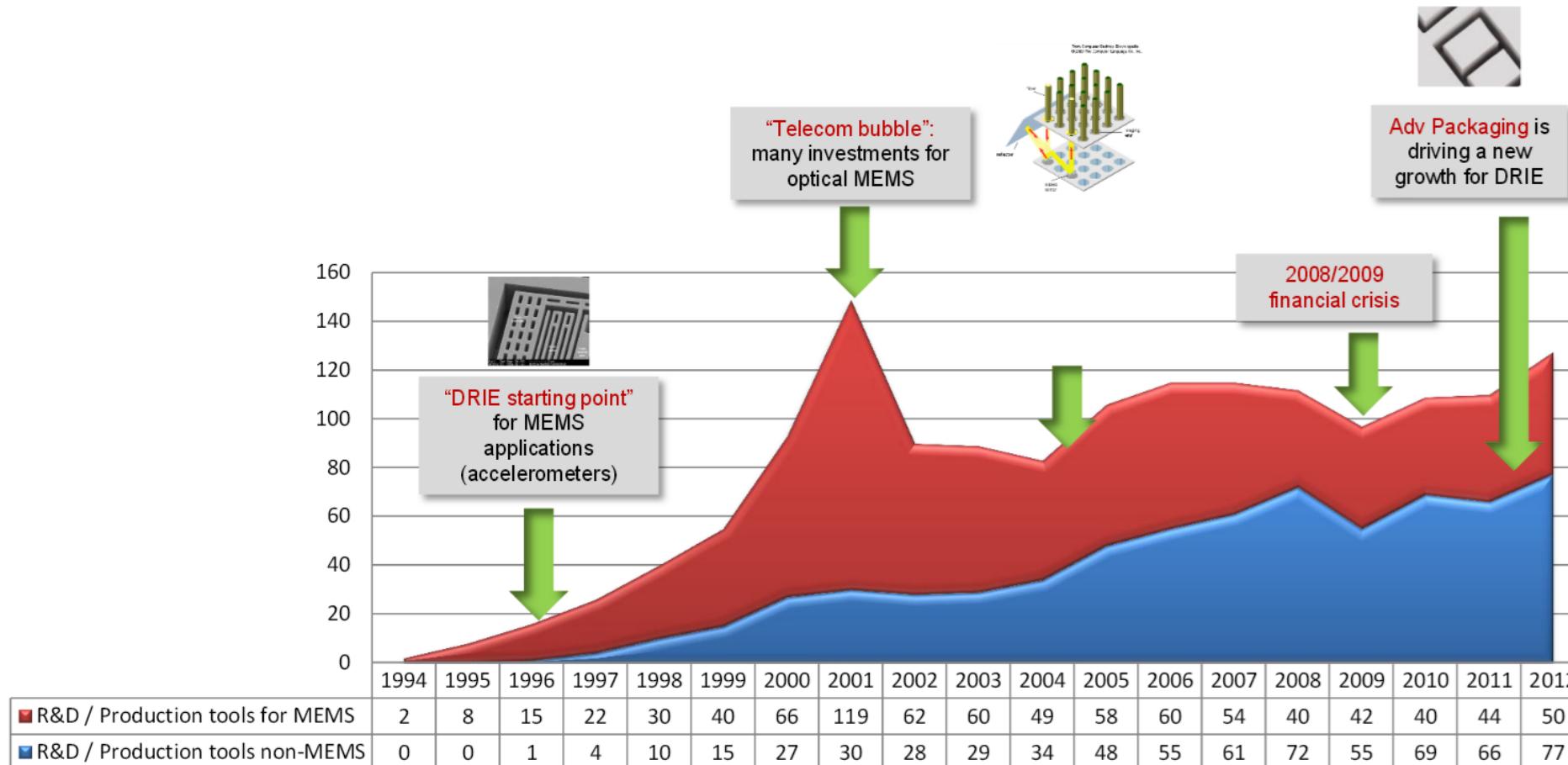
Bulk Micromachining – Anisotropic dry etching - Cryogenic etching

In cryogenic-DRIE, the wafer is chilled to -110°C (163 K). The low temperature slows down the chemical reaction that produces isotropic etching. However, ions continue to bombard upward-facing surfaces and etch them away. This process produces trenches with highly vertical sidewalls. The primary issues with cryo-DRIE is that the standard masks on substrates crack under the extreme cold, plus etch by-products have a tendency of depositing on the nearest cold surface, i.e. the substrate or electrode.



Sources: Yole Development
CRYOGENIC DEEP REACTIVE ION ETCHING OF
SILICON MICRO AND NANOSTRUCTURES
Doctoral Dissertation, Helsinki University of Technology

Bulk Micromachining – Anisotropic dry etching: DRIE



Source: Yole Development

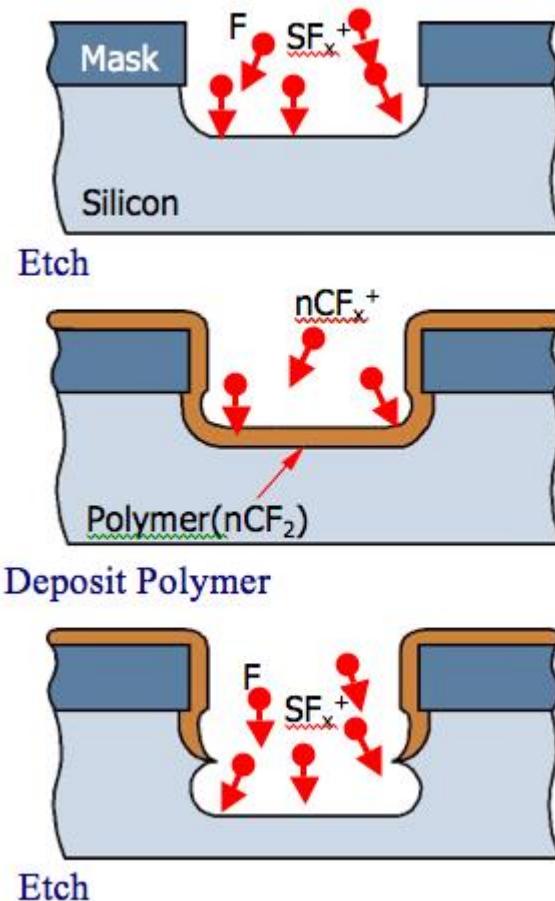
Bulk Micromachining – Anisotropic dry etching: DRIE

Two alternating steps with one process

1. Highly directional (vertical) etching by SF_x^+
2. Sidewall passivation with nCF_x^+
(on the sides a polymer builds up that is not etched by the next etching sequence)

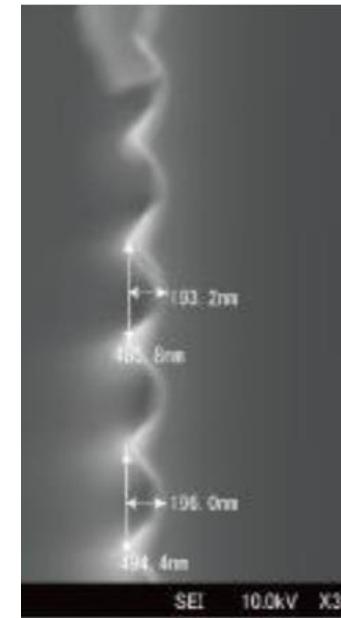
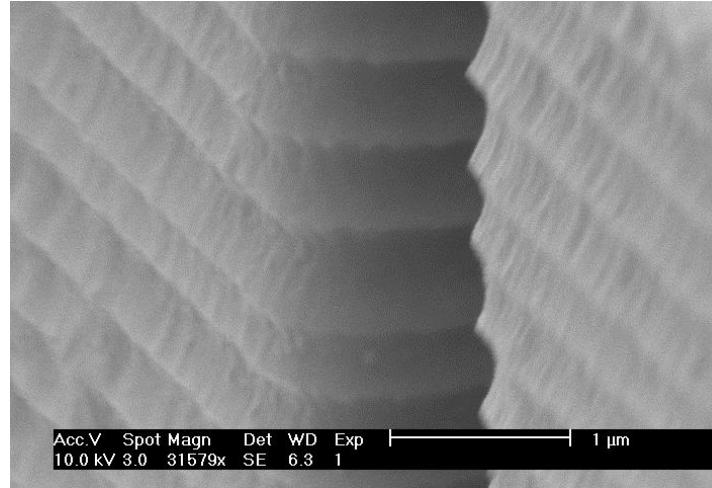
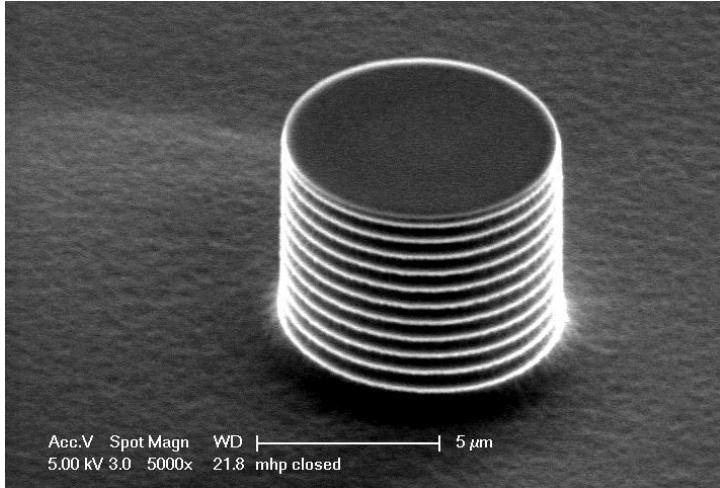
The passivation layer

- A fluorine based polymer
- Removing by oxygen plasma or very strong etcher for organic materials
(HNO_3 or "piranha" = mixture of H_2SO_4 and H_2O_2)

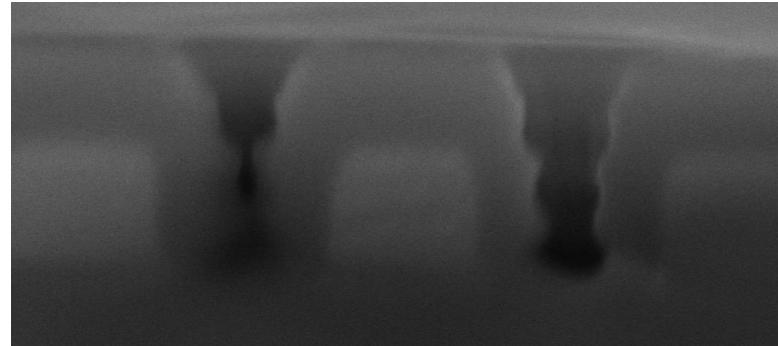


Bulk Micromachining – Anisotropic dry etching: DRIE

Scalloping effect

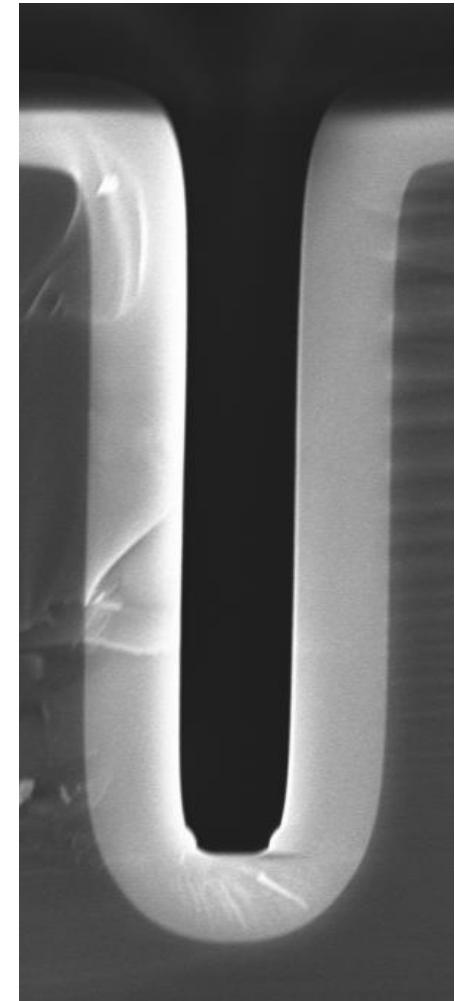
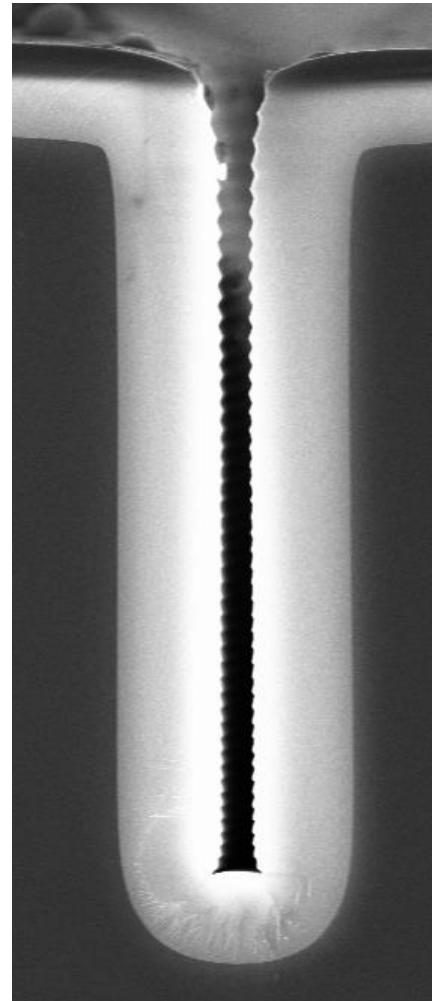
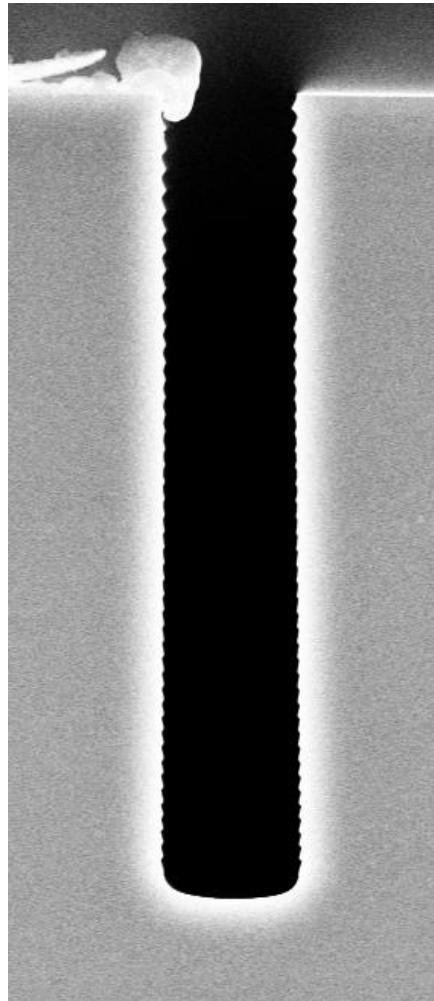


Scallop polishing by high temperature oxidation



Bulk Micromachining – Anisotropic dry etching: DRIE

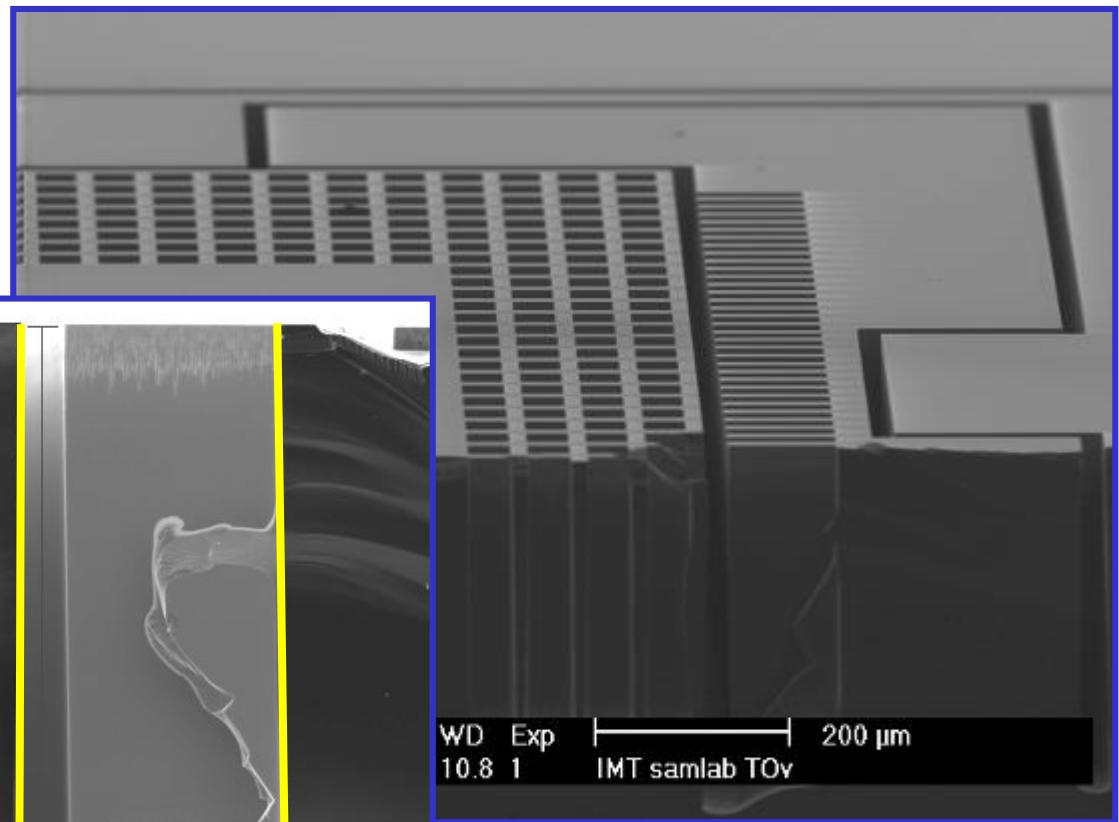
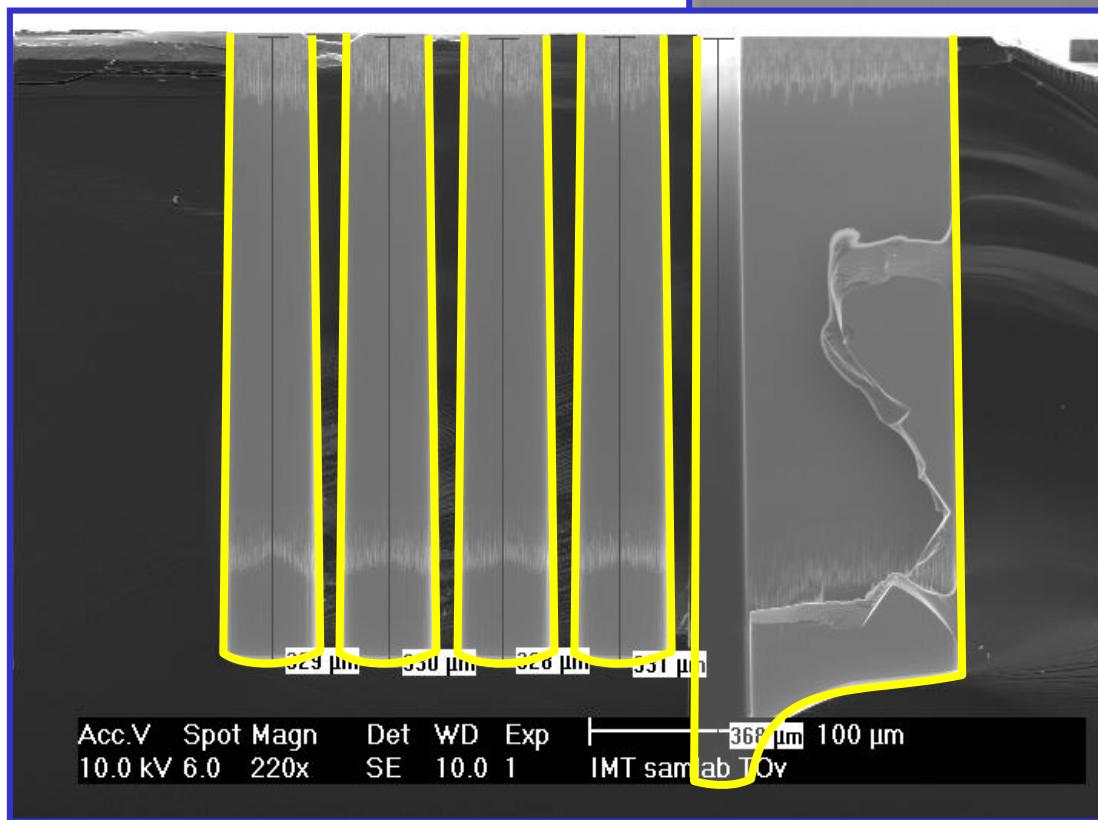
Scallop polishing by high temperature oxidation



Bulk Micromachining – Anisotropic dry etching: DRIE

Long trenches etch faster

→ Better exchange of etchants and products



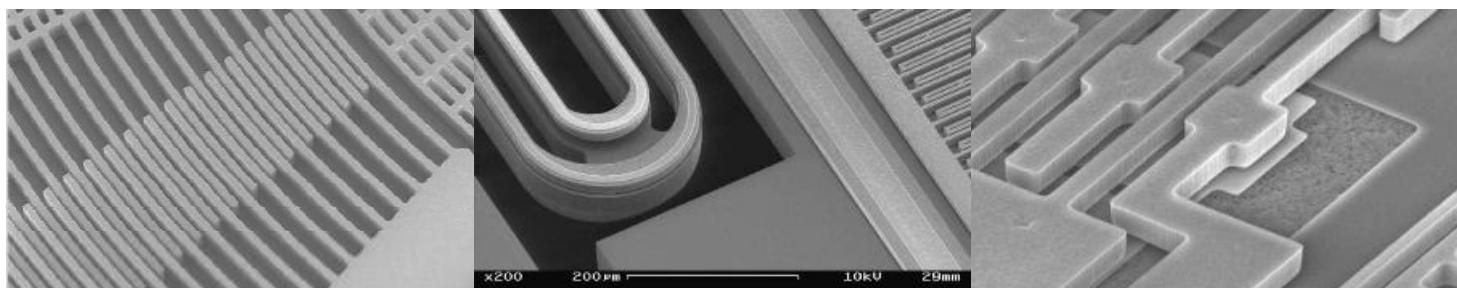
One of the MEMS Pioneers: Bosch

MEMS for Automotive and Consumer Applications

Bosch – the micromechanics pioneer

Bosch is a micromechanics pioneer with more than 20 years of experience

- First product in 1993: pressure sensor for automotive applications
- 1 billion MEMS sensors produced – 220 million units in 2009
- #1 in Automotive MEMS: Bosch
- More than 800 patents in the MEMS sensor field
- Creator of the “Bosch Process” (Deep Reactive Ion Etch - DRIE)
- “European inventor of the year” (2007) for the “Bosch process”



Automotive Electronics

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BOSCH

Dr. Jiri Marek, Robert Bosch GmbH
“MEMS for Automotive and Consumer Applications”, IMS Chips 2010

Surface Micromachining: Thin Film Deposition techniques

- **Introduction to deposition techniques**
- **Physical vapor Deposition (PVD)**
 - Resistive thermal evaporation
 - Electron beam evaporation
 - Sputtering
- **Chemical vapor Deposition**
 - APCVD
 - PECVD
 - MOCVD
- **Oxidation methods**
 - Thermal oxidation
 - Annealing
- **Electrodeposition**
- **Epitaxy**
- **casting**

Surface Micromachining: Thin Film Deposition techniques

Introduction to deposition techniques

- **Depositions that happen because of a chemical reaction:**

- Chemical Vapor Deposition (CVD)
- Electrodeposition
- Epitaxy
- Thermal oxidation

These processes exploit the creation of solid materials directly from chemical reactions in gas and/or liquid compositions or with the substrate material. The solid material is usually not the only product formed by the reaction. Byproducts can include gases, liquids and even other solids.

- **Depositions that happen because of a physical reaction:**

- Physical Vapor Deposition (PVD)
- Casting

Common for all these processes are that the material deposited is physically moved on to the substrate. In other words, there is no chemical reaction which forms the material on the substrate. This is not completely correct for casting processes, though it is more convenient to think of them that way.

Surface Micromachining: Thin Film Deposition techniques

Physical vapor Deposition - vacuum

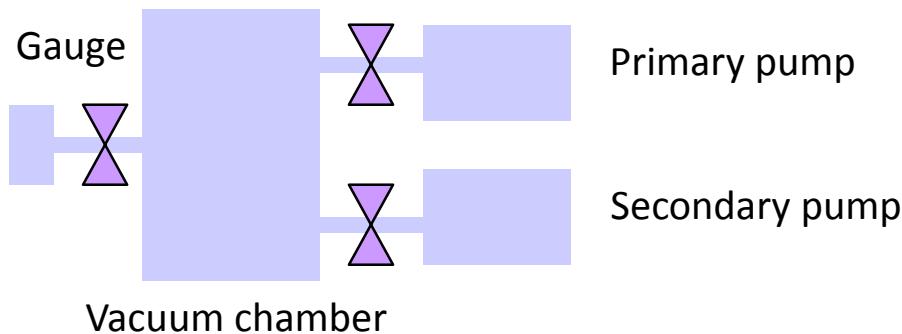
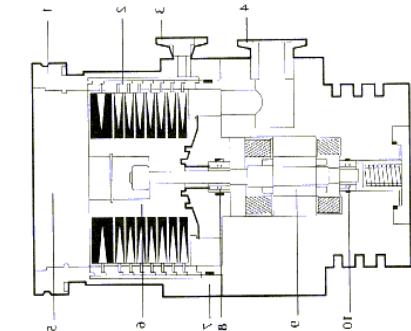
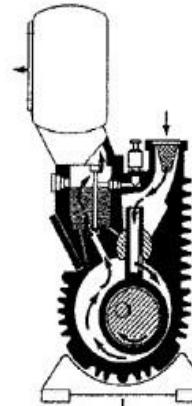
- The thin-film deposition techniques are closely related to the progresses made in the vacuum techniques (beginning WW II)
 - Example: (1935: Al mirror coating, Palomar telescope)
- Classification of the vacuum:
 - For vacuum (technical) $p > 10^{-3}$ Torr (1 mbar = 0.75 Torr)
 - Low vacuum (LV) $10^{-3} > p > 10^{-6}$
 - High vacuum (HV) $10^{-6} > p > 10^{-9}$
 - Ultra high vacuum (UHV) $10^{-9} > p > 10^{-12}$
 - Extremely high vacuum $p < 10^{-12}$ (ex: space vacuum)
- The PVD process needs a high vacuum (for medium quality) and UHV (for higher film quality)
 - Avoid contamination, O_2 , ...
 - Allow a better homogeneity of the deposited layer

Surface Micromachining: Thin Film Deposition techniques

Physical vapor Deposition - vacuum

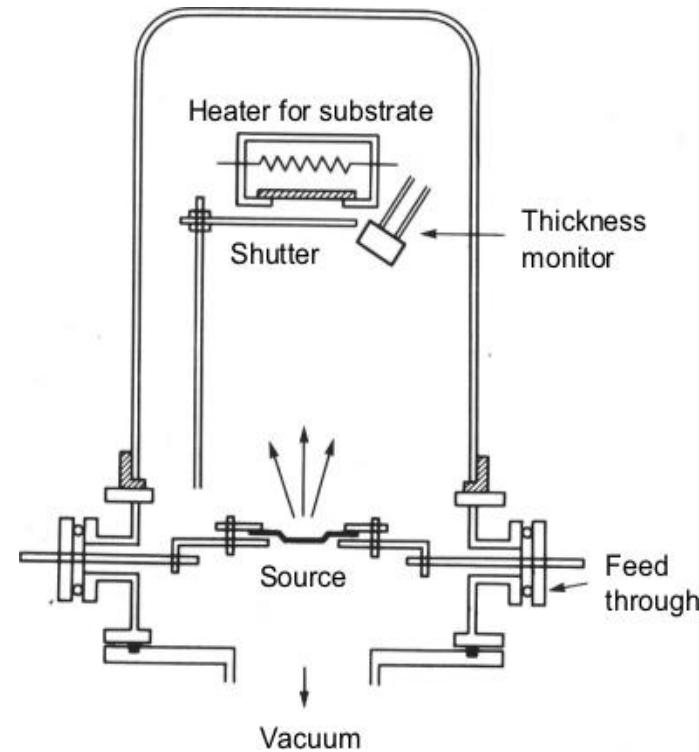
- Vacuum system:

- Vacuum chamber with valves and gauges
- Primary pump:
 - rotatory pump, ...
 - Range: atmospheric to 10^{-3} Torr
- Secondary pump:
 - turbomolecular pumps (24'000 - 36'000 rpm)
 - Range: 10^{-3} to 10^{-10} Torr



PVD: resistive thermal evaporation

- Principle: electrically heated filament or crucible
- Resistive evaporation system requirements
 - Vacuum system (pumps, chamber, valves...)
 - Thickness monitor
 - Mechanical shutter:
 - Evaporation rate is set by temperature of source, but this cannot be turned on and off rapidly. A mechanical shutter allows evaporant flux to be rapidly modulated.
 - Electrical power:
 - Either high current or high voltage



Surface Micromachining: Thin Film Deposition techniques

PVD: resistive thermal evaporation, sources

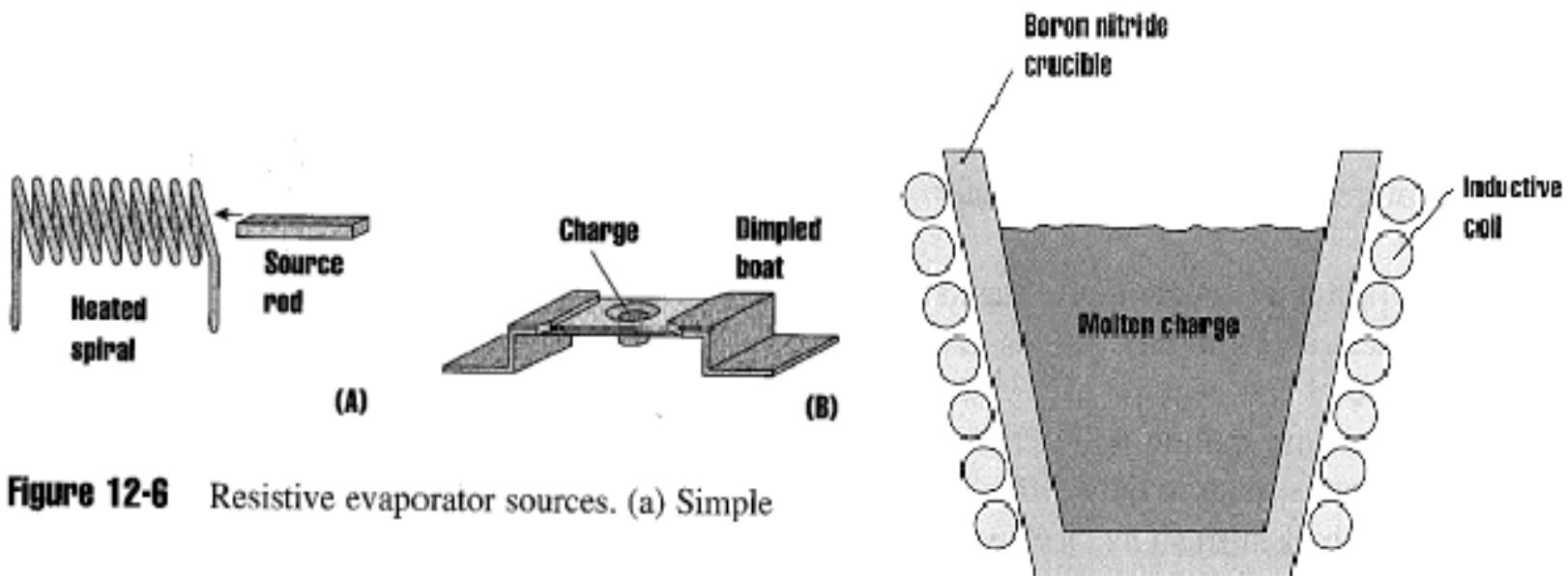
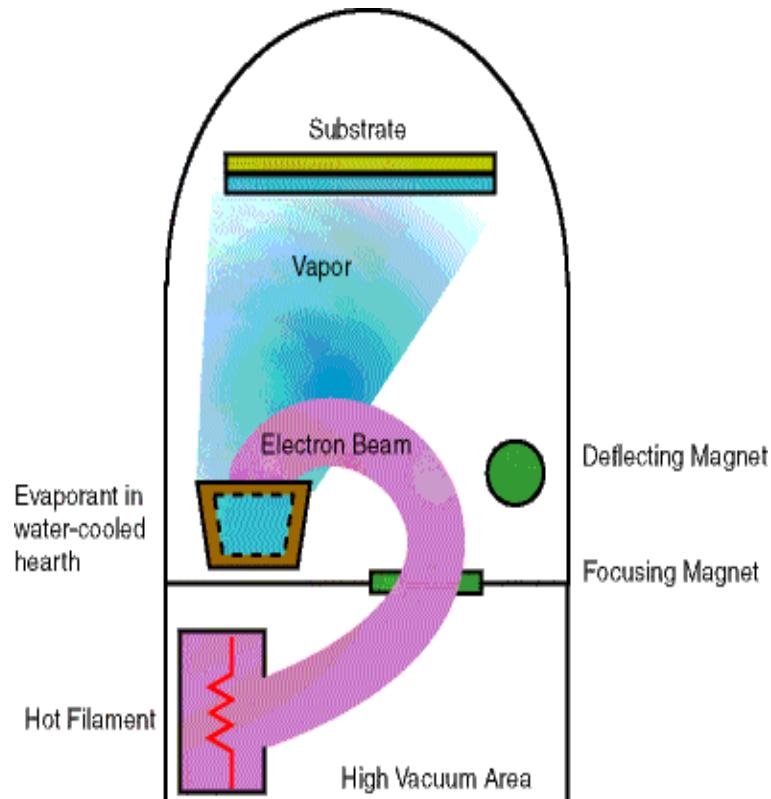


Figure 12-6 Resistive evaporator sources. (a) Simple

Surface Micromachining: Thin Film Deposition techniques

PVD: Electron Beam thermal evaporation

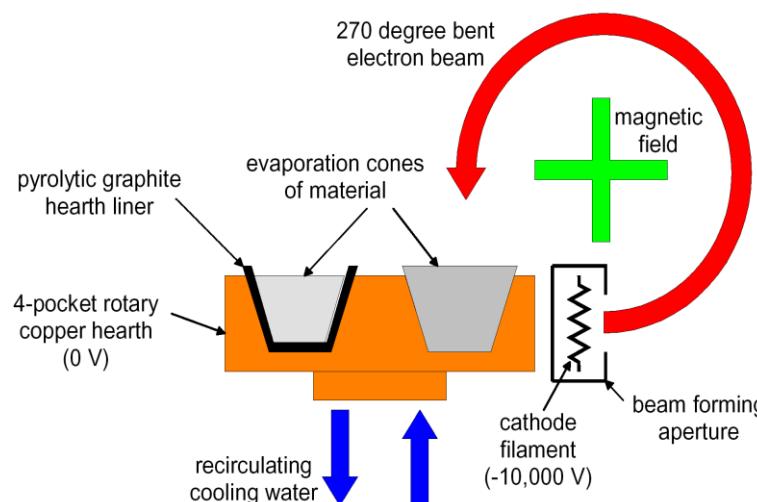
- Principle: electron-beam evaporation, scan of the EB on the crucible
- EB evaporation system requirements
 - Vacuum system (pumps, chamber, valves...)
 - Magnet for beam deflection
 - Cooling system
 - Thickness monitor
 - Mechanical shutter:
 - Evaporation rate is set by the energy of the e-beam. A mechanical shutter stops the evaporant flux during the first seconds that follow the switching on of the e-beam.
 - Electrical power:
 - Energy of the source: 10 kV



Surface Micromachining: Thin Film Deposition techniques

PVD: Electron Beam thermal evaporation

- 270° bent beam electron gun is most preferred:
 - Filament is out of direct exposure from evaporant flux.
 - Magnetic field can be used for beam focusing.
 - Magnetic field can be used for beam positioning.
 - Additional lateral magnetic field can be used produce X-Y sweep.



Surface Micromachining: Thin Film Deposition techniques

Photograph of a deflected e-beam

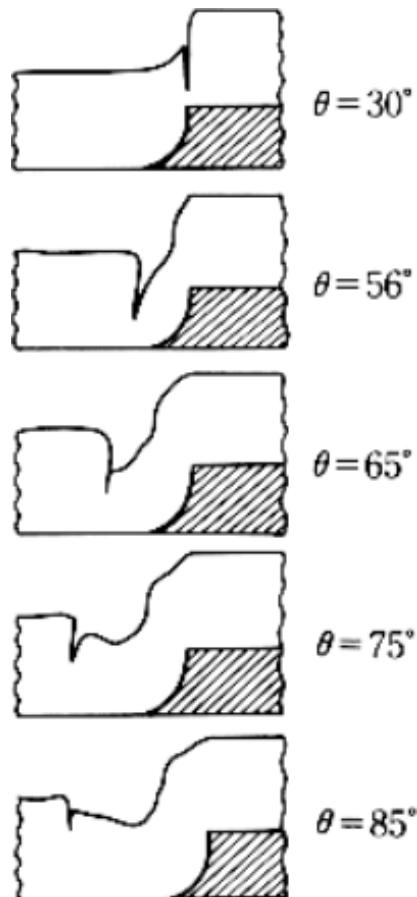


PVD: EB thermal evaporation, characteristics

- Evaporant melt locally, no contact with the crucible => low contamination
- More complex, but extremely versatile.
- Can achieve temperatures in excess of 3000°C.
- Typical deposition rates are 10-100 Angstroms/second.
- Common evaporant materials:
 - Everything a resistance heated evaporator will accommodate
 - Pt, Au, Ir, Ni, Rh, Ti, V, Zr, W (3410°C), Ta(3300°C),
 - Mo (2620°C)
 - Al₂O₃ (2030°C), SiO, SiO₂, SnO₂, TiO₂, ZrO₂
- Exposes substrate to secondary electron radiation.
 - X-rays can also be generated by high voltage electron beam.
- Alloys difficult to deposit

Surface Micromachining: Thin Film Deposition techniques

PVD: thermal evaporation, step coverage



In order to have a good step coverage, a planetary system can be used.

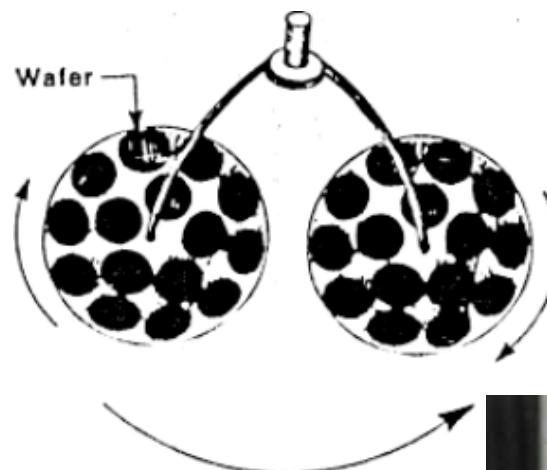


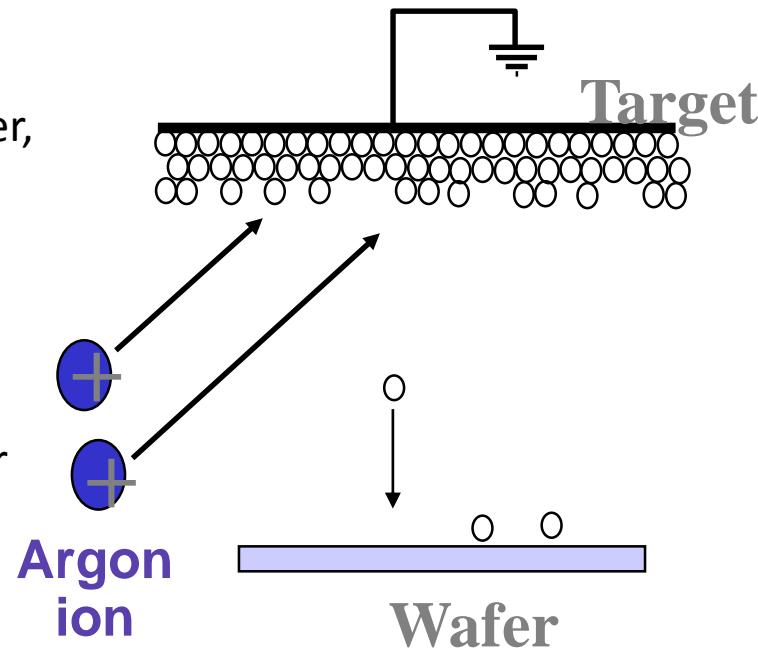
Fig. 16.10
Planetary Wafer Holder



Surface Micromachining: Thin Film Deposition techniques

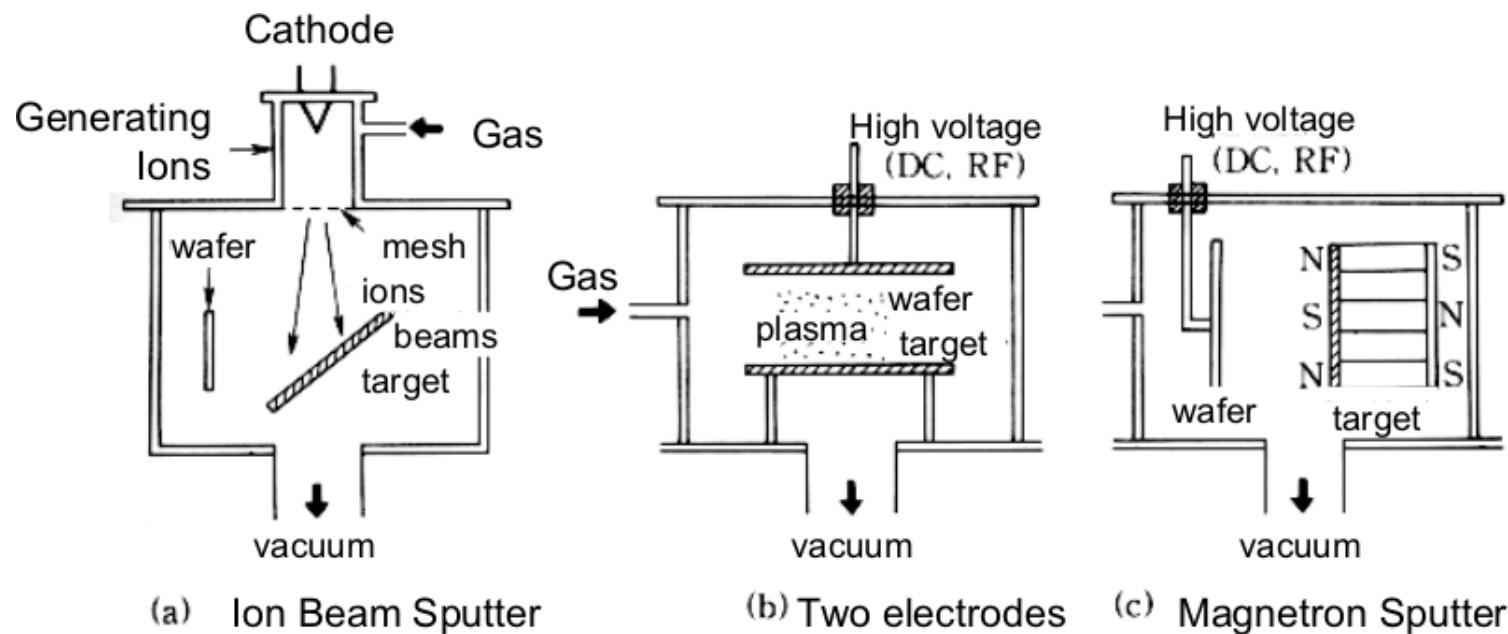
PVD: sputtering, description

- Principle: Sputtering is a physical phenomenon involving the acceleration of ions, usually Ar+, through a potential gradient, and the bombardment by these ions of a “target” or cathode. Through momentum transfer, atoms near the surface of the target material become volatile and are transported as a vapor to the substrate. At the substrate, the film grows through deposition.
- Sputtering system requirements
 - Vacuum system (pumps, chamber, valves...)
 - Target for each metal or alloys
 - Cooling system
 - Thickness monitor
 - Electrical power: DC or RF power supply



Surface Micromachining: Thin Film Deposition techniques

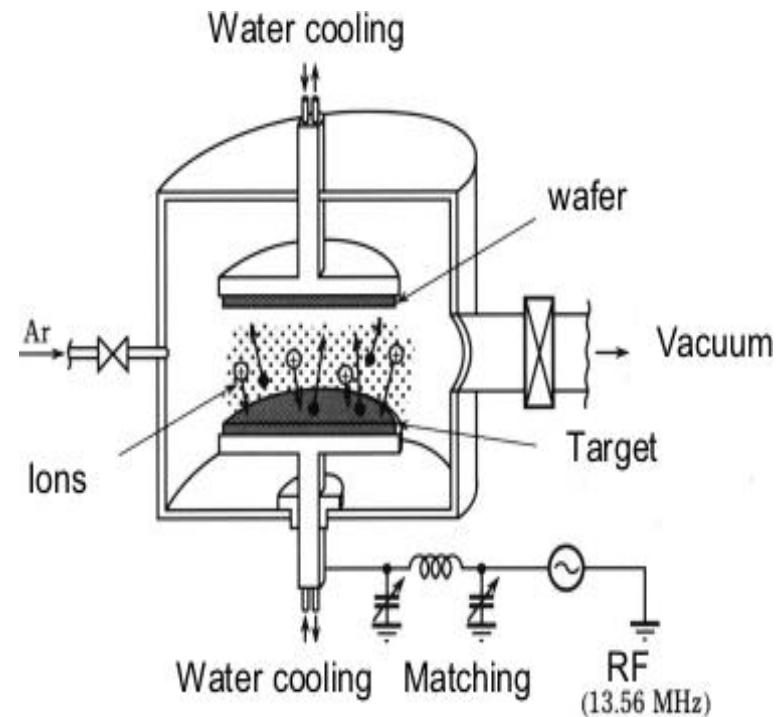
PVD: sputtering, different methods



Surface Micromachining: Thin Film Deposition techniques

PVD: sputtering, description

- Some characteristics of sputter deposition are:
 - The ability to deposit alloy films with composition similar to that of the target
 - Better step coverage than evaporation deposition (planar target)
 - Adhesion is improved



Surface Micromachining: Thin Film Deposition techniques

PVD: Evaporation vs. Sputtering

	Evaporation	Sputtering
Growth rate	thousand of atomic layer/s ex: 0.5 $\mu\text{m}/\text{min}$ Al	one atomic layer/s
Choice of material	Limited	Almost unlimited
Purity	Excellent (HV to UHV)	Medium (LV to HV)
Substrate heating	very low	substantial
Substrate damage	very low	ionic bombardement damage
In-situ cleaning	not an option	easily done
Alloy composition, stoichiometry	Little or no control	Alloy composition can be tightly controlled
X-ray damage	only with e-beam evaporation	Radiation and particle damage possible
Source material	Medium	Expensive
Uniformity	Difficult	Easy over large areas
Equipment	Low cost	More expensive
Adhesion	Often poor	Excellent
Film properties (e.g. grain size, step coverage)	Difficult to control	Controlled by bias, pressure, substrate heat

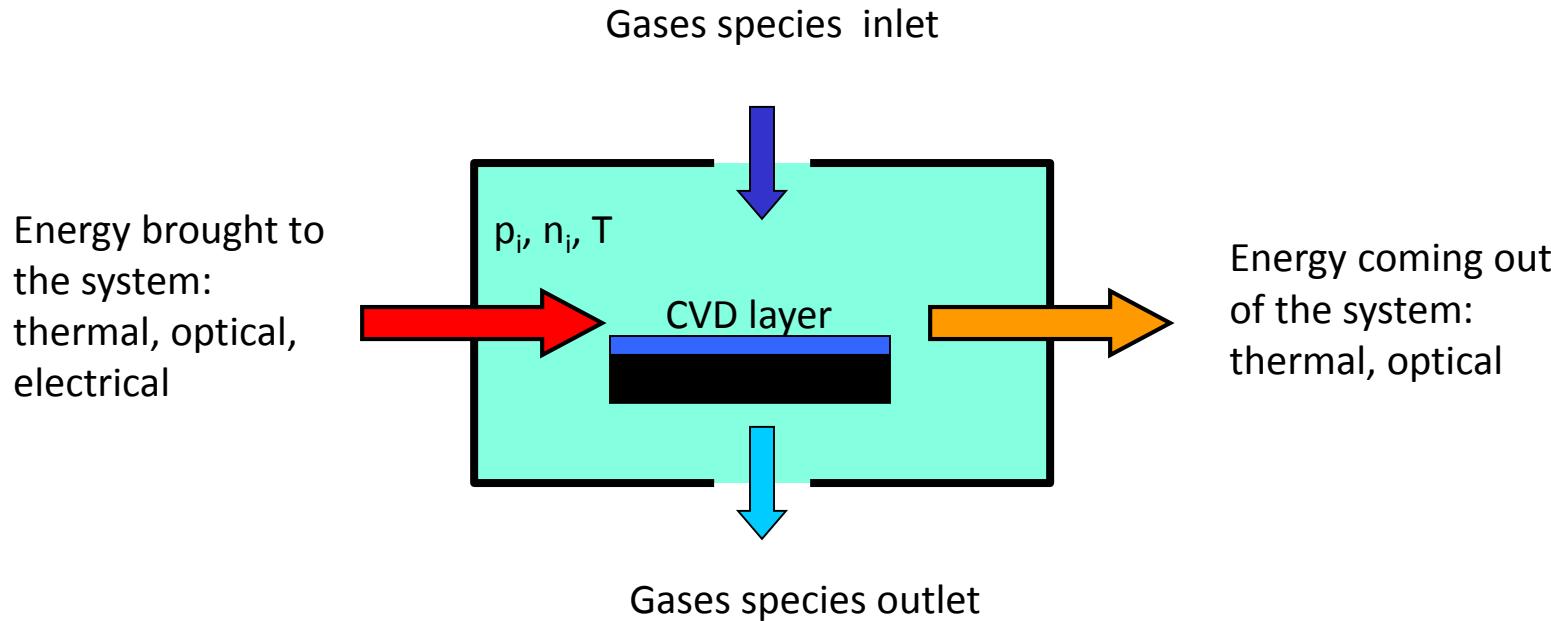
Chemical Vapour Deposition processes

Process of chemically reacting a volatile compound to be deposited with other gases to produce a nonvolatile solid that deposits on a substrate

M.Ohring, « The Materials Science of Thin Films », Academic Press INC, 1992.

Surface Micromachining: Thin Film Deposition techniques

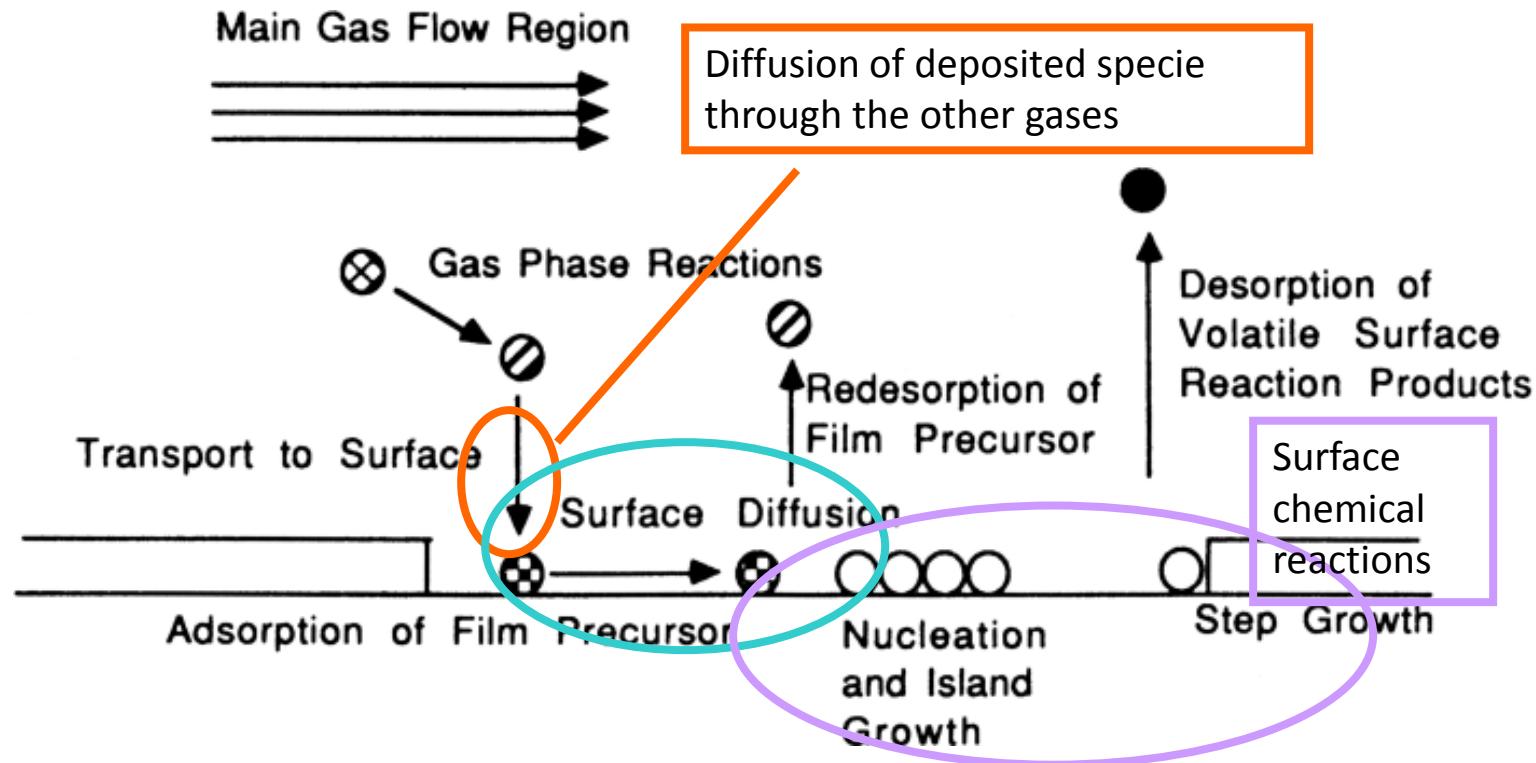
CVD principle



The energy transfer and gas flow have to be optimized in order to ensure an homogenous deposition at the surface of the wafer. The state of the gases (p_i, n_i, T) has also a major influence on the deposition.

Surface Micromachining: Thin Film Deposition techniques

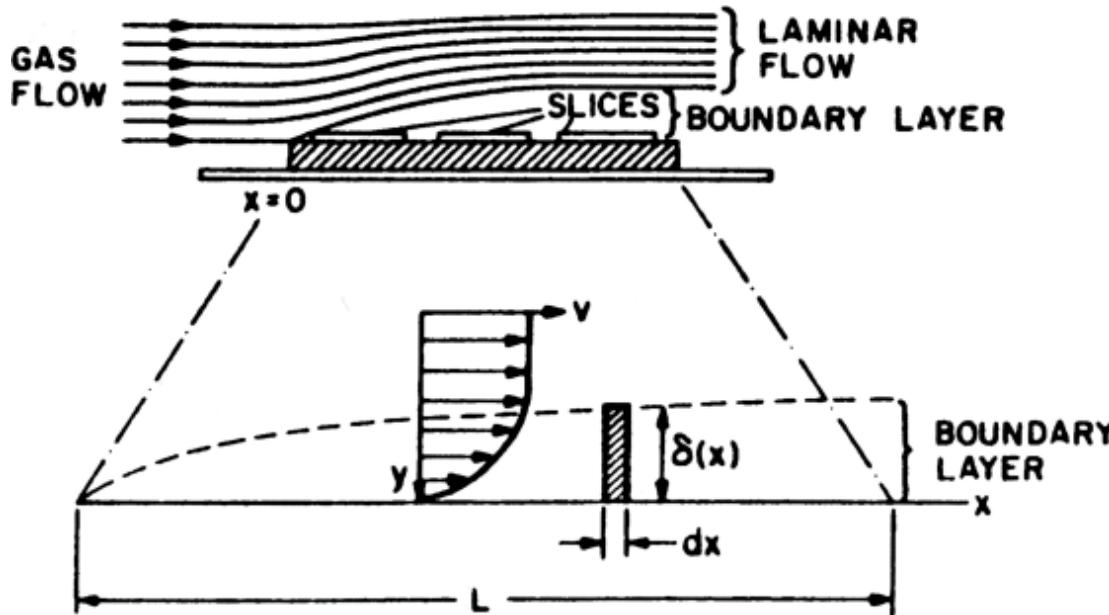
CVD Deposition process



The precursors cross the boundary layer by diffusing through the other gases species. The deposition rate can be limited by: the crossing of the boundary layer, physical phenomenon such as absorption diffusion on the surface, or chemical reactions on the surface. The slowest of the process dominates the reaction.

Surface Micromachining: Thin Film Deposition techniques

Boundary layer



$$\delta(x) = \left(\frac{\eta x}{\rho U} \right)^{1/2}$$

$$\langle \delta \rangle_L = \frac{2}{3} \left(\frac{\eta L}{\rho U} \right)^{1/2}$$

$$\langle \delta \rangle_L = \frac{2}{3} \left(\frac{\eta L T}{p M U} \right)^{1/2}$$

Layer close to a solid surface where the gas speed is lower than in the rest of the gas flow section. In the boundary layer, the speed flow gradient is different to zero.

Surface Micromachining: Thin Film Deposition techniques

Flux in the boundary layer

Some species are absorbed by the surface and therefore diffuse through the boundary layer.
Flux of the deposited material in the boundary layer due to diffusion:

$$\phi = D \frac{dc}{dx}$$

$$\langle \phi \rangle_L = D \frac{\Delta c}{\langle \delta \rangle_L} = \frac{3D\Delta c}{2} \left(\frac{\rho U}{\eta L} \right)^{1/2}$$

$$\langle \phi \rangle_L = \frac{3D\Delta c}{2} \left(\frac{pMU}{\eta LT} \right)^{1/2}$$

Parameters influence:

$$\phi \propto \sqrt{U}$$

$$\phi \propto \sqrt{\text{pressure}}, T = \text{cte}$$

$$\phi \propto D \propto T^{1.75 \text{ to } 2} \Rightarrow \phi \propto T^{1.25 \text{ to } 1.5}$$

$$\Delta c = \text{cte}(t) \Rightarrow \text{absorption} = \text{cte}(t)$$

c: concentration of the deposited material

D: diffusion coefficient of the deposited material in the other gases

U: gas stream velocity

r: gases average density

h: gases average viscosity

L: plate length

Surface physical phenomenon

The growing speed can be modelled by a thermally activated phenomenon:

$$R = R_0 e^{-E_a/kT}$$

R: growing speed (frequency factor)

E_a : activation energy

k: Boltzmann constant

The higher the temperature, the faster the reaction!

Surface chemical reactions

The speed of the film formation, when limited by surface chemical reactions, is mainly dependant on the partial pressure of the gas specie deposited and its molair mass.

$$\frac{1}{\Gamma} = \frac{1}{\alpha p_i} + \frac{\beta}{\alpha}$$

G: film deposition speed

p_i : partial pressure

a, b: coefficients molair mass dependant

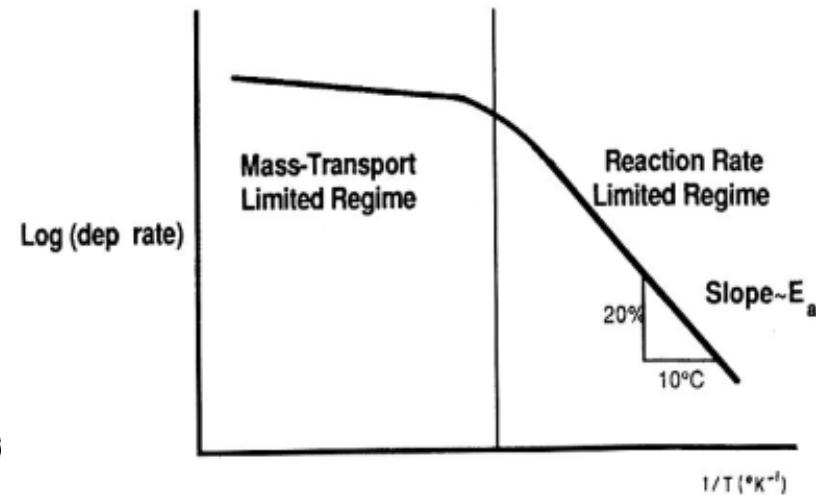
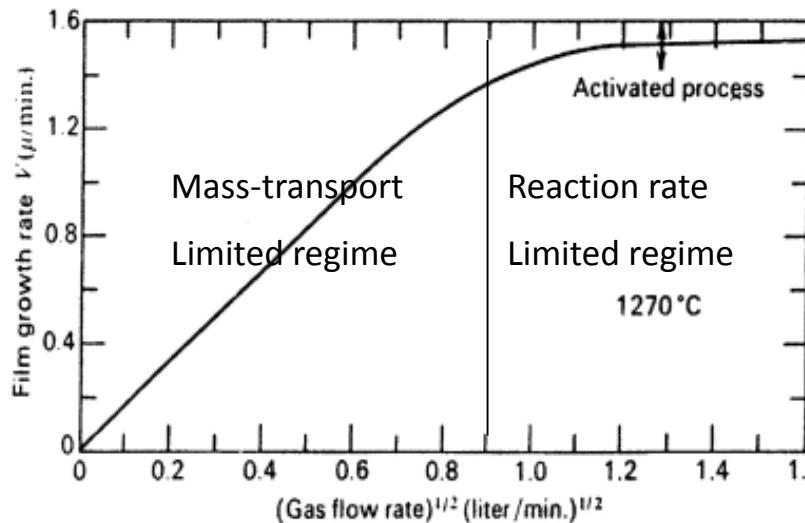
Surface Micromachining: Thin Film Deposition techniques

Deposition speed

In the case of a deposition speed limited by one of these two reasons (no speed limitations due to chemical reactions):

- a) crossing of the boundary layer
- b) physical surface phenomena

The following graphs show the deposition speed when the limitation is varied from type a) to b).



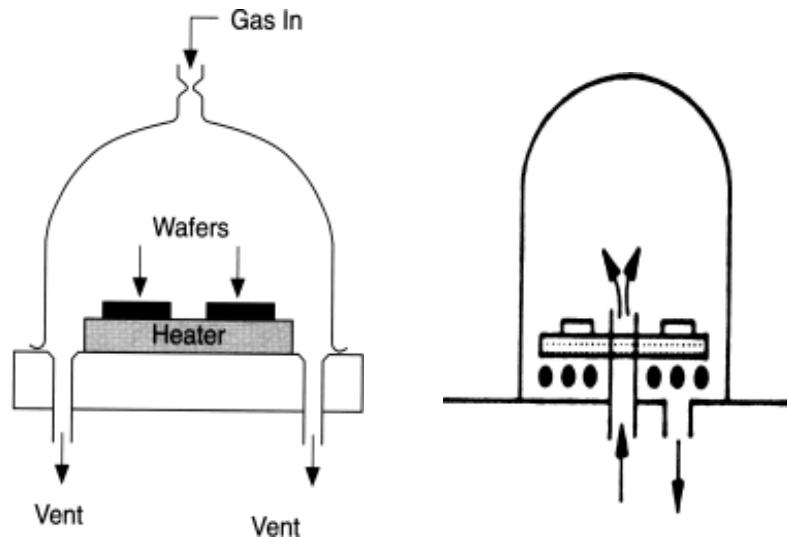
CVD Deposition methods

- APCVD: Atmospheric Pressure Chemical Vapor Deposition
- PECVD: Plasma-Enhanced Chemical Vapor Deposition
- LPCVD: Low-Pressure Chemical Vapor Deposition
- MOCVD: MetalOrganic Chemical Vapor Deposition (LPCVD)
- LECVD: Laser-Enhanced Chemical Vapor Deposition
- VLPCVD: Very Low Pressure Chemical Vapor Deposition

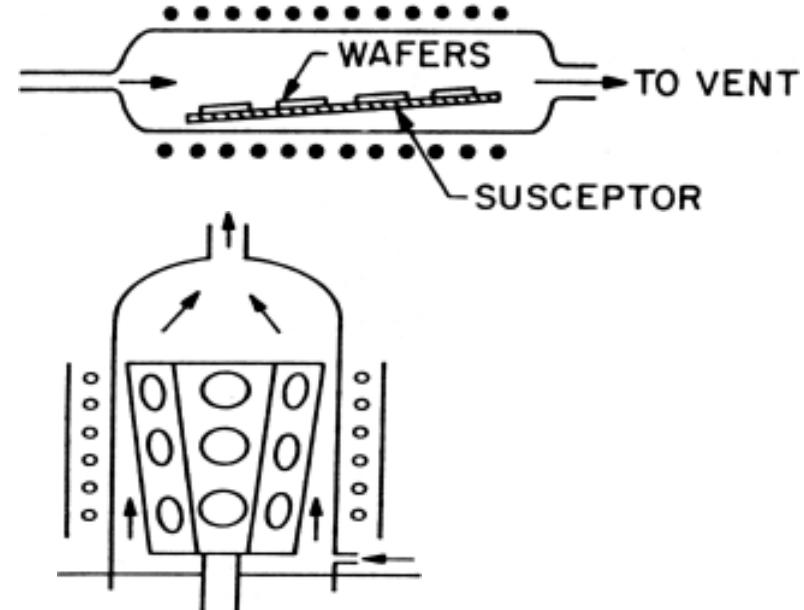
Surface Micromachining: Thin Film Deposition techniques

APCVD reactors

Cold wall



Hot wall



Pressure: ~atmospheric, 10 to 100 kPa

Deposition temperature: (350-900) °C

Mass-transport controlled

Applications: oxides

High deposition rate: SiO_2 , 670 Å/min

Poor step coverage

Surface Micromachining: Thin Film Deposition techniques

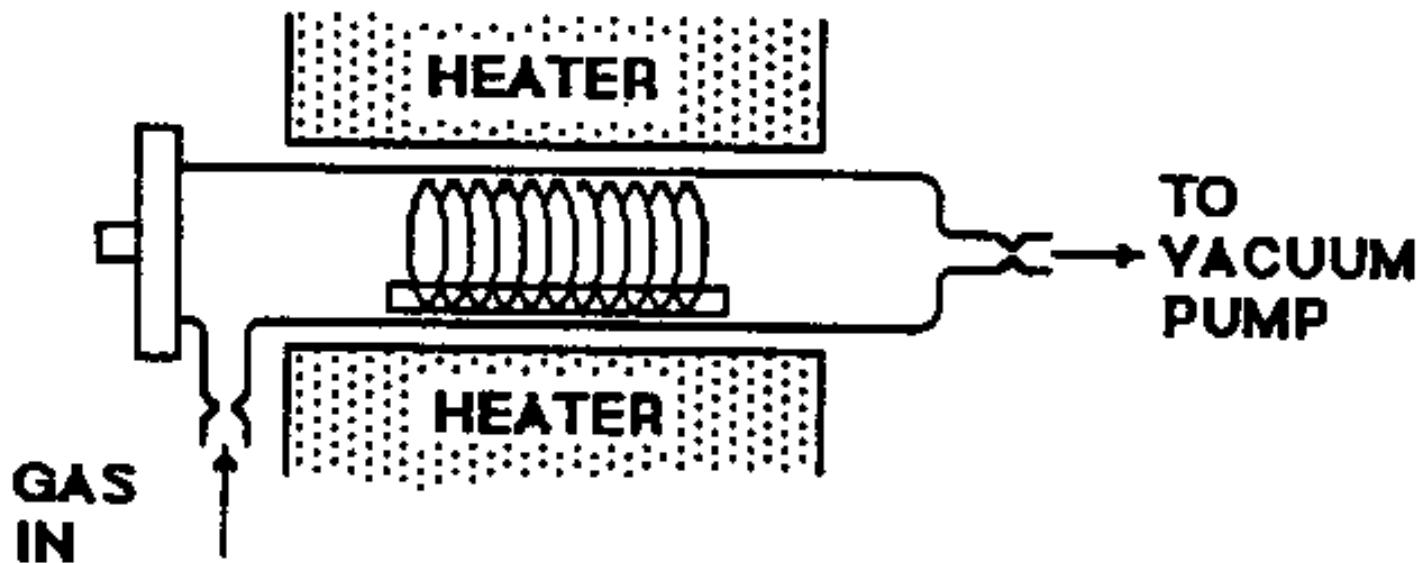
APCVD



Layers available: Al_2O_3 , SiO_2 (doped or undoped)

Surface Micromachining: Thin Film Deposition techniques

LPCVD reactor



Pressure: 10-100 Pa

Deposition temperature: 550-600 °C

Surface reaction controlled

Applications: oxides, nitrides, poly-Si

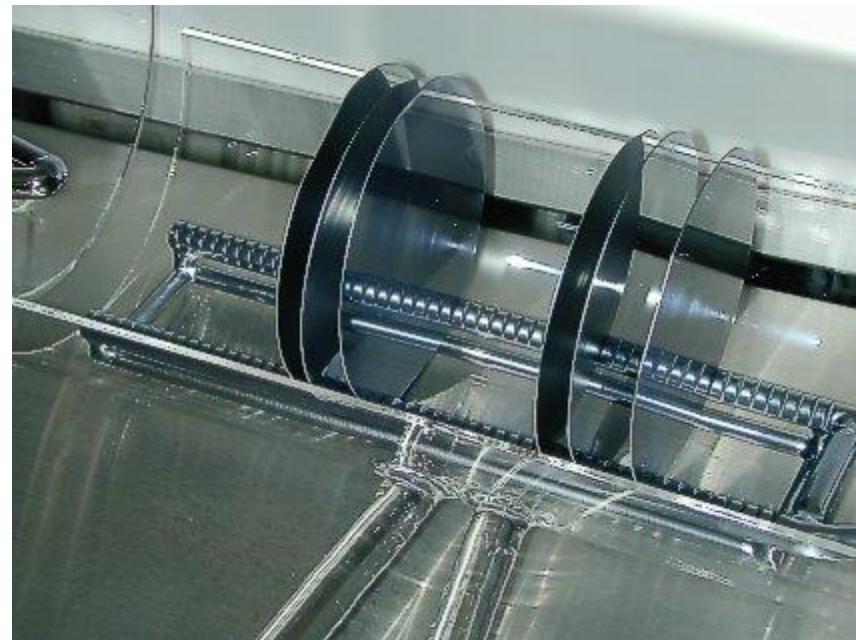
Low deposition rate: Si_3N_4 , 50 Å/min

Conformable step coverage

Excellent uniformity and purity

Surface Micromachining: Thin Film Deposition techniques

LPCVD

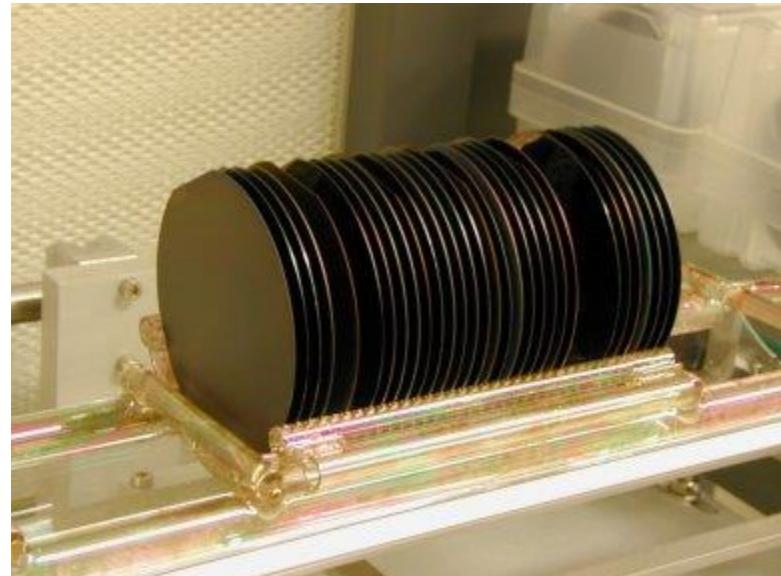


Layers available: Si_3N_4 , poly-Si

Surface Micromachining: Thin Film Deposition techniques

MOCVD

Reactor similar to LPCVD reactor



Pressure: 10-100 Pa

Deposition temperature: 450 °C

Surface reaction controlled

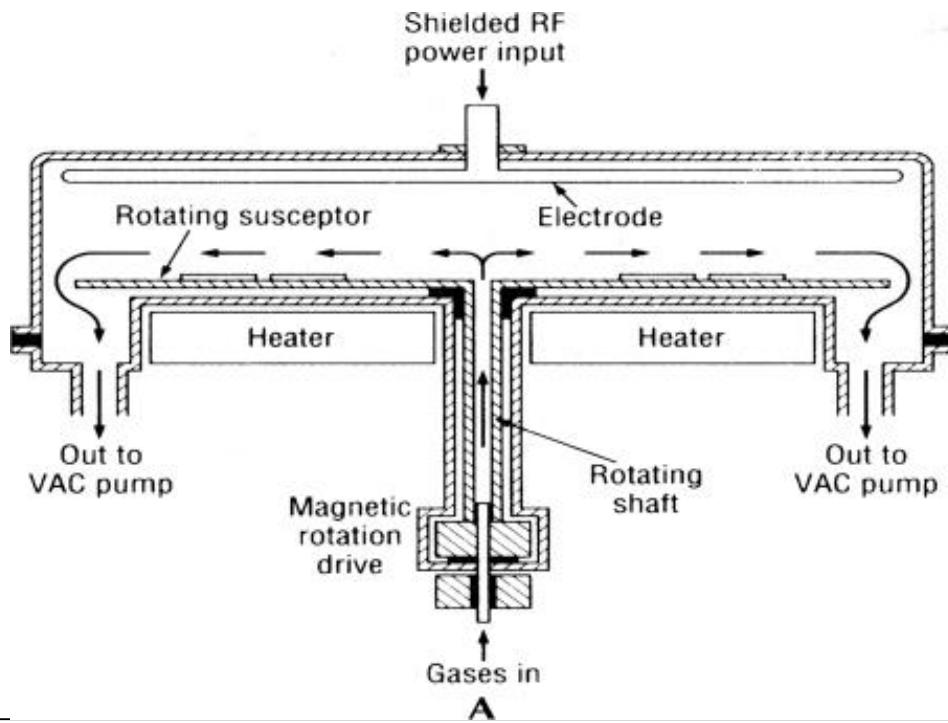
Layer available: Ta_2O_5

Low deposition rate: Ta_2O_5 , 20-60 Å/min

Excellent for epitaxial layers

Surface Micromachining: Thin Film Deposition techniques

PECVD reactors



Pressure: 250-1000 Pa

Deposition temperature: 300-400 °C

Applications: nitrides and oxides

Low deposition rate: Si_3N_4 , 50 Å/min

Good step coverage, few pinholes

Hydrogen contamination

Surface Micromachining: Thin Film Deposition techniques

PECVD



Layers available: Si_3N_4 , SiO_2

Surface Micromachining: Thin Film Deposition techniques

Some CVD layers properties

	Si_3N_4 , PECVD	Si_3N_4 , LPCVD	SiO_2 , APCVD	Poly-Si, LPCVD
T [°C]	400	750	400	650
Step coverage	good	comformable	poor	comformable
Density [kg/m ³]	2400-2800	2900-3100	2100	2330
Stress [MPa]	200 comp- 500 tens	0-1 tensile	300 tensile	20-30 tensile
Diel.strength [kV/mm]	0.5	1	0.8	
Resistivity [Wcm]	10^6 - 10^{15}	10^{16}		

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Gary Mc Guire

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S.M. Sze

[3] The materials science of thin film

Milton Ohring

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Maissel and Glanz

[5] Semiconductor devices Physics and Technology

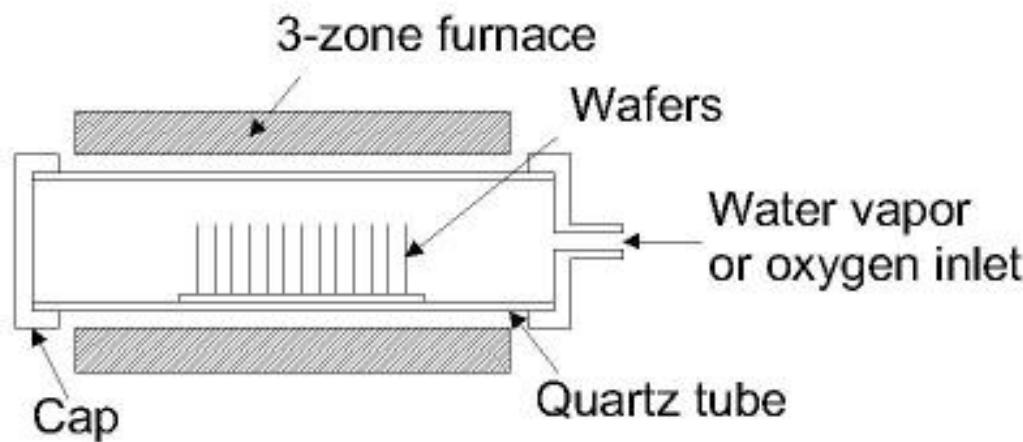
S.M. Sze

[6] Electronic Materials Science and Technology

S. Murarka

Thermal oxidation

This is one of the most basic deposition technologies. It is simply oxidation of the substrate surface in an oxygen rich atmosphere. The temperature is raised to 800° C-1100° C to speed up the process. This is also the only deposition technology which actually consumes some of the substrate as it proceeds. The growth of the film is spurred by diffusion of oxygen into the substrate, which means the film growth is actually downwards into the substrate.



Thermal oxidation

SiO_2 growth is a key process step in manufacturing all Si devices.

- Thick oxides ($>5000 \text{ \AA}$) are used for field oxides to isolate devices from one to the other
- Thin gate oxides ($<1000 \text{ \AA}$) to control MOS devices
- Sacrificial layer are grown as mask and removed

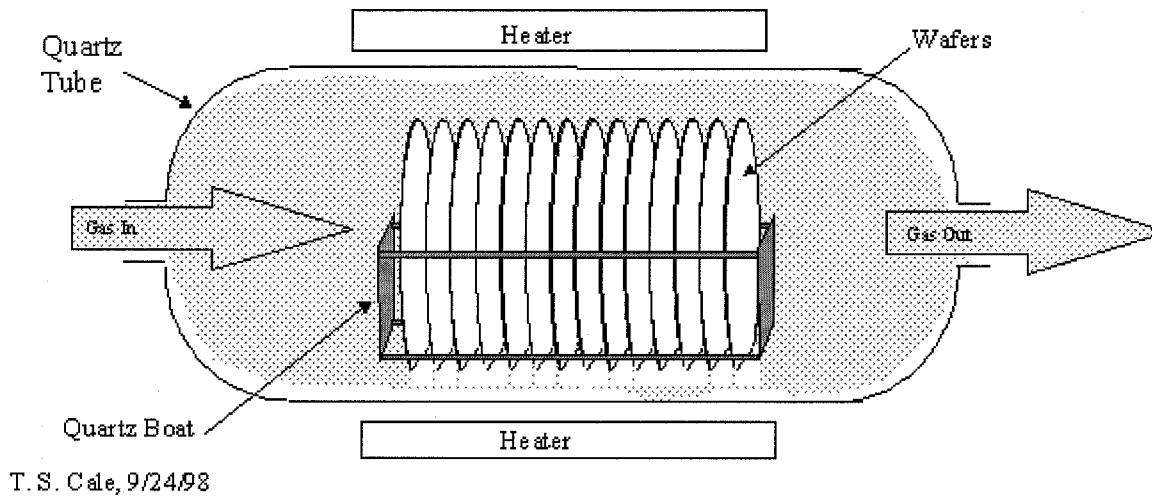
The stability and ease of formation of SiO_2 was one of the reason that Si replaced Ge

Surface Micromachining: Thin Film Deposition techniques

Thermal oxidation

Wafers are heated in an atmosphere containing an oxidant, usually, O_2 , steam, or N_2O .

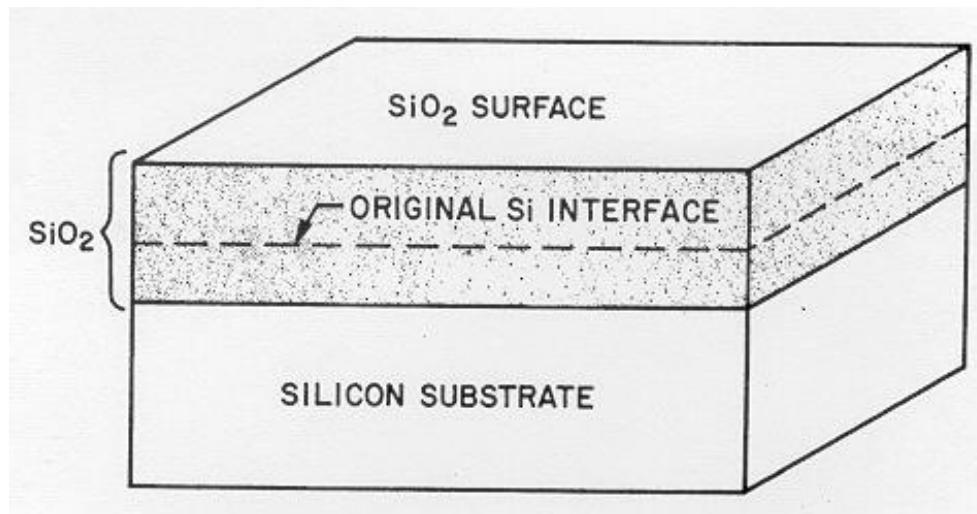
- Dry oxidation $Si + O_2 \rightarrow SiO_2$
- Wet oxidation $Si + 2H_2O \rightarrow SiO_2 + 2H_2$



Thermal oxidation

Kinetics of growth

The Si-SiO₂ interface moves into the Si during the oxidation. This creates a fresh region of Si-SiO₂



Surface Micromachining: Thin Film Deposition techniques

Thermal oxidation

If a SiO_2 layer of thickness x is grown from thermal oxidation, what is the thickness of Si being consumed.

$$\frac{\text{Molecular weight of Si}}{\text{Density of Si}} = \frac{28.09 \text{ g/mole}}{2.33 \text{ g/cm}^3} = 12.06 \text{ cm}^3/\text{mole}.$$

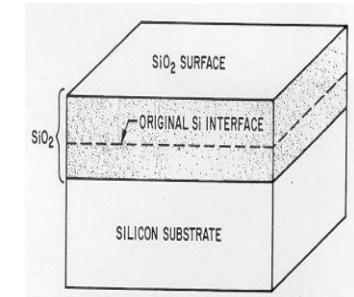
The volume of 1 mole silicon dioxide is

$$\frac{\text{Molecular weight of } \text{SiO}_2}{\text{Density of } \text{SiO}_2} = \frac{60.08 \text{ g/mole}}{2.21 \text{ g/cm}^3} = 27.18 \text{ cm}^3/\text{mole}.$$

Since 1 mole silicon is converted to 1 mole silicon dioxide,

$$\frac{\text{Thickness of Si} \times \text{area}}{\text{Thickness of } \text{SiO}_2 \times \text{area}} = \frac{\text{volume of 1 mole of Si}}{\text{volume of 1 mole of } \text{SiO}_2}$$

$$\frac{\text{Thickness of Si}}{\text{Thickness of } \text{SiO}_2} = \frac{12.06}{27.18} = 0.44$$



That is to grow 1000 Å of SiO_2 , a layer of 440 Å of Si is consumed.

Thermal oxidation

Basic structural unit of thermally grown SiO_2

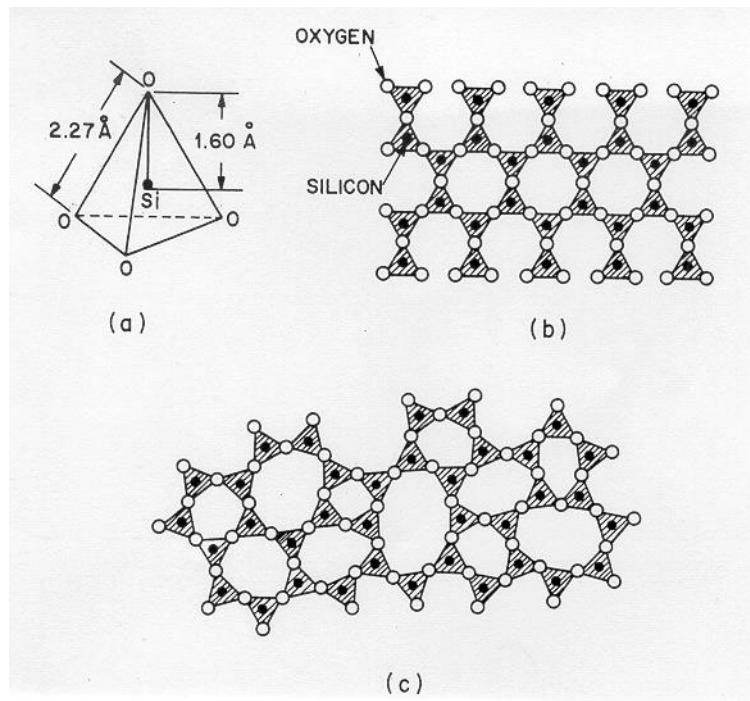
- SiO_2 surrounded tetrahedrally by four oxygen ions.
- When Si is thermally oxidized, the SiO_2 structure is amorphous.

Density:

amorphous=2.21 g/cm³,

quartz=2.65 g/cm³.

- (a) Basic unit of SiO_2
- (b) quartz crystal
- (c) amorphous



Thermal oxidation

Deal-Grove model

$$F_1 = D \cdot \frac{dC}{dx} \approx \frac{D \cdot (C_0 - C_s)}{x}$$

$$F_2 = k \cdot C_s$$

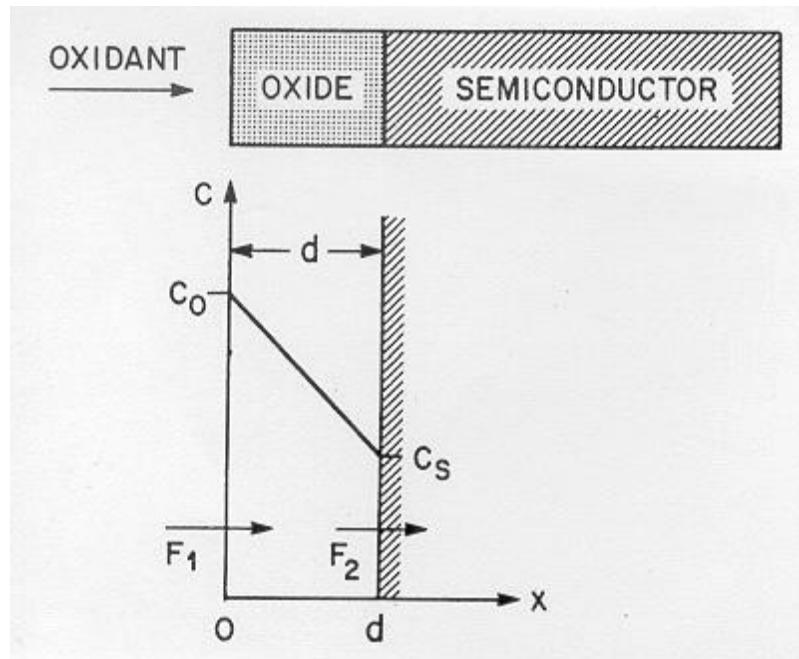
F1,F2: flux

D: diffusion coefficient

C₀,C_s : concentration of oxidant

x: thickness of the actual SiO₂

k: surface reaction rate



At the steady state,

$$F_1 = F_2 = F$$

Thermal oxidation

At the steady state (elimination of $C_s = F_2/k = F/k$)

$$\frac{dx}{dt} = \frac{F}{C_1} = \frac{D \cdot C_o / C_1}{x + (D/k)}$$

C_1 : number of molecules to be oxidized

The solution of this differential equation is

$$x^2 + A \cdot x = B \cdot (t + \tau)$$

$$A = 2D/k,$$

$$B = 2DC_0/C_1,$$

$$B/A = KC_0/C_1$$

$$t = (d_0^2 + 2Dd_0/k)C_1 / 2DC_0$$

d_0 : initial oxide layer

Thermal oxidation

linear $t \ll A^2/4B$

$$x = \frac{B}{A} (t + \tau)$$

parabolic $t \gg A^2/4B$

$$x^2 = B \cdot (t + \tau)$$

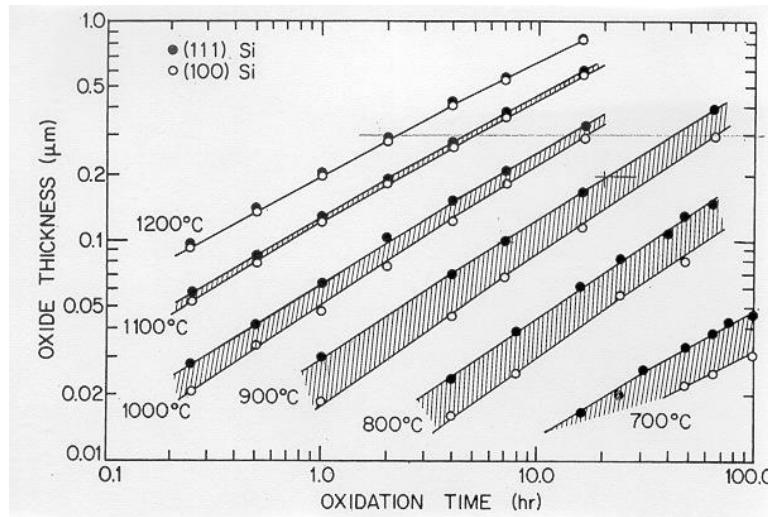
Oxidation temperature (°C)	Orientation	A (μm)	Parabolic rate constant B (μm ² /h)	Linear rate constant B/A (μm/h)
900	(100)	0.95	0.143	0.150
	(111)	0.60	0.151	0.252
950	(100)	0.74	0.231	0.311
	(111)	0.44	0.231	0.524
1000	(100)	0.48	0.314	0.664
	(111)	0.27	0.314	1.163
1050	(100)	0.295	0.413	1.400
	(111)	0.18	0.415	2.307
1100	(100)	0.175	0.521	2.977
	(111)	0.105	0.517	4.926

Table 1 Deal-grove, Rate constants for dry oxidation of Si

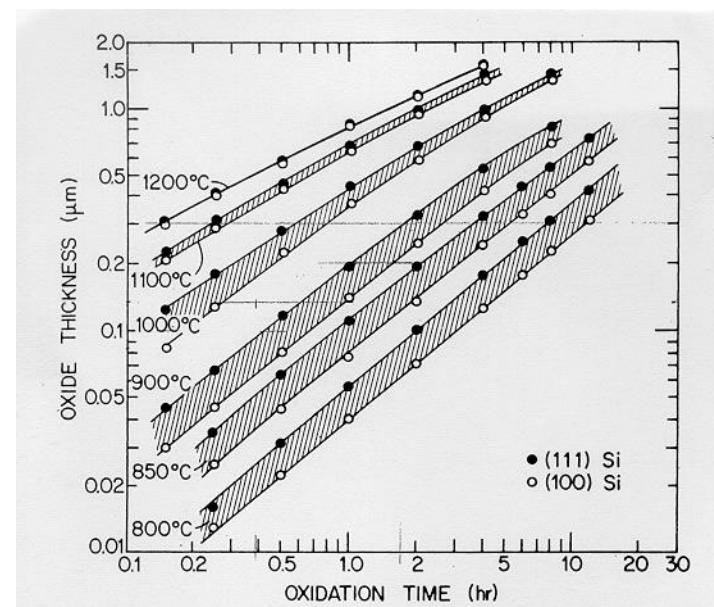
Surface Micromachining: Thin Film Deposition techniques

Thermal oxidation

Experimental results of SiO_2 thickness as a function of reaction time and temperature.



a) Dry oxidation growth



b) Steam growth

Thermal oxidation

Deal-grove: Summary

$$x^2 + A \cdot x = B \cdot (t + \tau)$$

Dry oxidation

Model fits well for growth of $\text{SiO}_2 > 300 \text{ \AA}$.

Wet oxidation

Model fits fairly well for growth of all SiO_2 thicknesses.

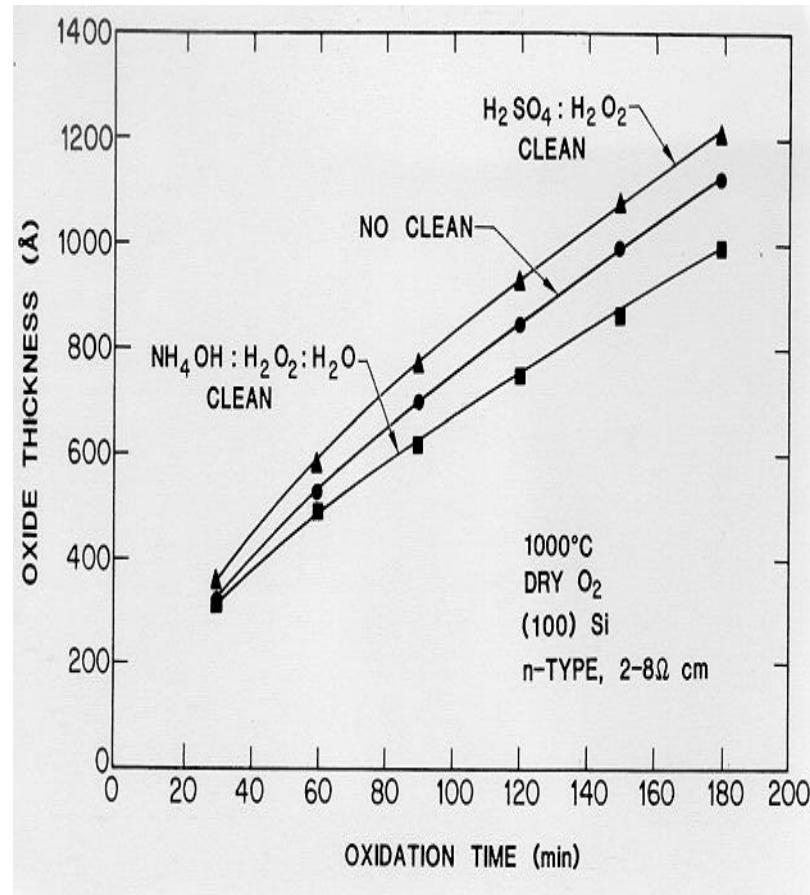
Thermal oxidation

Surface preparation

If the surface is not cleaned uniformly, patches of non-uniform thickness of oxide can be observed.

Pre-oxidation cleaning treatment can affect the subsequent oxidation rate.

Since the treatment incorporating ammonium hydroxide results in the slowest oxidation, it is postulated that an inhibiting nitride layer is formed, which retards the subsequent oxidation process



Influence of cleanliness for oxidation

Thermal oxidation

Properties of thermal SiO_2 and Si

	Si	SiO_2
Molecular weight	28.09	60.08
Molecules/cm ³	$5*10^{22}$	$2.3*10^{22}$
Structure	diamond	amorphous
Density (g/cm ³)	2.33	2.21
Energy gap (eV)	1.11	---
Melting point (°C)	1420	1700
Lin. Coeff. Expansion	$2.33*10^{-6}$	$0.5*10^{-6}$
E-modulus (GPa)	120-170	69
Dielectric constant	11.8	3.9
Refractive index	3.4	1.462

Thermal oxidation

Key variables in oxidation

- Temperature
 - Reaction rate
 - Solid state diffusion
- Oxidizing species
 - Wet oxidation is much faster than dry oxidation
 - Dry oxidation gives the better quality in electrical properties
- Surface cleanliness
 - Metallic contamination can catalyzing reaction
 - Quality of oxide grown
- Substrate orientation
 - SiO_2 grows 1.7 times faster on (111) than on (100) surfaces

Annealing

- **Thermal annealing**
 - under N_2
 - under N_2 and H_2
- **Rapid annealing**
 - pulsed laser (1ps)
 - pulsed electron ion beam

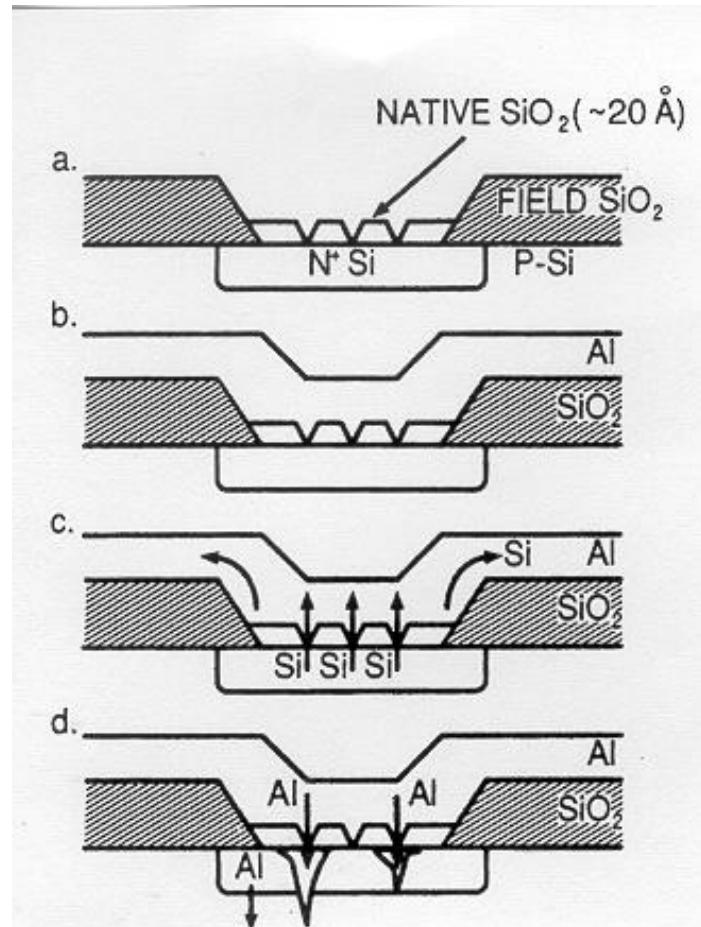
Annealing

Annealing of Al-Si junction

The goal is to form a ohmic contact between Si and Al.

Processing of deposited Al films for contacts includes a 450°C heat treatment for 30 min under N₂.

This enables the Al to reduce the thin native insulating SiO₂ film sinter to Si, thereby lowering the contact resistance



Annealing

Junction spiking

$$b \cong 2\sqrt{D \cdot t} \cdot \left(\frac{H \cdot Z}{A} \right) \cdot S \cdot \left(\frac{\rho_{Al}}{\rho_{Si}} \right)$$

D: diffusion coefficient

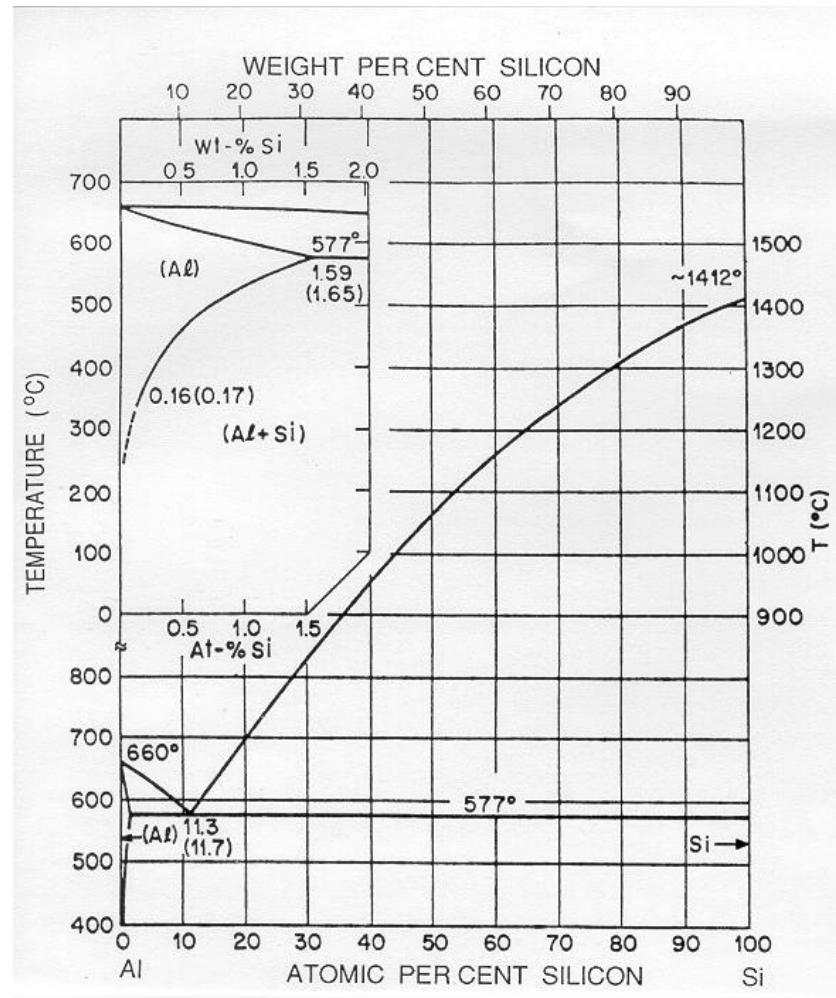
S: solubility

H,Z,A: geometry

r: density

t: time

b: depth into Silicon



Phase diagram of Al-Si system

Surface Micromachining: Thin Film Deposition techniques

Annealing

Example

T=500°C, t=30 min

$$b \cong 2\sqrt{D \cdot t} \cdot \left(\frac{H \cdot Z}{A} \right) \cdot S \cdot \left(\frac{\rho_{Al}}{\rho_{Si}} \right)$$

$$D = 2 \cdot 10^{-8} \text{ cm}^2/\text{s}$$

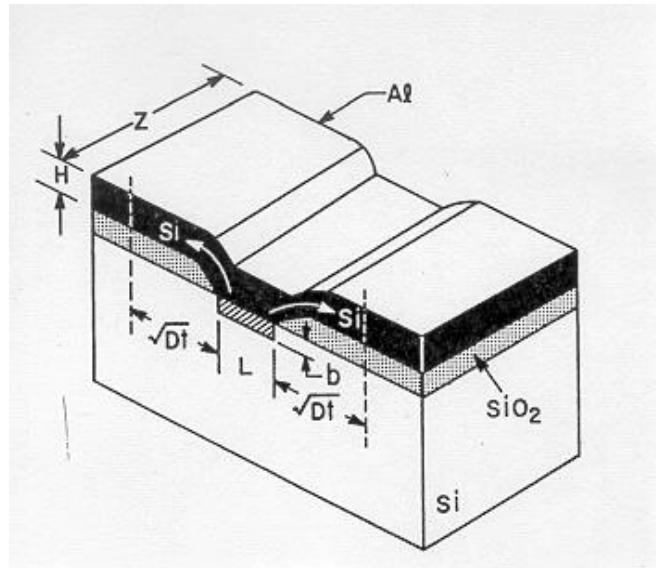
$$S = 0.8 \text{ wt\%}$$

$$H = 1 \mu\text{m}, Z = 5 \mu\text{m}, ZL = 16 \mu\text{m}^2$$

$$r_{Al}/r_{Si} = 1.16$$

Result $b = 0.35 \mu\text{m}$

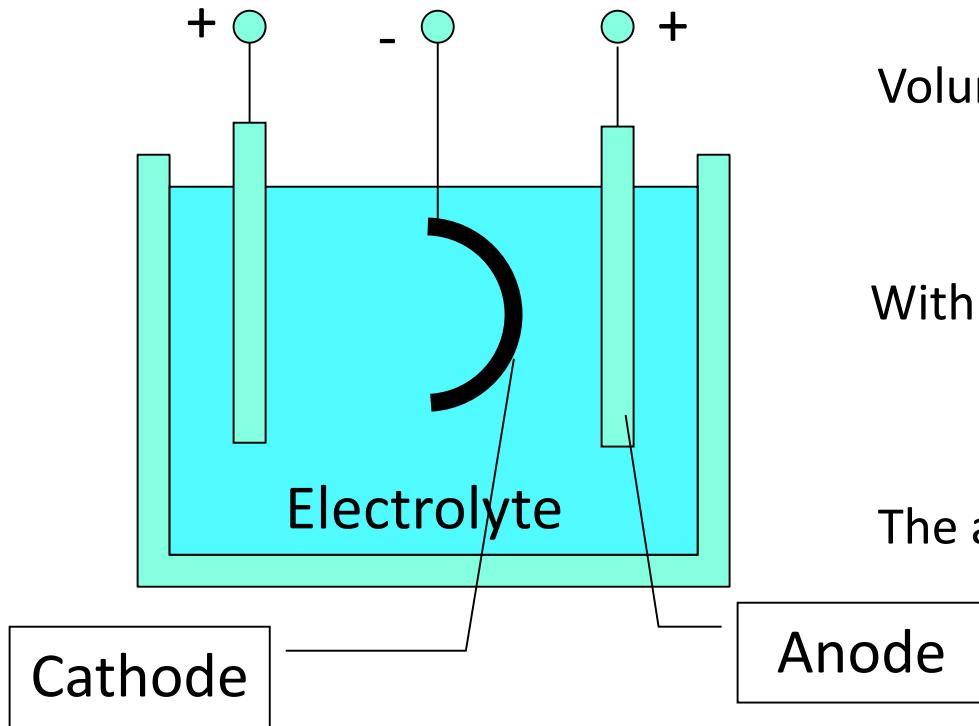
Al will fill to a depth of $0.35 \mu\text{m}$ from which silicon is consumed.



Electrodeposition

- Reasons for Plating:
 - corrosion, appearance, wear resistance, electrical conductivity, solderability & lubricity
 - Inexpensive technique : few material necessary
 - A large number of metals can be electroplated
- Electroplating:
 - coating of a thin metallic layer onto the surface of a substrate by an electrolytic process in which metal ions deposited onto a cathode work material
- « Electroless » deposition:
 - coating of a thin metallic layer onto the surface of a substrate by a chemical reaction

Electrodeposition



Volume of metal plated: $V = CIt$
where C = plating constant

With the cathode efficiency

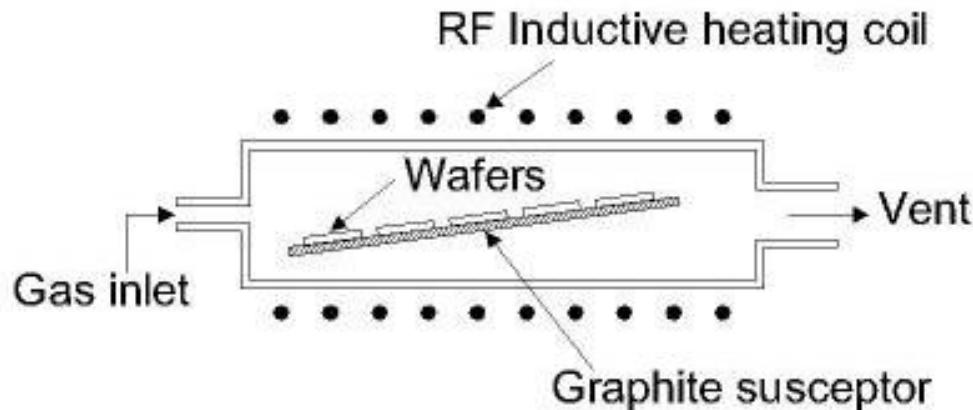
$$V = \eta CIt$$

The average plating thickness

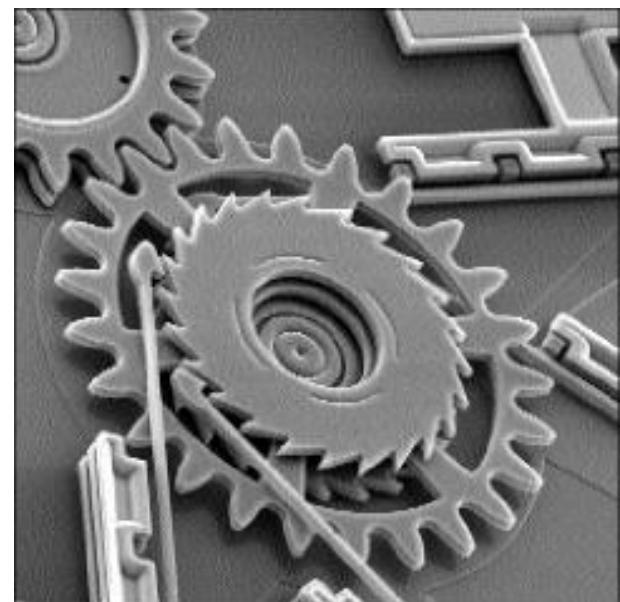
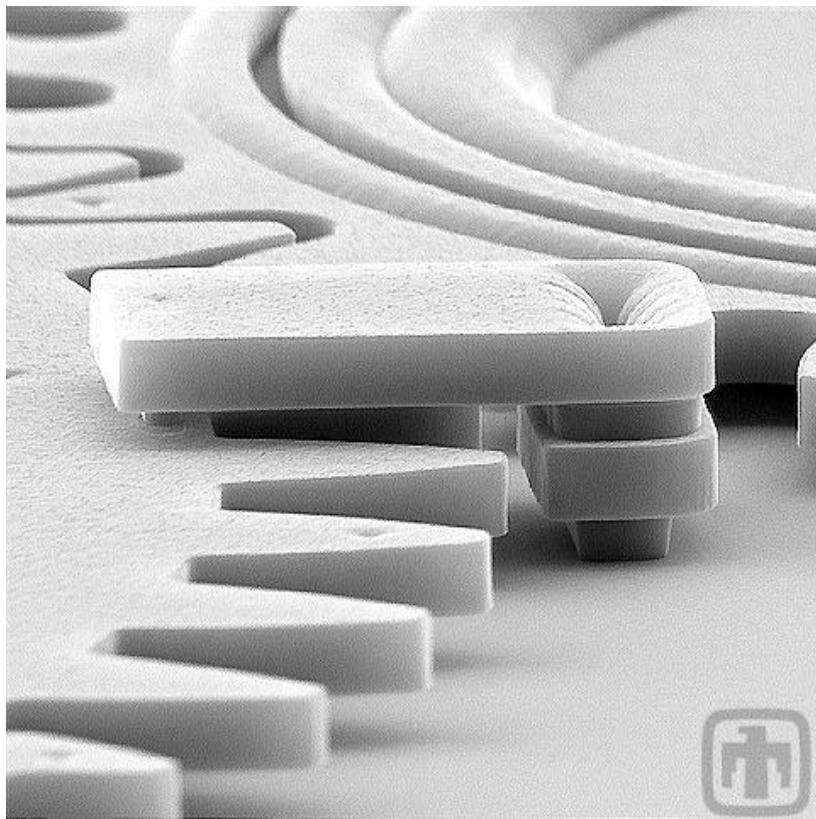
$$d = \frac{V}{A}$$

Epitaxy

This technology is quite similar to what happens in CVD processes, however, if the substrate is an ordered semiconductor crystal (i.e. silicon, gallium arsenide), it is possible with this process to continue building on the substrate with the same crystallographic orientation with the substrate acting as a seed for the deposition. If an amorphous/polycrystalline substrate surface is used, the film will also be amorphous or polycrystalline.



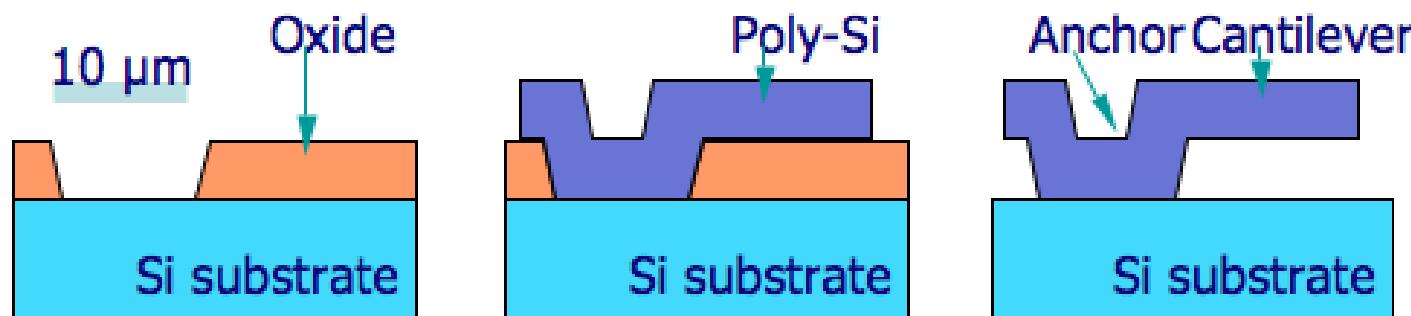
Surface Micromachining – Poly-Si process



*Image source: MEMX.com
Sandia national Labs*

Surface Micromachining – Poly-Si process

surface micromachining builds microstructures by deposition and etching of different structural layers on top of the substrate. Generally *polysilicon* is commonly used as one of the layers and *silicon dioxide* is used as a *sacrificial layer* which is removed or etched out to create the necessary void in the thickness direction. Added layers are generally very thin with their size varying from 2-5 Micro meters



Common Material Systems

(1) Poly-Si/Silicon Dioxide

This is the most common material system : LPCVD deposited poly-Si as the structural material and thermally grown or LPCVD deposited oxide as the sacrificial material. The oxide is readily dissolved in HF without the poly-Si being affected.

Advantages :

- Both materials are used in IC processing and, therefore, their deposition technologies are readily available.
- Poly-Si has excellent mechanical properties and can be doped for various electrical applications.
- The oxide can be thermally grown and CVD deposited at a wide temperature range (~ 200 °C to ~ 1200 °C) which is very useful for various processing requirements.
- The material system is compatible with IC processing.

Together with this material system silicon nitride is often used for electrical insulation.

Common Material Systems

(2) Silicon Nitride/Poly-Si

Here LPCVD silicon nitride is used as the structural material, whereas poly-Si is the sacrificial material.

In this case Si anisotropic etchants such as KOH and EDP are used to dissolve poly-Si.

(3) Tungsten/Silicon Dioxide

CVD deposited tungsten is used as the structural material with the oxide as the sacrificial material. HF is used for removing the oxide.

Common Material Systems

(4) Polyimide/Aluminum

Polyimide is the structural material and aluminum is the sacrificial material. Acid-based aluminum etchants are used to dissolve the aluminum sacrificial material.

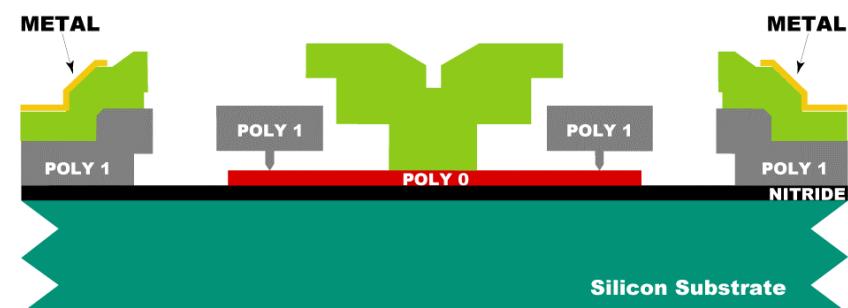
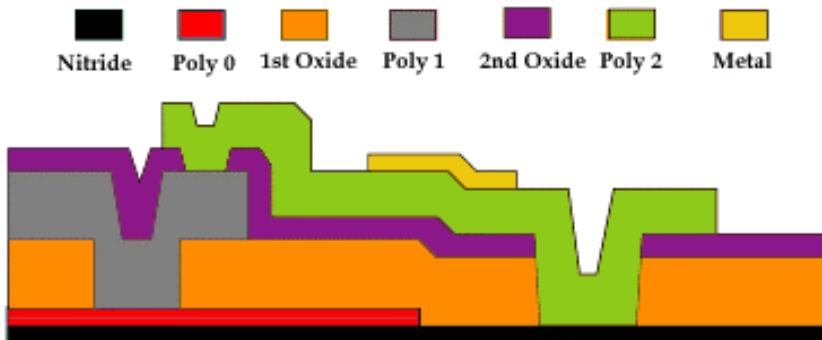
Advantages :

- Polyimide has a small elastic modulus which is ~ 50 times smaller than that of poly-Si.
- Polyimide can take large strains before fracture.
- Both polyimide and aluminum can be prepared at low temperatures (< 400 °C).
- A disadvantage of polyimide is its viscoelastic characteristics; it creeps.

Surface Micromachining – Poly-Si process

MUMPS Process

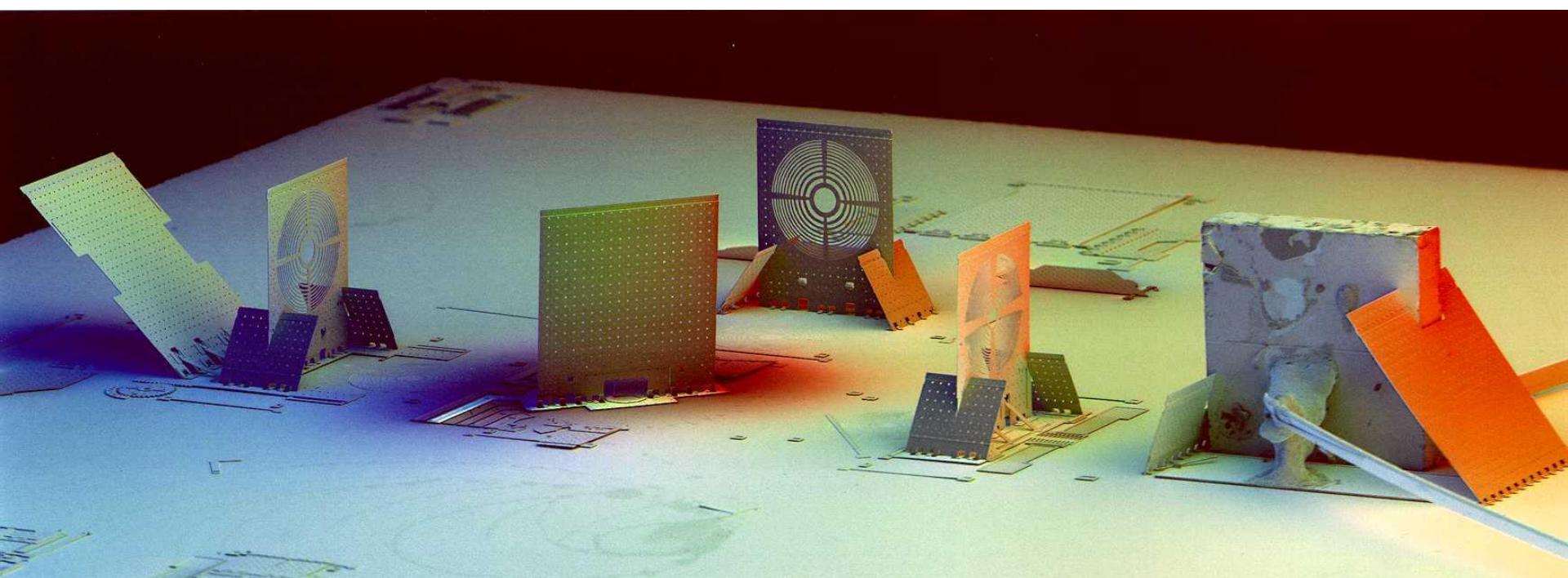
The Multi-User MEMS Processes or MUMPs™ is a commercial program that provides the international industrial, governmental and academic communities with cost-effective, proof-of-concept surface micromachining fabrication. MUMPs™ is designed for general purpose micromachining by outside users who would like to fabricate MEMS devices



<http://web.nchu.edu.tw/~daw/Teaching/MEMS/Handouts/mumps-short.pdf>

Surface Micromachining – Poly-Si process

MUMPS Process

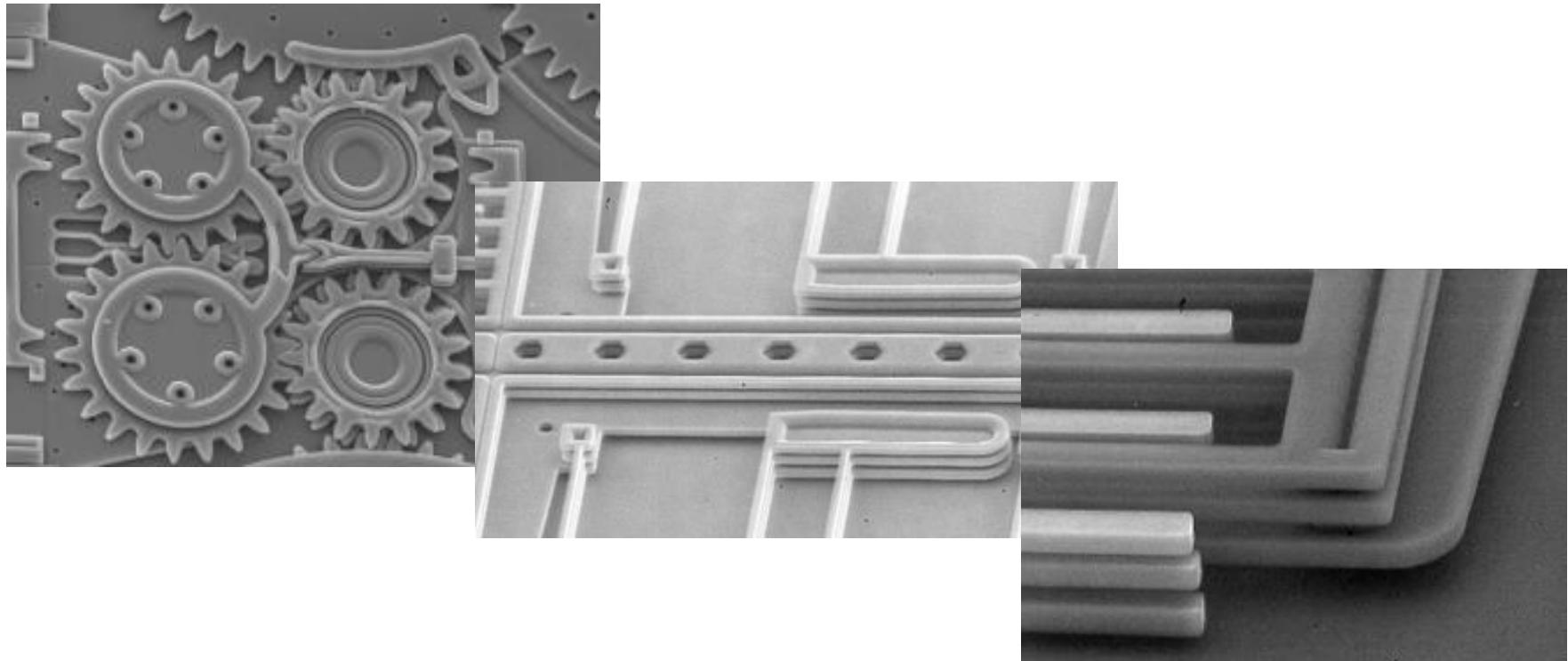


Micro Optics (laser, 3 fresnel lenses, beam splitter, & 45 deg. mirror) Nano-fabrication Micromachining (Fabricated by Ming Wu, L. Y. Lin, J. L. Shen, S. S. Lee, and C. R. King @ U.C.L.A. , E.E. Dept.)
50X @ 4"x5" size. © David Scharf, 1996. All rights reserved. (9605-009a)

Surface Micromachining – Poly-Si process

Summit-V Process

Sandia Ultra-planar, Multi-level MEMS Technology 5 (SUMMiT VTM) Fabrication Process is a five-layer polycrystalline silicon surface micromachining process (one ground plane/electrical interconnect layer and four mechanical layers).



Sandia labs

- Hot Embossing
- XeF₂ Dry Phase Etching
- Electro-Discharge Micromachining
- Laser Micromachining
- Focused Ion Beam Micromachining
- Wafer bonding (addressed in the lecture on packaging)

1. Introduction

- Cleanroom facilities
- Silicon bulk material



2. Lithography

- E-Beam
- Pattern transfer



3. Bulk Micromachining

- Isotropic wet etching
- Anisotropic wet etching (KOH),
- Isotropic Dry etching (RIE)
- Anisotropic Dry etching (DRIE)



4. Surface Micromachining

- Thin film depositions techniques, PVD, CVD, electroplating, ...
- Poly-Si, sacrificial layer techniques



5. Other techniques